

## NYSRC COMPLIANCE REVIEW PLAN: 2016 to 2018<sup>1</sup>

RR	M	Requirements	Compliance Review Frequency	2016	2017	2018
A.1	1	R.1,R.2	Every 3 years	✓		
A.2	1	R.1 to R.3	Annually	✓	✓	✓
	2*	R.4	Annually	✓	✓	✓
	3	R.5	As Required			
A.3	1	R.1	Annually	✓	✓	✓
B.1	1	R.1 to R.5	Every 3 years	✓		
B.2	1	R.1 to R.7	Annually	✓	✓	✓
B.3	1	R.1 to R.3	Annually	✓	✓	✓
C.1	1	R.1, R.2	Every 3 years	✓		
C.2	1	R.1, R.2	As Required			
C.3	1	R1 to R.6	Every 3 years	✓		
C.4	1	R.1 to R.3	Every 3 years	✓		
C.5	1	R.1,R.3	Annually	✓	✓	✓
	2*	R.2	Annually	✓	✓	✓
C.6	1	R.1	Every 3 years	✓		
C.7	1	R.1	Every 3 years	✓		
C.8	1	R.1	Monthly	✓	✓	✓
D.1	1	R.1 to R.8	Every 3 years		✓	
	2	R.9	As Required			
	3	R.10	Annually	✓	✓	✓
D.2	1	R.1,R.2	Every 3 years		✓	
	2*	R.3	Annually	✓	✓	✓
E.1	1	R.1 to R.7	Every 3 years	✓		
F.1	1	R.1	Every 3 years		✓	
	2*	R.2	Annually	✓	✓	✓
	3*	R.3	Annually	✓	✓	✓
F.2	1	R.1	Every 3 years		✓	
G.1	1	R.1 to R.5	Every 3 years		✓	
	2	R.6	Every 3 years		✓	
	3*	R.7	Annually	✓	✓	✓
G.2	1*	R.1	Annually	✓	✓	✓
	2	R.2	Every 3 years		✓	
G.3	1*	R.1	Annually	✓	✓	✓
	2	R.2	Every 3 years		✓	
G.4	1	R.1	Every 3 years		✓	
	2*	R.2	Annually	✓	✓	✓

<sup>1</sup> Approved by RCMS in November 2015.

RR	M	Requirements	Compliance Review Frequency	2016	2017	2018
H.1	1	R.1,R.2	Every 3 years			✓
	2	R.3	Every 3 years			✓
	3	R.4	As Required			
I.1	1	R.1,R.2	Every 3 years			✓
	2*	R.3	Annually	✓	✓	✓
	3*	R.4	Annually	✓	✓	✓
I.2	1	R.1	Every 3 years			✓
	2*	R.2	Annually	✓	✓	✓
	3	R.3	Annually	✓	✓	✓
	4	R.4	Annually	✓	✓	✓
	5*	R.5	Annually	✓	✓	✓
I.3	1	R.1	Every 3 years			✓
	2	R.2	Annually	✓	✓	✓
I.4	1	R.1	Every 3 years			✓
	2	R.2	Every 3 years			✓
	3*	R.3	Annually	✓	✓	✓
I.5 <sup>2</sup>	1	R.1	Every 3 years			✓
	2*	R.2	Annually	✓	✓	✓

<b>Total Compliance Reviews in Year</b>	<b>33</b>	<b>34</b>	<b>33</b>
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#### Break-Down of Types of Frequency Review Periods

<b>Every 3 years</b>	<b>8</b>	<b>9</b>	<b>8</b>
<b>Annually</b>	<b>24</b>	<b>24</b>	<b>24</b>
<b>Monthly</b>	<b>1</b>	<b>1</b>	<b>1</b>

\*Market Participant Requirement.

<sup>2</sup> This Reliability Rule is expected to be retired in late 2016, and therefore its requirements will not be included in the 2017 and 2018 Compliance Programs.