

Request to Develop Reliability Rules for Implementation of Rules Enhancement Plan (REP)

Item	Response
1. Rules Enhancement Plan (REP) Description	On April 11, 2013, the NYSRC Executive Committee adopted a Rules Enhancement Plan (REP) which: (1) restructures the present NYSRC Reliability Rules and supporting elements, and (2) proposes new rules and revises others to ensure they are consistent with current and pending NERC and NPCC standards and criteria, while continuing to maintain NYSRC's more stringent and specific requirements. Proposed new and revised rules are highlighted below in red-line. The REP is described in the report, <i>Rules Enhancement Plan</i> , www.nysrc.org/ , which describes the restructured rule format (PRR Lines 3-7) and the tasks for implementing the REP.
2. Reliability Rule Requester	NYSRC Reliability Rules Subcommittee (RRS)
3. REP Proposed Reliability Rule (PRR) No.	REP-29
4. Title & No. of Proposed Reliability Rule	I-R4: System Restoration from Eligible Black Start Resources
5. Section A – Reliability Rule Elements	
1. Reliability Rule	The NYCA SRP shall allow for the inclusion or continued inclusion of any <i>Eligible Black Start Resource</i> in the Con Edison SRP that would provide a <i>Material Benefit</i> to its SRP if included.
2. Associated NERC & NPCC Standards and Criteria	None
3. Applicability	NYISO; Con Edison
6. Section B – Requirements	
Requirements	<p>R1. The NYISO shall have procedures and implement actions to provide for the inclusion or continued inclusion of any <i>Eligible Black Start Resource</i> in the Con Edison SRP, as follows:</p> <p>R1.1. Con Edison shall perform and document studies to identify any <i>Eligible Black Start Resource</i> that would provide a <i>Material Benefit</i> to its SRP if included. These studies shall be conducted whenever changes to relevant system conditions may affect the results of the previous study.</p> <p>R1.2. If Con Edison identifies an <i>Eligible Black Start Resource</i> that would provide a <i>Material Benefit</i> to its SRP if included based on a study pursuant to R1.1, Con Edison shall designate the resource for participation in its SRP. Con Edison shall notify the NYISO and the applicable generator owner that the resource has been designated for participation or continued participation as a black start resource in its SRP. This notification shall be accompanied by supporting rationale and documentation, including a Con Edison study, subject to appropriate confidentiality protections. The NYISO may request additional documentation, as required, from Con Edison.</p> <p>R1.3. If the owner of the <i>Eligible Black Start Resource</i> designated by Con Edison pursuant to R1.2 does not want to participate or continue to participate in the Con Edison SRP, it must seek an exemption from the NYISO for</p>

good cause.

R1.3.1. The NYISO shall require the owner of the *Eligible Black Start Resource* seeking an exemption to provide to the NYISO and Con Edison a study and/or other documentation to support its contention that good cause exists for the exemption. Good cause may include engineering, technical, financial, environmental, or other reasons that would render the provision or continued provision of black start service by the resource unduly burdensome or unreasonable.

R1.3.2. After reviewing the documentation pursuant to R1.3.1, the NYISO may request additional documentation from the *Eligible Black Start Resource* requesting the exemption or from Con Edison.

R1.3.3. The NYISO shall determine whether good cause for an exemption has been demonstrated after considering: (1) the supporting documentation submitted by the resource owner seeking the exemption, and (2) information developed by the NYISO or provided by Con Edison. If the NYISO determines that good cause has been demonstrated for an exemption, it shall grant the exemption. If the NYISO determines that good cause has not been demonstrated, it shall deny the exemption and direct the black start resource to participate or continue to participate in the Con Edison SRP.

R1.3.4. The NYISO shall inform the NYSRC that an exemption request has been made and submit a report to the NYSRC regarding its determination, subject to appropriate confidentiality protections.

R2. Con Edison shall have procedures and implement actions for the identification of *Eligible Black Start Resources* in accordance with R1 and NYISO procedures, as follows:

R2.1. Con Edison shall perform and document studies to identify *Eligible Black Start Resources* that would provide a *Material Benefit* to its SRP if included. These studies shall be conducted whenever changes to relevant system conditions may affect the results of the previous study.

R2.2. If Con Edison identifies an *Eligible Black Start Resource* that would provide a *Material Benefit* to its SRP if included based on a study pursuant to R2.1, it shall designate the resource for participation or continued participation in its SRP. Con Edison shall notify the NYISO and the applicable generator owner that the resource has been designated for participation or continued participation as a black start resource in its SRP. This notification shall be accompanied by supporting rationale and documentation, including a Con Edison study, subject to appropriate confidentiality protections. If requested, Con Edison

	<p>shall provide additional documentation to the NYISO.</p> <p>R2.3. If, after being notified that it has been designated as a <i>Eligible Black Start Resource</i> for participation or continued participation in the Con Edison SRP, the owner of the resource does not want to participate or continue to participate, Con Edison shall prepare supplemental information, if requested by the NYISO, for use in a NYISO review to determine whether an exemption for the resource from participation or continued participation in the Con Edison SRP shall be granted or denied pursuant to R1.3.3.</p>
7. Section C – Compliance Elements	
1. Measures	<p>M1. The NYISO has documented procedures and has implemented actions for Con Edison to designate <i>Eligible Black Start Resources</i> in the Con Edison SRP, in accordance with requirements in R1.</p> <p>M2. Con Edison has procedures and has implemented actions for the identification of <i>Eligible Black Start Resources</i> for participation or continued participation in its SRP, in accordance with R2. In accordance with R2.2, Con Edison has submitted its methodology and/or study for identifying <i>Eligible Black Start Resources</i> that provide a <i>Material Benefit</i> to its SRP to the NYISO and/or NYSRC when requested.</p>
2. Levels of Non-Compliance	<p>For M1:</p> <p><u>Level 1:</u> Not applicable.</p> <p><u>Level 2:</u> The NYISO has procedures and implements required actions for Con Edison to identify <i>Eligible Black Start Resources</i>, but the NYISO did not fully comply with required actions in R1.3 when an <i>Eligible Black Start Resource</i> requests an exemption.</p> <p><u>Level 3:</u> Not applicable.</p> <p><u>Level 4:</u> The NYISO does not have procedures nor implemented actions for Con Edison to identify <i>Eligible Black Start Resources</i>.</p> <p>For M2:</p> <p><u>Level 1:</u> Not applicable</p> <p><u>Level 2:</u> Con Edison has procedures for identifying <i>Eligible Black Start Resources</i> for inclusion or continued inclusion in its SRP, but the procedures were incomplete.</p> <p><u>Level 3:</u> Con Edison did not submit its black start resource identification methodology or study to the NYISO or NYSRC when requested.</p> <p><u>Level 4:</u> Con Edison failed to conduct a study for determining the need to include or continue to include any <i>Eligible Black Start Resources</i> in its SRP when required, as required by R2.1.</p>
3. Compliance Monitoring Process (See Policy 4):	

3.1 Compliance Monitoring Responsibility	M1: RCMS M2: NYISO/RCMS
3.2 Reporting Frequency	M1: In accordance with NYSRC Compliance Monitoring Program schedules. M2: Annually
3.3 Compliance Reporting Requirements	M1: NYISO Self-Certification. M2: NYISO Certification of Con Edison compliance.
8. Comments	This Reliability Rule will be implemented in accordance with the NYSRC <i>Rules Enhancement Plan</i> .
9. Date Reliability Rule Adopted	
10. REP PRR Revision Dates	8/15/14