

# NYCA IRM Requirement Study

2021-2022 Preliminary Base Case (PBC)

Model Assumptions Assumption Matrix

Draft V 4.0 – June 3, 2020

## Load Forecast Uncertainty

| Load Forecast Uncertainty |   |   |   |   |              |                  |
|---------------------------|---|---|---|---|--------------|------------------|
| #                         | Parameter   | 2020 Model Assumptions  | 2021 Model Assumptions  | Basis for Recommendation  | Model Change | Est. IRM Impact* |
| 1                         | Peak Load Forecast<br>(Preliminary Base Case – Parametric & Sensitivities ) | 2019 Gold Book<br>NYCA: 32,202MW <sup>1</sup><br>NYC: 11,651 MW<br>Li: 5,134 MW<br>G-J: 15,911 MW     | 2020 Gold Book<br>NYCA: 32,129MW <sup>1</sup><br>NYC: 11,460 MW<br>Li: 5,139 MW<br>G-J: 15,758 MW | Most recent Gold Book Forecast is used for Preliminary Base Case parametric study and sensitivity cases |              | Low (+/-)        |
| 2                         | Peak Load Forecast<br>(Final Base Case)                                     | October 2019 Fest.<br>NYCA: 32,393 MW <sup>2</sup><br>NYC: 11,503 MW<br>Li: 5,384MW<br>G-J: 15,795 MW | October 2020 Fest.<br>NYCA: xxxxx MW <sup>2</sup><br>NYC: yyyy MW<br>Li: zzzz MW<br>G-J: wwwww MW | Forecast based on examination of 2020 weather normalized peaks  |              | TBD              |
| 3                         | Load Shape<br>(Multiple Load Shape)   | Bin 1: 2006<br>Bin 2: 2002<br>Bins 3-7: 2007  | Bin 1: 2006<br>Bin 2: 2002<br>Bins 3-7: 2007  | ICS Recommendation  |              | None             |
| 4                         | Load Forecast Uncertainty (LFU)-  | Zonal Model to reflect current data with input from Con Ed and LIPA.<br>(Attachment A)                | Zonal Model to reflect current data with input from Con Ed and LIPA.<br>(Attachment A)            | Based on TO and NYISO data analyses   |              | Medium (+)       |
| 5                         | LFU Winter  | <u>Updated see-</u><br><u>Attachment A1</u>   | Attachment A <u>21</u>  | Based on TO and NYISO data analyses   |              | None             |

\* (-) indicates a reduction in IRM while (+) indicates an increase. Range: Low < 0.5%, Medium 0.5% - 1%, High > 1%. Minimal indicates there may be some movement but within 0 to +/- 0.1%.

~~Note that the draft 2020 Gold Book has been issued for stakeholder comments and the numbers presented may be amended before the 2020 Gold Book is finalized by the end of April 2022. New Capacity resources will continue to be tracked by the NYISO. The Final Base Case resource list is subject to change based on project status' by October 2020, are from the draft Gold Book and current NYISO Interconnection Queue; not all of these resources will necessarily meet the IRM inclusion rules. The final decision to include/exclude new resources will be made closer to the PBC lock-down (e.g., late June).~~

<sup>1</sup> The loads associated with the BTM-NG program need to be added to these values.

<sup>2</sup> BTM-NG loads have been incorporated into these numbers.

## Generation Parameters

| #  | Parameter   | 2020 Model Assumptions   | 2021 Model Assumptions   | Basis for Recommendation  | Model Change | Est. IRM Impact* |
|----|---|--|--|---|--------------|------------------|
| 6  | Existing Generating Unit Capacities   | 2019 Gold Book values. Use min. (DMNC vs. CRIS) capacity value   | 2020 Gold Book Values. Use min. (DMNC vs. CRIS) capacity value   | Latest Gold Book publication  |              | Low              |
| 7  | Proposed New Units ( <u>Thermal</u> <del>New</del><br><del>Renewable</del> ) and re-ratings                           | MW 1,020 MW of new <u>Thermal</u> <del>New</del><br>resources, plus 0 MW of project related re-ratings. (Attachment B1)                    | MW 0 MW of new <u>Thermal</u> <del>New</del><br>resources, plus 0 MW of project related re-ratings. (Attachment B1)                        | Latest Gold Book publication, NYISO interconnection queue and generation notifications  |              | TBD              |
| 8  | <u>Retirements,</u><br><del>Methane</del> <del>units</del><br><del>CAP</del> <del>units</del><br><u>Deactivations</u> | 1,205.9 MW of unit deactivations (Attachment B2)   | <del>0-MW-of-retirements,</del><br>1,104 MW of unit deactivations, <del>0-MW-of-</del><br><del>CAP-units</del> (Attachment B2)             | Latest Gold Book publications and generator notifications   |              | TBD              |
| 9  | Forced and Partial Outage Rates   | Five-year (2014-2018) GADS data for each unit represented. Those units with less than five years – use representative data. (Attachment C) | Five-year (2015-2019) GADS data for each unit represented. Those units with less than five years – use representative data. (Attachment C) | Transition Rates representing the Equivalent Forced Outage Rates (EFORD) during demand periods over the most recent 5 year period |              | TBD              |
| 10 | Planned Outages   | Based on schedules received by the NYISO and adjusted for history  | Based on schedules received by the NYISO and adjusted for history  | Updated schedules   |              | TBD              |

