

Request to Develop or Modify Reliability Rules (NYSRC Policy No. 1-4)
 Submit request to raymond40@aol.com via the NYSRC site www.nysrc.org

Item	Response
1. Respondent	
Name	NYSRC Reliability Rules Subcommittee
Organization	
Address	
Phone & Fax numbers	
Email address	
2. Title of proposed rule change & PRR No.	Exceptions Review (PRR #105)
3. New rule or modification of NYSRC RR?	
If a new rule is proposed, provide any relevant citation to existing standards	
If a modification to an existing rule is proposed, provide NYSRC RR reference	E-M9
4. Wording of proposed rule change	
5. Rationale for proposed rule change	
Identify advantages	Clarifies certain aspects of the procedure and adds new components which address requests by Transmission Owners for provides obtaining new exceptions to the Reliability Rules or and for Transmission Owner's request for a review to remove or modify its own current exceptions. Also, removes the statement about the NYISO revising the exceptions list since the NYSRC is responsible for maintaining the exceptions list and the NYISO is expected to remove the list from its manuals and replace it with a link to the NYSRC list.
Identify disadvantages	None.
6. Measurement(s)	<p>E-M9 <u>The NYISO shall implement actions required for granting new exceptions or modifying or removing current exceptions, as described in NYSRC Policy 1, <i>Procedure for Reviewing, Developing, Modifying, and Disseminating NYSRC Reliability Rules</i>. These required NYISO actions shall include:</u></p> <ol style="list-style-type: none"> <u>Request each Transmission Owner, at least annually, to review its exceptions and to determine whether it wishes to request the NYSRC to grant a new exception or modify or remove a current exception.</u> <u>Implement a notification from the NYSRC to review a request it has received from a Market Participant to grant a new exception or modify or remove a current exception. Following its review, the NYISO shall notify the NYSRC if it recommends that the NYSRC approve the granting of the new exception, or whether the current exception should be removed or modified as proposed. The NYISO shall document the reasons for its recommendation, including an assessment that there would be no adverse impact to reliability upon issuance of the new exception or removal or modification of the</u>

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	current exception. If the NYISO recommends that the exception request should be rejected by the NYSRC, the NYISO shall document its reasons for such a recommendation.
7. Full Compliance Statement <i>(Replaces present Compliance Statement)</i>	The NYISO shall implement certain actions that are required for the NYSRC process of granting new exceptions or modifying or removing current exceptions (“exception changes”), in accordance with E-M9, as follows: <ol style="list-style-type: none"> 1. Request each Transmission Owner, at least annually, to review its exceptions and determine whether it wishes to request the NYSRC to grant exception changes, per E-M9.1. 2. In accordance with NYSRC requests, review Transmission Owner proposals for exception changes, and notify the NYSRC of the results of its reviews, per E-M9.2.
8. Levels of Non-Compliance <i>(Replaces present Non-Compliance Statements)</i>	
Level 1	During a calendar year, the NYISO did not request Transmission Owners to review its exceptions to determine whether they wish to request exception changes.
Level 2	N.A.
Level 3	Following a request by the NYSRC, the NYISO did not review a proposed exception change and provide the NYSRC with the results of its review on a timely basis.
Level 4	N.A.
9. Compliance Documentation Reporting Entity	NYISO
10. Compliance Monitoring Entity	Review of NYISO compliance documentation – RCMS
11. Implementation Plan	E-M9 requirements in PRR 105 shall be implemented by the NYISO immediately following Executive Committee adoption of E-M9 and Policy 1-5 revisions. The NYISO shall certify at the next RCMS meeting that it has implemented these requirements.
12. Comments	
13. Date Rule/Measurement Adopted	
14. PRR Revision Dates	10/1/09,11/5/09,1/6/10, 1/10/10, 2/4/10, 4/23/10, 6/4/10

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The NYISO shall review the exceptions to the NYSRC Reliability Rules granted under NYSRC Policy 1, *Procedure for Reviewing, Developing, Modifying, and Disseminating NYSRC Reliability Rules*, as follows:¶
<#>The NYISO shall verify at least annually that each exception is still required or needs modification.¶
<#>The NYISO shall review written requests made at any time by a Transmission Owner to modify or remove any of the Transmission Owner’s current exceptions. ¶
<#>The NYISO shall notify the NYSRC after the NYISO has determined that an exception is no longer required or needs modification and document the reasons for such determination including NYISO’s assessment that there would be no adverse impact to reliability upon removal of the exception.¶
<#>¶
E-M10:¶
Requests to obtain, modify or remove exceptions to the Reliability Rules shall be in accordance with the procedures for obtaining an exception as described in NYSRC Policy 1-6, *Procedure for Reviewing, Developing, Modifying, and Disseminating NYSRC Reliability Rules*. All requests to the NYSRC for obtaining exceptions shall be accompanied by supporting documentation as described in Policy 1-6.

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