

Reliability Compliance Monitoring Subcommittee (“RCMS”) **Work Scope**

Purpose

The Reliability Compliance Monitoring Subcommittee (“RCMS”) will manage the NYSRC compliance monitoring process and procedures for measuring and documenting compliance by the NYISO and market participants with the NYSRC Reliability Rules in accordance with the NYSRC and ISO/NYSRC Agreements and the processes and procedures established by the NYSRC Executive Committee.

Responsibilities

1. Recommend to the NYSRC Executive Committee, NYSRC compliance monitoring processes and procedures for ensuring compliance with NYSRC Reliability Rules. The procedures should provide reporting requirements (assessments, data, and analyses) for showing conformance by responsible entities with the NYSRC Reliability Rules, and for determining reliability trends.
2. Develop and maintain a list of NYSRC assessments, timelines, and entities responsible for preparing required data, documents, and analyses. The list will specify whether this information shall be submitted to the NYISO, NYSRC, or NPCC for compliance monitoring.
3. Document requirements for full compliance and levels of non-compliance for each NYSRC Reliability Rule.
4. Monitor compliance with those NYSRC Reliability Rules that the NYSRC has specific monitoring responsibility, and designate full compliance or level of non-compliance, as appropriate.
5. Notify entities of full compliance/or level of non-compliance with the NYSRC Reliability Rules, and recommend if appropriate, follow-up actions to ensure future compliance.
6. Prepare reports on compliance monitoring findings for submission to the NYSRC Executive Committee. These reports should include the compliance/non-compliance notifications described in Responsibility 5, and summaries and analyses requested by the NYSRC Executive Committee.
7. Recommend to the NYSRC Executive Committee implementation of the dispute resolution process, when appropriate.

8. Provide oversight and review of NYISO and NPCC compliance monitoring processes for those NYSRC Reliability Rules that the NYISO and NPCC have monitoring responsibility.
9. Coordinate with the NYISO, New York's participation in the NERC/NPCC Pilot Compliance Program.
10. Review NYISO and transmission provider studies examining compliance with NYSRC Reliability Rules of proposed generation and transmission additions and modifications. Reports summarizing these reviews and conclusions should be submitted to the NYSRC Executive Committee.
11. Recommend to the NYSRC Reliability Rules Subcommittee (“RRS”) and NYSRC Executive Committee, when appropriate, development of new NYSRC Reliability Rules or modifications of existing rules.
12. Prepare periodic status reports to the NYSRC Executive Committee. Also prepare reports for the NYSRC Executive Committee to disseminate to FERC and the PSC.

Reporting

The RCMS reports to the NYSRC Executive Committee.

RCMS Membership

The RCMS includes representatives from the NYSRC members and PSC and NYISO participants.

Approval Process

A consensus is required to pass a measure. Minority reports to the NYSRC Executive Committee may be prepared.

Technical Analyses

As required, the RCMS will request NYISO, or retain consulting services, to perform technical analyses to meet its responsibilities.

Liaison with NPCC

The RCMS will nominate one of its members, for NYSRC Executive Committee approval, to represent the NYSRC on the NPCC Compliance Monitoring and Assessment Subcommittee (“CMAS”).

Initially Approved by the NYSRC Executive Committee on July 21, 1999.

Revision 1 - Approved by the NYSRC Executive Committee on November 9, 2001.