

**Request to Develop or Modify Reliability Rules (NYSRC Policy No. 1-4)**

Submit request to [raymond40@aol.com](mailto:raymond40@aol.com) via the NYSRC site [www.nysrc.org](http://www.nysrc.org)

Item	Response
<b>1. Respondent</b>	
Name	NYSRC Reliability Rules Subcommittee
Organization	
Address	
Phone & Fax numbers	
Email address	
<b>2. Title of proposed rule change &amp; PRR No.</b>	<b>Exceptions to the NYSRC Reliability Rules (PRR #94)</b>
<b>3. New rule or modification of NYSRC RR?</b>	
If a new rule is proposed, provide any relevant citation to existing standards	There are no existing standards that relate to this proposed rule. A list of exceptions to the NYSRC Reliability Rules, which the proposed rule references, is located in Appendix VI of the NYSRC RR Manual.
If a modification to an existing rule is proposed, provide NYSRC RR reference	
<b>4. Wording of proposed rule change</b>	<b>E-R10:</b> A list of all exceptions to the NYSRC Reliability Rules shall be established and maintained.
<b>5. Rationale for proposed rule change</b>	
Identify advantages	See Line 12, Comments
Identify disadvantages	None
<b>6. Measurement(s)</b>	<b>E-M9:</b> The NYISO shall annually review exceptions to the NYSRC Reliability Rules granted under NYSRC Policy 1, <i>Procedure for Reviewing, Developing, Modifying, and Disseminating NYSRC Reliability Rules</i> . This review shall verify that the basis for each exception is still valid. The NYISO shall notify the NYSRC when it has determined that an exception is no longer applicable and document the reasons why. The NYISO shall revise the list of exceptions after the NYISO receives agreement from the NYSRC on the results of its review.
<b>7. Full Compliance Statement</b>	The NYISO shall annually review exceptions to the NYSRC Reliability Rules, report to the NYSRC those exceptions that it determines are no longer applicable, and update its list of exceptions if the NYSRC agrees with the NYISO assessment, in accordance with Measurement E-M9.
<b>8. Levels of Non-Compliance</b>	
<b>Level 1</b>	The NYISO conducted an annual review of exceptions, but did not revise its list of exceptions after the NYSRC agreed with the NYISO that one or more exceptions are no longer applicable.
<b>Level 2</b>	The NYISO conducted an annual review of exceptions, but did not notify the NYSRC if it determined that one or more exceptions are no longer applicable
<b>Level 3</b>	The NYISO did not conduct an annual review of exceptions as required.
<b>Level 4</b>	Not applicable.

<b>9. Responsible Entity</b>	NYISO
<b>10. Compliance Monitoring Entity</b>	RCMS
<b>11. Implementation Plan</b>	The NYISO's initial annual exception review shall be completed by June 1, 2008, with the results of that review transmitted to the NYSRC by July 1, 2008.
<b>12. Comments</b>	This new rule is required in order to implement the provisions of a new NYSRC Policy 1 procedure for reviewing exceptions to the NYSRC Reliability Rules. The scope of the review is to determine if an exception is still relevant, but not to question the original justification for the exception. In particular, Section 3.01 of the NRSRC Agreement states, "The NYSRC shall initially adopt those existing rules, policies and procedures of the NYPP that relate to or affect the reliability of the NYS Power System." This statement includes all pre-existing exceptions, all of which existed in some NYISO or TO procedures.
<b>13. Date Rule/Measurement Adopted</b>	December 14, 2007
<b>14. PRR Revision Dates</b>	6/18/07, 6/30/07, 7/24/07, 8/2/07, 8/30/07, 9/6/07, 12/14/07