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### C. Exceptions to the NYSRC Reliability Rules

A request for a new exception to a NYSRC Reliability Rule, or the removal or modification of a current exception to a NYSRC Reliability Rule must be submitted to the NYSRC Executive Committee for approval. Such requests to the NYSRC Executive Committee shall be initiated in one of two ways: (1) from an annual Transmission Owner review of current exceptions (initiated by the NYISO) made by a Transmission Owner (the Applicant), or (2) a request made at any time by a Market Participant (the applicant) or any member of the NYSRC Executive Committee (the Applicant).

In addition, the Applicant shall notify the NYISO when such requests are made.

The scope of an exception review by the NYSRC Executive Committee is to verify that the technical basis for the exception request is valid, but not to question the original justification for the exception if request is to modify or remove a current exception.

#### Supporting Documentation

The Applicant shall meet NYSRC documentation requirements, including the following:

1. For a request for a new exception or a modification of a current exception, a technical assessment shall be submitted to the NYSRC demonstrating that there will be no adverse impact on reliability as the result of the NYSRC granting the exception request. For new exceptions, an assessment of economic impacts, environmental impacts, and/or reliability needs by granting the new exception shall also be submitted.

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- Deleted: NYISO or any member of the NYSRC Executive Committee (the Applicant) may submit a request for an exception. An applicant other than the NYISO shall obtain a recommendation for approval from the NYISO prior to submission of the request to the NYSRC Executive Committee.
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2. For a request to remove a current exception, a description of the reason for the exception removal, along with appropriate documentation, shall be submitted to the NYSRC. The Applicant will not be required to submit a technical assessment to demonstrate that there will be no adverse impact on reliability for the removal of the exception.

3. For requests for new exceptions, or modification or removal of current exceptions, the NYSRC may require the Applicant – after the NYSRC reviews the request – to submit supplemental information in addition to the supporting documentation required in items 1 and 2 above.

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**Procedure for Obtaining an Exception Change<sup>1</sup>**

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The following procedure shall be used in obtaining an exception:

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1. The Applicant shall submit the request for the exception change and supporting documentation to the NYSRC Executive Committee. In addition, the Applicant shall notify the NYISO of this request.

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2. The NYSRC Executive Committee shall perform an initial review of the exception change request. This review shall ensure that the Applicant has submitted the required supporting documentation. Following its review, the NYSRC Executive Committee shall either:

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- Request the NYISO to review the proposed exception change,
- Request the Applicant for more information, or
- Reject the request.

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3. The NYISO shall review the exception change request. If the NYISO recommends the exception change should be implemented or rejected, it shall so notify the NYSRC Executive Committee.

<sup>1</sup> A new exception or modification or removal of a current exception is termed an “exception change.”

4. The Executive Committee shall direct RRS to review the request for the exception change and any comments received from the NYISO to verify whether the technical merits of the request justify the exception change. RRS may request that the Applicant provide additional information in order to complete its verification review.

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5. RRS shall report to the NYSRC Executive Committee its recommendation as to whether the required procedures were followed, whether it deems the request to be technically acceptable and whether the proposed exception change, should be approved.

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6. The merits of the exception change shall be assessed by the NYSRC Executive Committee. The Executive Committee will notify the Applicant and the NYISO of its decision to approve or reject the exception change, and will document its decision.

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7. Following approval of an exception change, the NYSRC shall update its list of exceptions to the Reliability Rules.

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[The present section **Annual NYISO Review of Exceptions** will be removed.]