| #  | Parameter                                 | 2020 Model Assumptions  | 2021 Model Assumptions  | Basis for Recommendation   | Model Change | Est. IRM Impact* |
|----|---|---|---|--|--------------|------------------|
| 11 | Summer Maintenance                        | Nominal 50 <sup>4</sup> MWs – divided equally between Zones J and K   | Nominal xx MWs – divided equally between Zones J and K  | Review of most recent data   | TBD          |                  |
| 12 | Combustion Turbine Derates                | Derate based on temperature correction curves provided  | Derate based on temperature correction curves provided  | Operational history indicates the derates are inline with manufacturer's   | None         |                  |
| 13 | Existing and Proposed New Wind Units      | 0 MW of Wind Capacity additions totaling 1,891.7 MW of qualifying wind (Attachment B3)                          | <b>864.4126.0</b> -MW of Wind Capacity additions totaling Xx MW of qualifying wind (Attachment B3)              | ICAP units based on RPS agreements, interconnection queue and ICS input.   | TBD          |                  |
| 14 | Wind Shape                                | Actual hourly plant output over the period 2014-2018. New units will use zonal hourly averages or nearby units. | Actual hourly plant output over the period 2015-2019. New units will use zonal hourly averages or nearby units. | Program randomly selects a wind shape of hourly production from the most recent 5 year period for each model iteration.  | TBD          |                  |
| 15 | Existing and Proposed New Solar Resources | Total of 51.5 MW of qualifying Solar Capacity. (Attachment B3)  | <b>290.544W-0MW</b> of Solar Capacity additions totaling xx -MW of qualifying Solar Capacity. (Attachment B3)   | ICAP Resources connected to Bulk Electric System   | TBD          |                  |
| 16 | Solar Shape                               | Actual hourly plant output over the period 2014-2018. New units will use zonal hourly averages or nearby units. | Actual hourly plant output over the period 2015-2019. New units will use zonal hourly averages or nearby units. | Program randomly selects a solar shape of hourly production from the most recent 5 year period for each model iteration. | TBD          |                  |

| #  | Parameter                          | 2020 Model Assumptions   | 2021 Model Assumptions  | Basis for Recommendation  | Model Change | Est. IRM Impact* |
|----|------------------------------------|--|---|---|--------------|------------------|
| 17 | BTM- NG Program                    | No new BTM NG resources<br>(Attachment B4)                         | One new BTM NG resources<br>(Attachment B <u>54</u> )                             | Both the generation of the participating resources and the full host loads are modeled.   | TBD          | TBD              |
| 18 | Small Hydro Resources              | Actual hourly plant output over the period 2014-2018.              | Actual hourly plant output over the period 2015-2019.                             | Program randomly selects a Hydro shape of hourly production from the most recent five-year period for each model iteration.           | TBD          | TBD              |
| 19 | Large Hydro                        | Probabilistic Model based on 5 years of GADS data (2014-2018)      | Probabilistic Model based on 5 years of GADS data (2015-2019)                     | Transition Rates representing the Equivalent Forced Outage Rates (EFORd) during demand periods over the most recent five-year period. | TBD          | TBD              |
| 20 | Landfill Gas                       | Actual hourly plant output over the period 2014-2018.              | Actual hourly plant output over the period 2015-2019.                             | Program randomly selects a LFg shape of hourly production from the most recent five-year period for each model iteration.             | TBD          | TBD              |
| 21 | New ESR (Energy Storage Resources) | 0 MW of new battery storage resource scheduled (see attachment B3) | <del>220 MW-0 MW</del> of battery storage scheduled (see attachment B <u>43</u> ) | Sensitivities on simplified model and GE software enhancement   | Minimal      | Minimal          |

## Transactions – Imports and Exports

| #  | Parameter   | 2020 Model Assumptions   | 2021 Model Assumptions  | Basis for Recommendation   | Model Change | Est. IRM Impact* |
|----|---|--|---|--|--------------|------------------|
| 22 | Capacity Purchases                                    | Existing Rights:<br>PJM – 1,080 MW<br>HQ – 1,110 MW<br>All contracts model as equivalent contracts | Existing Rights:<br>PJM – 1,080 MW<br>HQ – 1,110 MW<br>All contracts model as equivalent contracts      | Grandfathered Rights, ETCNL, and other awarded long-term rights.                                   |              | None             |
| 23 | Capacity Sales  | Long Term firm sales Summer 281.1 MW   | Long Term firm sales Summer-<br><b>265.9MW***-MW</b>  | These are long term federal contracts.   |              | Minimal          |
| 24 | FCM Sales from a Locality <sup>5</sup>                | No Sales modeled within study period   | No Sales modeled within study period  | White Paper, NYISO recommendation  |              | None             |
| 25 | Wheels through NYCA                                   | 300 MW HQ to NE equivalent contract  | 300 MW HQ to NE equivalent contract   |  |              | None             |
| 26 | New UDRs<br>(Unforced capacity Deliverability Rights) | No new UDR projects  | <b>No new UDR projects - One Project with expired CRIS will be modeled as Emergency Assistance Only</b> | Existing UDR elections are made by August 1 <sup>st</sup> and will be incorporated into the model. |              | None             |
| 27 | New EDRs<br>(External Deliverability Rights)          | 0 MWs for 2020 Study   | xx MWs for 2021 Study   |  | TBD          |                  |

<sup>5</sup> Final FCM sales that will materialize are unknowable at the time of the IRM study. To reflect the impact these sales have on reliability, the NYISO applies a Locality Exchange Factor in the market.

