

Request to Develop or Modify Reliability Rules (NYSRC Policy No. 1-3)
Submit request to p.raymond40@gmail.com via the NYSRC site www.nysrc.org

Item	Response
1. Respondent	
Name	David Mahlmann
Organization	NYISO
Address	10 Krey Blvd. Rensselaer, NY 12144
Phone & Fax numbers	518-356-6101
Email address	dmahlmann@nyiso.com
2. Title of proposed rule change	System Restoration Reliability Rule and Measurement Modifications (PRR #99)
3. New rule or modification of NYSRC RR?	
If a new rule is proposed, provide any relevant citation to existing standards	N/A
If a modification to an existing rule is proposed, provide NYSRC, NPCC and NERC RR references	NYSRC G-R1, G-R2 and G-R3; NERC EOP-005-3 (proposed revision); and NPCC Directory #8
4. Wording of proposed rule change	<p>Rule G-R1: A NYCA System Restoration Plan (SRP) shall be maintained to restore the NYCA system to a Normal Operating State in a safe, and orderly, and prompt manner <u>following a major or total blackout in the event of a partial or total shutdown</u>. The NYCA SRP shall be comprised of the NYISO system restoration plan and transmission owner restoration plans.</p> <p>Rule G-R2: A NYCA Blackstart Capability Plan (NYCA BCP) shall be established as part of the NYCA SRP required by Reliability Rule G-R1. The NYCA BCP shall include requirements necessary to ensure that the quantity and location of Blackstart Facilities in the NYCA are sufficient and that they can perform their intended functions as specified in the coordinated NYCA SRP. The NYCA BCP shall be comprised of the NYISO and transmission owner blackstart plans.</p> <p>Rule G-R3Rule G-R2: The NYISO and each transmission owner shall provide its personnel with a coordinated training program that will ensure effective implementation of the NYCA SRP as required in Reliability Rules G-R1 and G-R2.</p>
5. Rationale for proposed rule change	<p>NYSRC system restoration rules and measurements have been modified to assure consistency with recent NERC and NPCC criteria changes. In addition:</p> <ol style="list-style-type: none"> 1) Revise the restoration rules and measurements to delete the requirement to maintain a NYCA Blackstart Capability Plan, including the removal of G-R2, to be consistent with NERC EOP-005-3 changes. 2) Revise the Measurement G-M1 in the following areas: <ol style="list-style-type: none"> a) Reword the existing measurements to clarify requirements. b) Add MVAR requirements to blackstart facility

	<p>documentation</p> <p>c) Tighten the measure for blackstart unit testing by setting a minimum of NPCC Directory #8 procedures.</p> <p>d) Tighten the measure for evaluating the potential of transmission owner SRP plan revisions to affect the coordination of the NYISO and TO restoration plans from 14 days after the implementation of the changes, to two months prior to implementation of the changes.</p> <p>e) Add a measure that requires the NYISO to verify that the TO SRPs are developed and maintained to the same requirements as the NYISO restoration plan</p> <p>3) Add a measure in G-M4 that requires the NYISO to verify that the TO operating personnel are trained on their local SRP to the same requirements that NYISO operating personnel are trained on the NYISO SRP.</p>
Identify Advantages	See above rational for rule change.
Identify disadvantages	None
6. Measurement(s)	See Attachment A for measurement modifications.
7. Full Compliance Statement (To be prepared by RCMS)	See Attachment B for compliance element modifications.
8. Levels of Non-Compliance (To be prepared by RCMS)	
Level 1	
Level 2	
Level 3	
Level 4	
9. Responsible Entity	NYISO
10. Comments	
11. Implementation Plan	
12. Date of Submission	9/15/08, 10/27/08, 12/5/08, 12/29/08, 1/2/09, 1/6/09, 1/14/09

