

**Joint Meeting of the  
New York State Reliability Council, L.L.C. (NYSRC)  
Reliability Rules Subcommittee (RRS)/  
Reliability Compliance Monitoring Subcommittee (RCMS)**

**RRS/RCMS Meeting at the NYSERDA Offices  
17 Columbia Circle, Albany, NY  
Thursday, September 1, 2011**

**Draft Minutes of RCMS Meeting No. 140**

**RCMS/RRS Members and Alternates:**

Roger Clayton, Electric Power Resources (Chairman)  
Dean Ellis, Dynegy (Phone)  
Larry Hochberg, NYPA (Phone)  
Anie Philip, LIPA (Phone)  
Roy Pfleiderer, National Grid (Phone)  
Henry Wysocki, Con Edison (Phone)

**Non-Voting Participants:**

Alan Adamson, NYSRC Consultant (Acting Secretary)  
Jim Grant, NYISO  
Paul Kiernan, NYISO  
Edward Schrom, NYS DPS (Phone)

**Guests:**

Jim D'Andrea, TransCanada  
Louis Maiocco, Con Edison (Phone – part time)  
John Ravalli, NYISO (part time)  
Zach Smith, NYISO

**1. Introduction**

Roger Clayton called the meeting to order at 12:45 pm.

**1.1. Executive Session**

None requested.

**1.2. Requests for Additional Agenda Items**

None requested.

## **2. Approval of Minutes / Action Items**

### 2.1 Approval of RCMS Minutes #139

The minutes for meeting #139 were approved without changes.

### 2.2 RCMS Action Items List

- Action Item 139-1. Mr. Grant reported that the demand response data during the July peak is not yet available. He expects that this information will be available by the November meeting.
- Action Item 139-2. Mr. Grant reported that the August NPCC Compliance Committee report is not yet available.
- Action Item 139-3. Status of G-M3 blackstart violation will be covered in Agenda Item 5.

## **3. NYSRC 2011 Reliability Compliance Monitoring Program**

### 3.1. 2011 Reliability Compliance Monitoring Program Summary

This month's updated 2011 Compliance Program matrix reflects the August 4 meeting's full compliance findings for E-M6, I-M1, I-M2, and I-M3.

### 3.2. NYISO Monthly Operations Report

The August operations report and a report describing an August 28 major emergency will be transmitted to RCMS in a few days. Both will be discussed at the October 6 meeting [**AI #140-1**].

### 3.3. Procedures for Maintenance of Load Flow, Short-Circuit and Stability Data (C-M9)

RCMS reviewed a NYISO self-certification and supporting compliance documentation for C-M9 submitted on August 25. As required by the PRR 103 Implementation Plan, and in accordance with C-M9.2, 9.3 and 9.4 requirements, Mr. Smith described the NYISO data screening procedure (provided to RCMS), the process the NYISO has used to apply this procedure, and examples of how the procedure has been applied. Following discussion, RCMS found the NYISO in Full Compliance with C-M9.

### 3.4. Updating of Load Flow, Short-Circuit, and Stability Data Bases (C-M10)

RCMS reviewed a NYISO self-certification for C-M10. Following discussion, RCMS found the NYISO in Full Compliance with C-M10.

### 3.5. Reporting of Load Flow, Short-Circuit and Stability Data to the NYISO (C-M11)

RCMS reviewed a NYISO certification for C-M11 that certified that all market participants and developers were in full compliance with C-M11. Following discussion, *RCMS found the NYISO in Full Compliance with C-M11.*

### 3.6. Compliance Reviews Scheduled for Next Meeting – C-M13, E-M6b, E-M7, E-M9

## **4. Policy 4 Draft Revision**

Mr. Adamson reviewed that latest draft version of Policy 4-5, dated 8/9/11. This draft includes new Sections 8 and 9. RCMS members had no comments on this draft.

Mr. Grant discussed a white paper prepared by NYISO staff which focuses on suggestions to modify the NYSRC compliance program to make it more consistent with the NERC and NPCC compliance programs. The paper describes the NERC and NPCC self-certification programs, the NERC audit program, and the NERC spot check process. RCMS members noted that the current Policy 4 draft already includes these types of compliance reviews. However, NYISO staff maintains that certain information that is presently requested to augment self-certifications is considered at times by the NYISO staff as audits. After extensive discussion, Mr. Clayton stated that he will report these NYISO staff suggestions to the Executive Committee at its next meeting on September 9 [AI #140-2].

## **5. Status of G-M3 Blackstart Provider Compliance for the 2011-2012 Capability Year**

Mr. D'Andrea reported that TC Ravenswood filed a another petition to FERC on August 26, 2011, which included: (1) a continued request to waive the Ravenswood blackstart test in March 2011 for the 2011-12 Capability Year that had resulted in the start-up test exceeding the allotted time period for one Ravenswood unit, and (2) a request to withdraw from the NYISO Blackstart Program on September 30, 2011, earlier than allowed by the NYISO Tariff and in a previous Ravenswood TC petition to FERC. A FERC ruling is expected by mid-September. Responding to a RCMS question, Mr. D'Andrea stated that Ravenswood would attempt to respond to blackstart requests during emergencies even if it was not part of the Blackstart Program.

RCMS members were concerned that if Ravenswood withdraws from the Blackstart Program, this could impact NYCA emergency restoration capability and threaten system reliability. RCMS members continued discussing a concern expressed in a previous meeting as to whether the blackstart testing requirement in G-M3 was violated, even if FERC grants the TC Ravenswood waiver request. After a FERC ruling is received, RCMS will discuss whether NYSRC Reliability Rules were violated in these cases.

## **6. NYISO/NPCC/NERC Activities**

### 6.1. NYISO 2011 NERC/NPCC Compliance Program Status

Mr. Grant reviewed the latest NYISO's compliance tracker.

### 6.2. NPCC Standards/Criteria Development Update

This was covered during the RRS portion of the joint meeting.

### 6.3. NERC Standards Development Update

This was covered during the RRS portion of the joint meeting.

### 6.4. NPCC Compliance Committee Report

Mr. Grant will report on recent NPCC Compliance Committee actions at the next meeting [AI #139-2].

### 6.5. Other NYISO, NPCC, and NERC Activities

No report.

## **7. Reports**

### 7.1. NYSRC EC Meeting Report

This was covered during the RRS portion of the joint meeting.

### 7.2. NYSRC ICS Report

This was covered during the RRS portion of the joint meeting.

## **8. Additional Agenda Items**

None.

**9. Next Meeting #141: Thursday, October 6, 2011, 9:30 am @ NYSERDA, 17 Columbia Circle, Albany, NY.** The remaining joint RRS/RCMS meetings in 2011 will be held on November 3 and 29.

The meeting closed at 2:45 pm.