## Topology

| #  | Parameter                    | 2020 Model Assumptions  | 2021 Model Assumptions   | Basis for Recommendation  | Model Change | Est. IRM Impact* |
|----|------------------------------|---|--|---|--------------|------------------|
| 28 | Interface Limits             | Updated UPNY-SENY interface group, Jamaica ties (from J to K), and UPNY-ConEd interface. The Cedars bubble merged into the HQ bubble (Attachment E) | Removal of PJM-SENY Group Interface, PSEG-LI updates to increase Zone K Imports/Exports (Attachment E- <u>E3</u> ) | Based on the most recent NYISO studies and processes, such as Operating Study, Operations Engineering Voltage Studies, Comprehensive System Planning Process, and additional analysis including interregional planning initiatives. | TBD          |                  |
| 29 | New Transmission             | None Identified   | None Identified  | Based on TO provided models and NYISO's review  | None         |                  |
| 30 | AC Cable Forced Outage Rates | All existing Cable EFORs for NYC and LI to reflect most recent five-year history (2014-2018)  | All existing Cable EFOR <u>ds</u> for NYC and LI to reflect most recent five-year history (2015-2019)              | TO provided transition rates with NYISO review.   | TBD          |                  |
| 31 | UDR Line Unavailability      | Five year history of forced outages (2014-2018)   | Five year history of forced outages (2015-2019)  | NYISO/TO Review   | TBD          |                  |

## Emergency Operating Procedures

| #  | Parameter              | 2020 Model Assumptions   | 2021 Model Assumptions   | Basis for Recommendation   | Model Change | Est. IRM Impact* |
|----|------------------------|--|--|--|--------------|------------------|
| 32 | Special Case Resources | July 2019 –1,282 MW based on registrations and modeled as 873 MW of effective capacity. Monthly variation based on historical experience | July 2020 –xxxx MW based on registrations and modeled as YYYY MW of effective capacity. Monthly variation based on historical experience | SCRs sold for the program discounted to historic availability. Summer values calculated from July 2020 registrations. Performance calculation updated per ICS presentations on SCR performance. (Attachment F) | TBD          |                  |
| 33 | Other EOPs             | 692 MW of non-SCR/non-EDRP resources (Attachment D)  | xxx MW of non-SCR/non-EDRP resources (Attachment D)  | Based on TO information, measured data, and NYISO forecasts.   | TBD          |                  |
| 34 | EOP Structure          | 12 EOP Steps Modeled   | 9 EOP steps modeled  | Based on agreement with ICS, step 1 and 2 separated step 3 removed and step 7 and 8 combined   | Y            | Minimal          |

## External Control Areas

| #  | Parameter                         | 2020 Model Assumptions   | 2021 Model Assumptions   | Basis for Recommendation  | Model Change | Est. IRM Impact* |
|----|-----------------------------------|--|--|---|--------------|------------------|
| 35 | PJM                               | Load and Capacity data provided by ISONE/NPCC CP-8 Data may be adjusted per NYSRC Policy 5 (Attachment E)                    | Load and Capacity data will be provided by ISONE/NPCC CP-8 Data may be adjusted per NYSRC Policy 5                           | Initial Review performed by the NPCC CP-8 WG prior to Policy 5 changes  | TBD          |                  |
| 36 | ISONE, Quebec, IESO               | Load and Capacity data provided by ISONE/NPCC CP-8 Data adjusted per NYSRC Policy 5 (Attachment E)                           | Load and Capacity data will be provided by ISONE/NPCC CP-8 Data adjusted per NYSRC Policy 5                                  | Initial Review performed by the NPCC CP-8 WG prior to Policy 5 changes  | TBD          |                  |
| 37 | External Adjustments per Policy 5 | If needed, add load to externals proportional to existing excess capacity  |  |   | TBD          |                  |
| 38 | Reserve Sharing                   | All NPCC Control Areas indicate that they will initially share reserves equally among all members and then among non-members | All NPCC Control Areas indicate that they will initially share reserves equally among all members and then among non-members | Per NPCC CP-8 WG  | None         |                  |
| 39 | Emergency Assistance              | Statewide Limit of 3,500 MW of emergency assistance allowed from neighbors.  | Statewide Limit of 3,500 MW of emergency assistance allowed from neighbors.  | White Paper on Modeling of Emergency Assistance for NYCA in IRM studies | None         |                  |