ATTACHMENT A

MEASUREMENT G-M1, G-M2, G-M3, and G-M4 MODIFICATIONS

Present Measurement	Proposed Modifications
<p><u>G-M1:</u> The NYISO shall maintain a procedure for the implementation of a NYCA SRP. This procedure shall include the following requirements applicable to both the NYISO and coordinated transmission owner restoration plan elements of the NYCA SRP as may be appropriate to restore the system:</p> <ol style="list-style-type: none"> 1. The NYCA SRP shall require that system restoration take place at two levels: restoration of the NY backbone system in accordance with a NYISO restoration plan and restoration of local areas in accordance with transmission owner restoration plans. 2. The NYCA SRP shall ensure coordination of the NYISO and transmission owner restoration plan elements of the NYCA SRP. The NYCA SRP shall be coordinated with the restoration plans of neighboring control areas as required. The NYISO shall have procedures in place that, within 14 calendar days after receipt of a modification to a transmission owner’s restoration plan or a neighboring control area’s restoration plan, the NYISO will (1) complete a review of the modified plan, or (2) if the impact of the modified plan is such that a more extensive review is required, establish, in consultation with the transmission owner or control area, a specific date for the completion of the review as expeditiously as possible. This review will identify any required modification to the NYCA SRP and any actions to ensure coordination of the NYCA SRP with the transmission owners or neighboring control area will be identified. 3. NYCA SRP procedures shall include requirements applicable to the NYISO, the TOs, and the Blackstart Providers for establishing and maintaining a NYCA BCP. This NYCA BCP shall be coordinated with neighboring control areas. Requirements of the NYCA BCP shall include maintaining a database that contains all Blackstart Facilities, including the name, location, megawatt capacity, type of unit(s), annual testing requirements to demonstrate the Blackstart Facilities can perform their intended NYCA BCP and NYCA SRP functions, latest date of test, and starting method. This database shall be updated on an annual basis. The NYISO procedures shall require all Market Participants in general, and Blackstart Providers in particular, to follow instructions from the NYISO and/or transmission owners in the execution of the NYCA SRP. The NYISO shall establish and maintain procedures to verify the NYCA SRP by actual testing or by simulation. The NYISO shall annually certify that these testing requirements have been met. 4. The NYCA SRP shall establish a list of all Blackstart Facilities as defined by the NYCA BCP. The NYCA BCP shall also require that the identity of certain Blackstart Facilities from this list shall be made available to affected transmission owners. 5. The NYCA SRP shall contain a detailed test plan corresponding to each Blackstart Facility in the NYCA BCP. 	<p><u>G-M1:</u> The NYISO shall develop and maintain a NYCA System Restoration Plan (NYCA SRP) that will assure the prompt restoration of the NYCA system following a major or total blackout. The NYCA SRP shall define that system restoration take place at two integrated levels: restoration of the NYCA backbone system in accordance with a NYISO System Restoration Plan (NYISO SRP) and restoration of local areas in accordance with transmission owner system restoration plans (transmission owner SRPs). The NYCA SRP shall include the following minimum requirements: (Includes requirements from old G-M1.1)</p> <ol style="list-style-type: none"> 1. Procedures for coordinating the NYISO SRP and the transmission owner SRPs. (Includes requirements from old G-M1.2) 2. Required actions to be included in each transmission owner’s restoration plan, consistent with NYISO procedures and NYSRC, NPCC, and NERC criteria. (New requirement) 3. Procedures for coordinating the SRPs of the NYISO and neighboring Reliability Coordinators, including restoration of interconnections. (Includes requirement from old G-M1.2 and new requirement) 4. Identification of blackstart facilities required for implementing the NYISO SRP, including the names, location, megawatt capabilities, megavar capabilities, and unit type. (Includes requirements from old G-M1.3) 5. Identification of blackstart facility test requirements to verify that each blackstart facility in the NYISO SRP is capable of meeting the requirements of the NYISO SRP. These tests, at minimum, shall be conducted once per capability year and include those tests described in Table BS-1 of NPCC Directory #8, System Restoration. (Includes requirements from old G-M1.3) 6. Procedures requiring that each transmission owner procure blackstart resources that are necessary for implementing its SRP, including developing test procedures to ensure those blackstart facilities under its control are able to perform their intended functions. These procedures shall also require transmission owners to identify the name, location, megawatt capacity, megavar capacity, and type of unit(s). The identity of transmission SRP blackstart facilities shall be made available to the NYISO and to affected transmission owners. ((Includes requirements from old G-M1.4 and new requirements) 7. Identification of the necessary operating

