

**Request to Develop or Modify Reliability Rules (NYSRC Policy No. 1-4)**  
 Submit request to [raymond40@aol.com](mailto:raymond40@aol.com) via the NYSRC site [www.nysrc.org](http://www.nysrc.org)

Item	Response
<b>1. Respondent</b>	
Name	NYSRC Reliability Rules Subcommittee
Organization	
Address	
Phone & Fax numbers	
Email address	
<b>2. Title of proposed rule change &amp; PRR No.</b>	Exceptions Review (PRR #105)
<b>3. New rule or modification of NYSRC RR?</b>	
If a new rule is proposed, provide any relevant citation to existing standards	
If a modification to an existing rule is proposed, provide NYSRC RR reference	E-M9
<b>4. Wording of proposed rule change</b>	
<b>5. Rationale for proposed rule change</b>	
Identify advantages	Clarifies certain aspects of the procedure and adds new components <b>which address requests by Transmission Owners for</b> <del>provides</del> obtaining new exceptions to the Reliability Rules <b>or</b> <del>and</del> <b>for Transmission Owner's request</b> for a review to remove <b>or modify</b> its own <b>current</b> exceptions. Also, removes the statement about the NYISO revising the exceptions list since the NYSRC is responsible for maintaining the exceptions list and the NYISO is expected to remove the list from its manuals and replace it with a link to the NYSRC list.
Identify disadvantages	None.

<b>6. Measurement(s)</b>	<p><b>E-M9:</b>The NYISO shall implement actions required for granting new exceptions or modifying or removing current exceptions, as described in NYSRC Policy 1, <i>Procedure for Reviewing, Developing, Modifying, and Disseminating NYSRC Reliability Rules</i>. These required NYISO actions shall include:</p> <ol style="list-style-type: none"> <li>1. Request each Transmission Owner, at least annually, to review its exceptions and to determine whether it wishes to request the NYSRC to grant a new exception or modify or remove a current exception.</li> <li>2. Implement a notification from the NYSRC to review a request it has received from a Market Participant to grant a new exception or modify or remove a current exception. Following its review, the NYISO shall notify the NYSRC if it recommends that the NYSRC approve the granting of the new exception, or whether the current exception should be removed or modified as proposed. The NYISO shall document the reasons for its recommendation, including an assessment that there would be no adverse impact to reliability upon issuance of the new exception or removal or modification of the current exception. If the NYISO recommends that the exception request should be rejected by the NYSRC, the NYISO shall document its reasons for such a recommendation.</li> </ol>
<b>7. Full Compliance Statement</b>	
<b>8. Levels of Non-Compliance</b>	
Level 1	
Level 2	
Level 3	
Level 4	
<b>9. Responsible Entity</b>	NYISO
<b>10. Compliance Monitoring Entity</b>	RCMS
<b>11. Implementation Plan</b>	
<b>12. Comments</b>	
<b>13. Date Rule/Measurement Adopted</b>	
<b>14. PRR Revision Dates</b>	10/1/09,11/5/09,1/6/10, 1/10/10, 2/4/10, 4/23/10