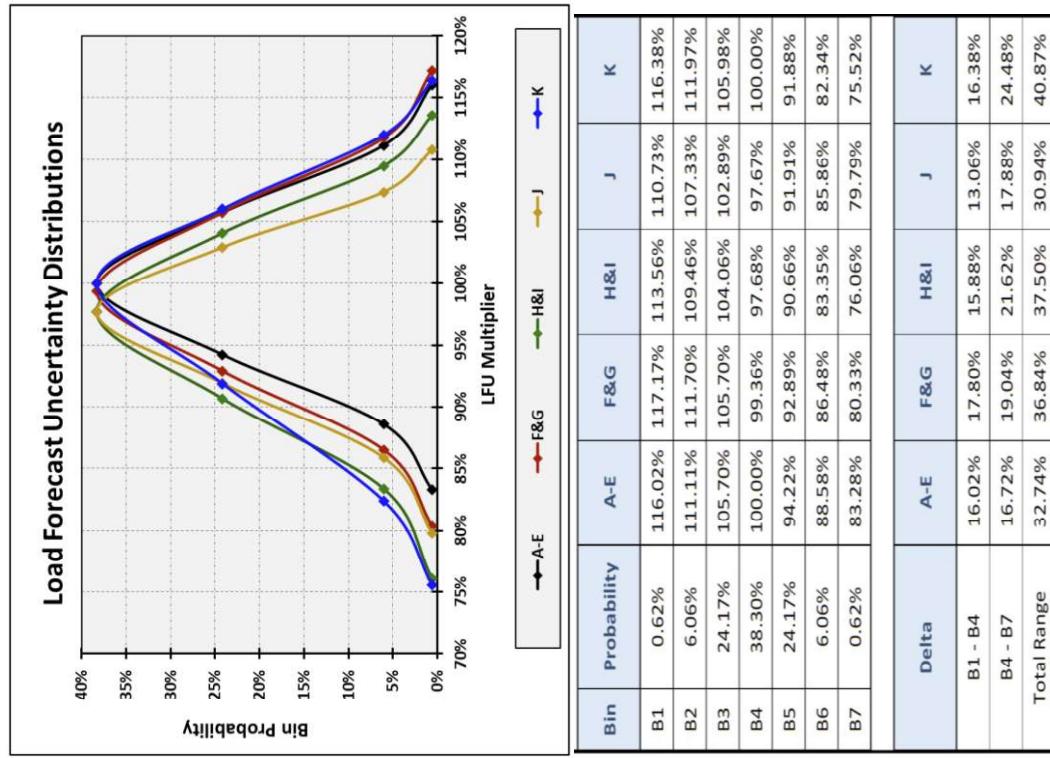
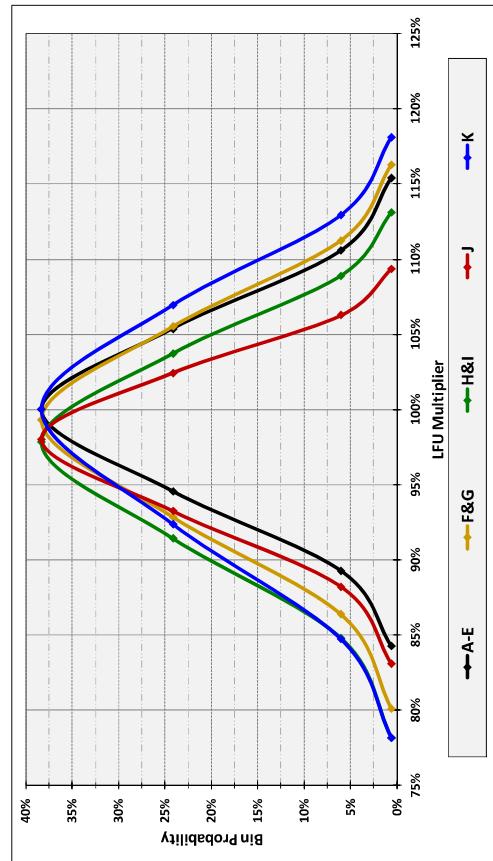
## Miscellaneous

| #  | Parameter                 | 2020 Model Assumptions  | 2021 Model Assumptions                 | Basis for Recommendation                 | Model Change | Est. IRM Impact* |
|----|---------------------------|---|--|--|--------------|------------------|
| 40 | MARS Model Version        | Version 3.22.6  | <u>3.27.1376.</u><br><u>3.29.1499*</u> | Per testing and ICS recommendation       |              | None             |
| 41 | Environmental Initiatives | Proposed rules would not take effect until after the summer of 2020 | TBD                                    | Review of existing regulations and rules | TBD          |                  |