<p>Such test plans, at a minimum, shall unambiguously state what constitutes a successful <i>blackstart</i> simulation test for each <i>Blackstart Facility</i> in the <i>NYCA BCP</i>.</p> <p>6. The <i>NYCA SRP</i> shall be designed with a priority of minimizing the time to restore the system, while allowing the system operator flexibility to respond to as found system conditions.</p> <p>7. The <i>NYCA SRP</i> shall include the necessary operating instructions and procedures to cover loss of vital telecommunications channels during restoration events.</p> <p>8. The <i>NYCA SRP</i> shall require that <i>NYISO</i> and each <i>transmission owner's</i> emergency restoration processes include the requirement to establish protocols for disseminating information to entities including the <i>NYISO, Transmission Owners, Generation Owners, and neighboring control areas</i> during a system disturbance.</p> <p>9. The <i>NYCA SRP</i> shall require that the coordination of <i>NYISO</i> and <i>transmission owner</i> restoration plans be demonstrated by drill and by simulation.</p> <p>10. <i>NYISO</i> and <i>transmission owner</i> restoration plans shall be reviewed and updated annually and whenever changes are made in the <i>NYS Power System</i>. This review shall include the results of an annual assessment of the impact of system expansion or reconfiguration plans on the SRP, as required by Reliability Rule B-R5 and related Measurement B-M3. The <i>NYCA SRP</i> shall include a requirement to establish and maintain a process that compares scheduled outages and/or the unavailability of <i>NYCA BCP Blackstart Facilities</i> or any system element that can affect the <i>NYCA SRP</i>, and determine the effect on the <i>NYCA SRP</i>. The information resulting from the process shall be made available to the <i>NYISO</i> and the operating section of the appropriate <i>transmission owner</i>. (G-R1 & G-R2)</p>	<p>instructions and procedures to cover loss of telecommunications channels during a system disturbance. <i>(Includes requirements from old G-M1.7)</i></p> <p>8. Identification of protocols for disseminating information to operating entities identified in the plan during a system disturbance. <i>(Includes requirements from old G-M1.8)</i></p> <p>9. Procedures for ensuring that the coordination of <i>NYISO</i> and transmission owner SRPs be demonstrated by drill and by simulation. <i>(Includes requirements from old G-M1.9)</i></p> <p>10. Procedures requiring transmission owners to notify the <i>NYISO</i> of any proposed changes to transmission owner SRP facilities or procedures that could effect the coordination of the <i>NYISO</i> and TO restoration plans at least two months prior to their implementation. <i>(Includes requirements from old G-M1.10)</i></p> <p>11. Procedures requiring that the <i>NYISO</i> and transmission owner SRPs be reviewed and updated annually and whenever changes are made in the <i>NYS Power System</i>. This review shall evaluate the impact of planned system expansion or reconfiguration on these SRPs, prior to implementation. <i>(Includes requirements from old G-M1.10)</i></p> <p>12. Strategies and guidelines which provide the basis for alternative restoration actions if the restoration procedures cannot be executed due to system conditions. <i>(New requirement)</i></p> <p>13. Procedures for coordinating annual updates to the <i>NYISO SRP</i> and restoration plans of neighboring Reliability Coordinators. <i>(New requirement)</i></p>
<p><u>G-M2:</u> Each <i>transmission owner</i> shall establish and maintain a restoration plan in accordance with <i>NYISO</i> procedures as required in Measurement G-M1. This restoration plan shall be coordinated with the restoration plans of the <i>NYISO</i> and other <i>transmission owners</i> and shall be part of the <i>NYCA SRP</i>. Each <i>transmission owner</i> that has a <i>blackstart</i> plan included in the <i>NYCA BCP</i> shall also establish and maintain a <i>blackstart</i> capability procedure as part of its restoration plan for facilities under its control, in accordance with <i>NYISO</i> procedures and the <i>NYCA BCP</i>. The <i>transmission owners</i> shall conduct annual training and a review of their</p>	<p><u>G-M2:</u> Each transmission owner shall establish and maintain a restoration plan in accordance with the <i>NYCA SRP, NYISO</i> procedures, and Measurement G-M1. This restoration plan shall be coordinated with the restoration plans of the <i>NYISO</i> and other transmission owners and shall be part of the <i>NYCA SRP</i>. The transmission owner SRP shall include procedures for procuring blackstart resources under the transmission owner's control, if needed, including testing requirements, in accordance with the <i>NYCA SRP</i> and <i>NYISO</i> procedures. Transmission owners shall conduct</p>

individual procedures that compose the *NYCA* SRP with their operating personnel. Each *transmission owner* shall report to the *NYISO* the completion of the annual training and review.
(G-R1, G-R2 & G-R3)

G-M3:

Each *Blackstart Provider* shall complete a successful test of the startup and operation of each of its *Blackstart* Facilities included in the *NYCA* BCP in each Capability Year to demonstrate that its *Blackstart* Facilities can perform their intended functions in accordance with the procedures required in Measurement G-M1. Each *Blackstart Provider* shall provide documentation of these test results to the appropriate entity in accordance with *NYISO* and *transmission owner* procedures. *Blackstart Providers* shall attend *NYISO* and *transmission owner* restoration training as required. (G-R2)

G-M4:

The *NYISO* shall establish and maintain procedures for training *NYISO* and *Market Participant* operating personnel for the effective implementation of the *NYCA* SRP. These procedures shall include coordinated training to be conducted at least annually by the *NYISO* and each *transmission owner*. The *NYISO* training procedures shall include the following requirements:

1. The *NYISO* shall conduct restoration simulation exercises that include modeling of each *TO* SRP.
2. The *NYISO* and *transmission owners* shall conduct coordinated annual drills of simulated full or partial system shutdowns and restoration, and the *NYISO* shall issue a critique report of the simulation.
- 3.3. *Blackstart Providers* and other generation owners shall be required to attend *NYISO* and *transmission owner* training sessions as appropriate.
4. Training program records shall be prepared that show that operating personnel have been trained in the implementation of the *NYCA* SRP. These records shall include *transmission owner* participation in the annual *NYISO* drill of the *NYCA* SRP, and indicate that each *transmission owner* conducted an annual training and a review and of its SRP. These training program records shall be provided to the *NYSRC* upon request.

an annual review of their SRP. Transmission owners shall conduct annual training of their operating personnel on their SRP procedures, including the procedures for coordinating with the *NYISO* SRP. Each transmission owner shall report to the *NYISO* the completion of the annual training and review (G-R1&2).

