APPLICATIONS OF THE NYSRC RELIABILITY RULES

INTRODUCTION

The September 1, 1999 version of the New York Independent System Operator (“NYISO”) Transmission and Dispatching Operations Manual states the following in Section 1.1.3, Applications of Reliability Rules:

The NYISO will be responsible to enforce the NYSRC Reliability Rules ("Reliability Rules") for the NYISO Secured Transmission System. Certain applications of the Reliability Rules, previously implemented by the Transmission Owners, will continue to require close coordination between the Transmission Owners\(^1\) and the NYISO in order to ensure the reliability on the NYS Power System. The Transmission Owners will need to:

(1) Implement the Applications of the Reliability Rules for those portions of the NYS Transmission System not included in the NYISO Secured Transmission System; and

(2) Coordinate with the NYISO the implementation of certain Applications of the Reliability Rules where the NYISO lacks the necessary analysis and/or monitoring capabilities.

The Applications of the Reliability Rules were assembled before NYISO startup from existing operating procedures / local reliability rules. They consist of procedures that apply to very specific system locations or conditions.

The current list of Applications of the Reliability Rules can be found on the NYISO website at:

In accordance with NYSRC Reliability Rule E-R9 requirements, the NYSRC will perform compliance reviews to ensure that the NYISO is monitoring compliance with the Applications of the Reliability Rules by the Transmission Owners.

\(^1\) For the purpose of this document Transmission Owners include any entity that owns transmission facilities in the New York Control Area.
PROCESS FOR DEFINING NEW OR MODIFYING EXISTING APPLICATIONS OF THE RELIABILITY RULES IN ACCORDANCE WITH NYSRC RELIABILITY RULE E-R9

The process by which new Applications of the Reliability Rules or modification of existing Applications of the Reliability Rules are defined is as follows:

1. The following entities can define new Applications of the Reliability Rules or modify existing Applications of the Reliability Rules:
   - NYISO
   - Transmission Owners

2. Applications of the Reliability Rules proposed by the Transmission Owners shall be referred to the NYISO for approval.

3. Once the NYISO concurs, it shall take two actions:
   - Include them in a list of Applications on the NYISO web site.
   - Advise the NYSRC.