\*3.29.1499 MARS Model Testing in progress

# Attachment A1

## NYCA Summer Load Forecast Uncertainty Model 2020 and 2021 Summer LFU Models



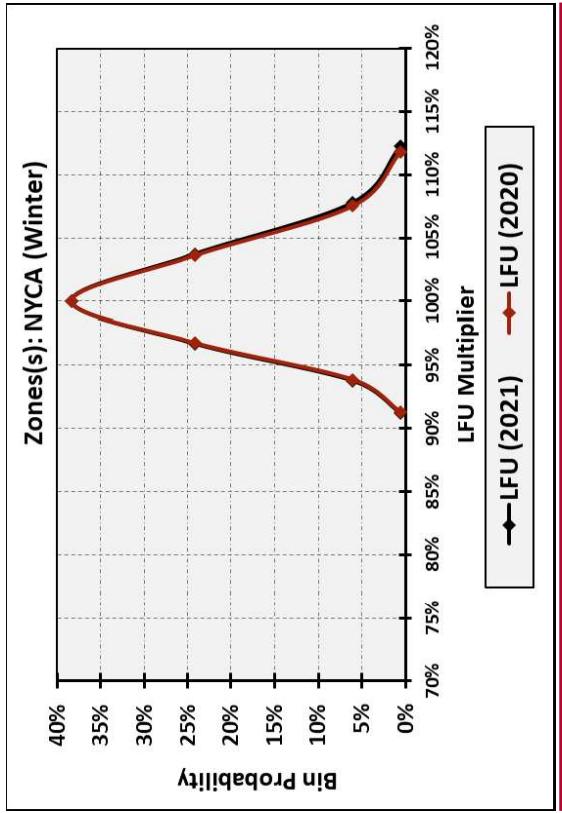
| Delta         | A-E    | F&G    | H&I    | J      | K      |
|---------------|--------|--------|--------|--------|--------|
| Bin 7 - Bin 4 | 15.70% | 19.19% | 19.66% | 14.97% | 21.84% |
| Bin 4 - Bin 1 | 15.39% | 16.97% | 15.30% | 11.34% | 18.09% |
| Total Range   | 31.09% | 36.16% | 34.96% | 26.31% | 39.93% |

| Delta       | A-E    | F&G    | H&I    | J      | K      |
|-------------|--------|--------|--------|--------|--------|
| B1 - B4     | 16.02% | 17.80% | 15.88% | 13.06% | 16.38% |
| B4 - B7     | 16.72% | 19.04% | 21.62% | 17.88% | 24.48% |
| Total Range | 32.74% | 36.84% | 37.50% | 30.94% | 40.87% |

# Attachment A21

## NYCA Winter Load Forecast Uncertainty Model

2020~~14~~ and 2021~~10~~ Winter LFU Models



| Zones(s): NYCA (Winter) |             |        |        |            |            |
|-------------------------|-------------|--------|--------|------------|------------|
| Bin                     | Probability | Wthr   | MW     | LFU (2021) | LFU (2020) |
| B1                      | 0.62%       | 53.75  | 25,593 | 112.22%    | 111.80%    |
| B2                      | 6.06%       | 47.98  | 24,577 | 107.77%    | 107.52%    |
| B3                      | 24.17%      | 42.20  | 23,648 | 103.69%    | 103.59%    |
| B4                      | 38.30%      | 36.43  | 22,806 | 100.00%    | 100.00%    |
| B5                      | 24.17%      | 30.66  | 22,051 | 96.69%     | 96.75%     |
| B6                      | 6.06%       | 24.89  | 21,383 | 93.76%     | 93.85%     |
| B7                      | 0.62%       | 19.12  | 20,802 | 91.22%     | 91.28%     |
| Design                  | 36.43       | 22,806 |        |            |            |

# Attachment B1

## New Thermal Units and Unit Re-ratings<sup>6</sup>

| B1 - Proposed Thermal Units and Unit Re-ratings (summer ratings) |      |                      |                     |                         |
|--|------|----------------------|---------------------|-------------------------|
| Project or Generator Name  | Zone | 2020 MARS Model (MW) | 2021 Gold Book (MW) | New or Incremental (MW) |
| New Units  |      |                      |                     |                         |
|  |      |                      |                     |                         |
|  |      |                      |                     |                         |
|  |      |                      |                     |                         |
|  |      |                      |                     |                         |
| Total New Units  |      |                      |                     |                         |

<sup>6</sup> Unit re-ratings are for generation facilities that have undergone upgrade projects.

## Attachment B2

Deactivations

| Announced Unit Deactivations since<br>2020 IRM Study |      |              |  |
|--|------|--------------|--|
| Generator Name                                       | Zone | CRIS (MW)    |  |
| West Babylon 4                                       | K    | 49.0         |  |
| Indian Point 3                                       | H    | 1,040.4      |  |
| Glenwood   | K    | 14.6         |  |
| <b>Total Removals</b>                                |      | <b>1,104</b> |  |

## Attachment B3

New Intermittent Resources

| Resource  | Zone         | CRIS (MW)         | Wind              |                   | Lesser of Summer Capability VS Crisis |
|---|--------------|-------------------|-------------------|-------------------|---------------------------------------|
|   |              |                   | New Wind          | Units             |                                       |
| <b>New Wind Units</b>                               |              |                   |                   |                   |                                       |
| Cassadaga Wind, LLC                                 | A            | 126.0             | 126.5             | 126.0             |                                       |
| <del>Anheuser-Busch Development Partners, LLC</del> | <del>K</del> | <del>500.00</del> | <del>500.00</del> | <del>500.00</del> |                                       |
| <del>Bitter Wind, LLC</del>                         | <del>E</del> | <del>300.00</del> | <del>238.4</del>  | <del>238.4</del>  |                                       |
| <b>Total New Wind</b>                               |              | <b>926.126.0</b>  | <b>864.9126.5</b> | <b>864.4126.0</b> |                                       |
| <b>Other Intermittent</b>                           |              |                   |                   |                   |                                       |
| KGEN-NY-2, LLC (Energy Storage)                     | G            | TBD               | 200               | TBD               |                                       |
| KGEN-NY-8, LLC (Energy Storage)                     | G            | TBD               | 20                | TBD               |                                       |
| <b>Total New Other</b>                              |              |                   | <b>220</b>        |                   |                                       |