G-M3:

Each *Blackstart Provider* shall complete a successful test of the startup and operation of each of its *Blackstart* Facilities included in the *NYCA* SRP in each Capability Year to demonstrate that its *Blackstart* Facilities can perform their intended functions in accordance with the procedures required in Measurement G-M1. Each *Blackstart Provider* shall provide documentation of these test results to the appropriate entity in accordance with *NYISO* and *transmission owner* procedures. *Blackstart Providers* shall attend *NYISO* and *transmission owner* restoration training as required (G-R1&2).

G-M4:

The *NYISO* shall establish and maintain procedures for training *NYISO* and *Market Participant* operating personnel for the effective implementation of the *NYCA* SRP, in accordance with the following requirements:

1. *NYISO* procedures requiring coordinated training to be conducted at least annually by the *NYISO* and each *transmission owner*.
2. *NYISO* training procedures including restoration simulation exercises that include modeling of each *transmission owner's* SRP.
3. Conduct of annual simulations of full or partial system shutdowns and restoration, including the issuance of critique reports of their respective tests.
4. *NYISO* training procedures requiring *Blackstart Providers* and other generator owners to participate in training sessions and exercises as appropriate.
5. Maintaining program records showing that operating personnel have been trained in the implementation of the *NYCA* SRP and participated in restoration exercises. These records shall be provided to the *NYSRC* upon request.
6. *NYISO* procedures for certifying that *transmission owner* operating personnel have been trained on the *transmission owner* SRPs consistent with the requirements of *NYISO* SRP training procedures. The

	procedures shall require transmission owners to provide the <i>NYISO</i> restoration training program records upon request. (G-R2)
--	--

ATTACHMENT B

C-M1, C-M2, C-M3, and C-M4 COMPLIANCE ELEMENT MODIFICATIONS

	C-M1	C-M2
Full Compliance Statement	The NYISO shall have a NYCA SRP that complies with all 13 requirements as defined in G-M1.	The NYISO certifies that each transmission owner has a SRP in accordance with G-M1, G-M2, and NYISO procedures.
Levels of Non-Compliance		
Level 1	The NYISO failed to comply with one of the requirements within G-M1.	The NYISO certifies that one or more transmission owners failed to comply with one requirement within G-M1, G-M2, or NYISO procedures.
Level 2	The NYISO failed to comply with two of the requirements within G-M1.	The NYISO certifies that one or more transmission owners failed to comply with two requirements within G-M1, G-M2, or NYISO procedures.
Level 3	The NYISO failed to comply with three of the requirements within G-M1.	The NYISO certifies that one or more transmission owners failed to comply with three requirements within G-M1, G-M2, or NYISO procedures.
Level 4	The NYISO failed to comply with four or more of the requirements within G-M1.	The NYISO certifies that one or more transmission owners failed to comply with four or more requirements within G-M1, G-M2, or NYISO procedures.

	C-M3	C-M4
Full Compliance Statement	The NYISO certifies that each Blackstart Provider complies with all blackstart facility testing and training requirements as defined in G-M1, G-M3, and NYISO procedures.	The NYISO system restoration training program is in accordance with all six requirements defined in G-M4.
Levels of Non-Compliance		
Level 1	The NYISO certifies that one Blackstart Provider did not supply testing results in accordance with NYISO or transmission owner procedures.	The NYISO system restoration training program does not address one of the requirements of G-M4.
Level 2	The NYISO certifies that two Blackstart Providers did not supply testing results in accordance with NYISO or transmission owner procedures and schedules.	The NYISO system restoration training program does not address two of the requirements of G-M4.
Level 3	The NYISO certifies that three Blackstart Providers did not supply testing results in accordance with NYISO or transmission owner procedures and schedules. OR The NYISO certifies that one or more Blackstart Providers failed to attend restoration training as required by the NYISO or transmission owner.	The NYISO system restoration training program does not address three of the requirements of G-M4.
Level 4	The NYISO certifies that four or more Blackstart Providers did not supply testing results in accordance with NYISO or transmission owner procedures or schedules.	The NYISO system restoration training program does not address four or more of the requirements of G-M4.