| <b>Solar_B3-New Intermittent Resources</b> |      |            |                     |                                     |
|--|------|------------|---------------------|-------------------------------------|
| Resource                                   | Zone | CRIS (MW)  | Summer Capability   | Lesser of Summer Capability VS Cris |
| <b>New Solar Units</b>                     |      |            |                     |                                     |
| Mehawk Solar, LLC                          | F    | TBD        | 90.5                | TBD                                 |
| Rock District Solar, LLC                   | F    | TBD        | 20                  | TBD                                 |
| Tayendehoga Solar, LLC                     | F    | TBD        | 20                  | TBD                                 |
| Hecate Energy-Albany 1 LLC                 | F    | TBD        | 20                  | TBD                                 |
| Hecate Energy-Albany 2 LLC                 | F    | TBD        | 20                  | TBD                                 |
| Ghissenti Solar, LLC                       | F    | TBD        | 20                  | TBD                                 |
| Barby Solar, LLC                           | F    | TBD        | 20                  | TBD                                 |
| Branseem Solar, LLC                        | F    | TBD        | 20                  | TBD                                 |
| ELP-Tieondehoga Solar LLC                  | F    | TBD        | 20                  | TBD                                 |
| Regan Solar, LLC                           | F    | TBD        | 20                  | TBD                                 |
| Puckett Solar, LLC                         | F    | TBD        | 20                  | TBD                                 |
| <b>Total New Solar</b>                     |      | <b>TBD</b> | <b>290.5</b>        | <b>TBD</b>                          |
| <b>Total New Intermittent</b>              |      | <b>TBD</b> | <b>1,375.4126.5</b> | <b>TBD</b>                          |
|  |      |            |                     | <b>126.0</b>                        |

\*The NYISO will track the status of these projects

## Attachment B4

### New Energy Storage Resources

| Resource                        | Energy Storage |           |                   |  | Lesser of Summer Capability VS Cris |
|---------------------------------|----------------|-----------|-------------------|--|-------------------------------------|
|                                 | Zone           | CRIS (MW) | Summer Capability |  |                                     |
| New Battery Units               |                |           |                   |  |                                     |
|                                 |                |           |                   |  |                                     |
|                                 |                |           |                   |  |                                     |
| <b>Total New Energy Storage</b> |                |           |                   |  |                                     |

# Attachment B5

## Resources in the Behind the Meter Net Generation Program (BTM-NG)

| Attachment B4 -Units in the Behind the Meter Net Generation Program* |      |                                  |  |
|--|------|----------------------------------|--|
| Generator Name   | Zone | Resource Value (MW) <sup>1</sup> | Peak Load Adjustment (MW) <sup>2,3</sup> |
| <b>Existing:</b>   |      |                                  |  |
| Stony Brook  | K    | <u>47.036.2</u>                  | <u>±BD42.0</u>                           |
| Greenridge 4   | C    | <u>442.5103.4</u>                | <u>±BD20.5</u>                           |
| <b>New:</b>  |      |                                  |  |
| Lyons Falls Hydro  | E    | 8.0                              | <u>±BD2.7</u>                            |
| <b>Total BTM-NG</b>  |      | <b><u>147.6</u></b>              | <b><u>65.2</u></b>                       |

\* The IRM study independently models the generation and load components of BTM:NG Resources

1. Based on adjusted Dependable Maximum Gross Capability (DMGC) value
2. Based on Average Coincident Host Load (ACHL)
3. The load adjustment values need to be added to the load forecast

## Attachment C

### NYCA Five Year Derating Factors

## Attachment D

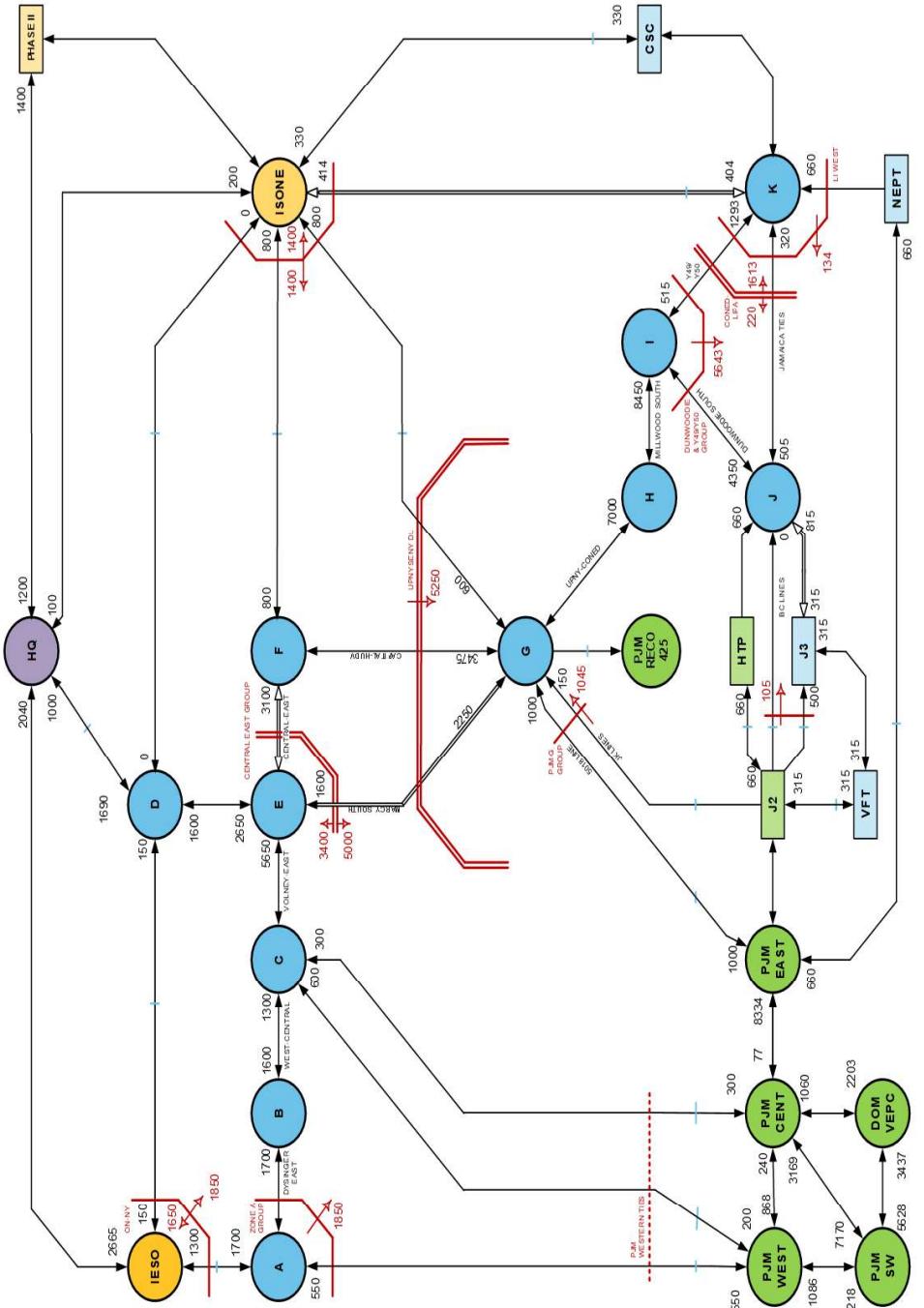
### Emergency Operating Procedures

| <b>Step</b> | <b>Procedure</b>   | <b>2020 MW Value</b>            | <b>2021 MW Value</b> |
|-------------|--|---------------------------------|----------------------|
| 1           | Special Case Resources –Load, Gen                              | 1,282 MW<br>Enrolled/<br>873 MW |                      |
| 2           | 5% manual voltage Reduction                                    | 57 MW                           |                      |
| 3           | Thirty-minute reserve to zero                                  | 655 MW                          |                      |
| 4           | 5% remote voltage reduction                                    | 347 MW                          |                      |
| 5           | Voluntary industrial curtailment,<br>General Public Appeals    | 287 MW                          |                      |
| 6           | Emergency Purchases  | Varies                          |                      |
| 7           | Ten-minute reserves to zero                                    | 1,310 MW                        |                      |
| 8           | Customer disconnections  | As needed                       |                      |
| 9           | Adjustment used if IRM is lower<br>than technical study margin | As needed                       |                      |

# Attachment E - IRM Topology

## 2021 IRM Topology (Summer Limits)

### Draft Topology for 2021 IRM Study



# Attachment E1

## Interface Limits

|                       | NYCA Interface Limits |         |         |         | 2020 IRM |
|-----------------------|-----------------------|---------|---------|---------|----------|
|                       | Forward               | Reverse | Forward | Reverse |          |
| DYSINGER EAST         | 1700                  | 1999    | 1700    | 1999    |          |
| WEST CENTRAL          | 1300                  | 1600    | 1300    | 1600    |          |
| VOLNEY EAST           | 5650                  | 1999    | 5650    | 1999    |          |
| MOSES SOUTH           | 2650                  | 1600    | 2650    | 1600    |          |
| CENTRAL EAST          | 3100                  | 1999    | 3100    | 1999    |          |
| MARCY SOUTH           | 2250                  | 1600    | 2275    | 1600    |          |
| CAPITAL HUDSON VALLEY | 3475                  | 1999    | 3475    | 1999    |          |
| UPNY - CONED          | 7000                  | 1999    | 6000    | 1999    |          |
| MILLWOOD SOUTH        | 8450                  | 1999    | 8450    | 1999    |          |
| DUNWOODIE SOUTH       | 4350                  | 1999    | 4400    | 1999    |          |
| CONED LILCO           | 320                   | 505     | 320     | 505     |          |
| AREA I TO AREA K      | 1293                  | 515     | 1293    | 342     |          |

## Attachment E2

### Group Limits

|          | NYCA Group Interfaces |         |          |         |  |
|----------|-----------------------|---------|----------|---------|--|
|          | 2021 IRM              |         | 2020 IRM |         |  |
|          | Forward               | Reverse | Forward  | Reverse |  |
| UPNSENY  | 5250                  | 99999   | 5600     | 99999   |  |
| UPNYSNY2 | N/A                   | N/A     | 6950     | 99999   |  |
| CE_GRP   | N/A                   | N/A     | 5000     | 3400    |  |
| CPV&E_G  | N/A                   | N/A     | 2275     | 99999   |  |
| LI_SUM   | 1593                  | 104     | 1593     | 104     |  |
| LI_WEST  | 99999                 | 134     | 99999    | 18      |  |
| DSY49Y50 | 5643                  | 1999    | 5600     | 1999    |  |
| A_EAST   | 1850                  | 99999   | 1850     | 99999   |  |

## Attachment E3

### Dynamic Limits

|                      |            | Central East Voltage Limits, Oswego Complex Units |         |         |         | IRM2020                                    |         |
|----------------------|------------|---|---------|---------|---------|--|---------|
|                      |            | 9MILP1, 9MILP2, FPNUC1, STHIND, OS05, OS06        |         |         |         | 9MILP1, 9MILP2, FPNUC1, STHIND, OS05, OS06 |         |
| Units Available      | Dependency | E_TO_F  |         | E_TO_FG |         | E_TO_F                                     |         |
|                      |            | Forward   | Reverse | Forward | Reverse | Forward                                    | Reverse |
| 6                    |            | 3100  | 1999    | 5000    | 3400    | 3100                                       | 1999    |
| 5                    |            | 3050  | 1999    | 4925    | 3400    | 3050                                       | 1999    |
| 4                    |            | 2990  | 1999    | 4840    | 3400    | 2990                                       | 1999    |
| 3                    |            | 2885  | 1999    | 4685    | 3400    | 2885                                       | 1999    |
| 2                    |            | 2770  | 1999    | 4510    | 3400    | 2770                                       | 1999    |
| All Other Conditions |            | 2645  | 1999    | 4310    | 3400    | 2645                                       | 1999    |
|                      |            |   |         |         |         | 4310                                       | 3400    |

|                 |                      | LI_NE: Northport Units 1-4 |     |              |  | IRM2020      |     |
|-----------------|----------------------|----------------------------|-----|--------------|--|--------------|-----|
| Units Available |                      | Norwalk to K               |     | K to Norwalk |  | Norwalk to K |     |
|                 | 4                    |                            | 260 | 414          |  | 260          | 414 |
|                 | All Other Conditions |                            | 404 | 414          |  | 404          | 414 |
|                 |                      |                            |     |              |  |              |     |

|                 |   | ConEd-LIPA: Barrett Units 1 & 2 |      |         |  | IRM2020 |     |
|-----------------|---|---------------------------------|------|---------|--|---------|-----|
| Units Available |   | IJ to K                         |      | K to IJ |  | IJ to K |     |
|                 | 2 |                                 | 1613 | 220     |  | 1593    | 104 |
|                 | 1 |                                 | 1613 | 200     |  | 1593    | 74  |
|                 | 0 |                                 | 1613 | 130     |  | 1593    | 0   |
|                 |   |                                 |      |         |  |         |     |

| Staten Island Import Limits, AK and Linden CoGen Units |      |         |         |         |         |
|--|------|---------|---------|---------|---------|
|  |      |         | IRM2021 |         | IRM2020 |
| Unit Availability                                      |      |         | J_TO_J3 |         | J_TO_J3 |
| AK02   | AK03 | LINC0G1 | LINC0G2 | Forward | Reverse |
| A  | A    | A       | A       | 315     | 200     |
| U  | A    | A       | A       | 315     | 500     |
| A  | U    | A       | A       | 315     | 700     |
| A  | A    | U       | A       | 315     | 500     |
| A  | A    | A       | U       | 315     | 500     |
| All Other Conditions                                   |      |         | 315     | 815     | 315     |
|  |      |         |         |         | 815     |

| UPNYSENY             |         |        |         |                         |         |
|----------------------|---------|--------|---------|-------------------------|---------|
| Units Available      |         |        |         |                         |         |
| CPV                  | Cricket | Athens | IRM2021 | IRM2021 (2020 Topology) | IRM2020 |
| 2                    | 3       | 3      | 5250    | 5260                    | 6950    |
| 2                    | 3       | 2      | 5100    | 5060                    | 6750    |
| 1                    | 3       | 3      | 5350    | 5345                    | 6700    |
| 2                    | 2       | 3      | 5200    | 5200                    | 6550    |
| 2                    | 1       | 3      | 5150    | 5140                    | 6150    |
| 1                    | 1       | 3      | 5250    | 5275                    | 5950    |
| 2                    | 0       | 3      | 5100    | 5130                    | 5800    |
| All Other Conditions |         |        | 5350    | 6600                    |         |

| E to G          |         |         |
|-----------------|---------|---------|
| Units Available |         |         |
| CPV             | IRM2021 | IRM2020 |
| 2               | 1750    | N/A     |
| 1               | 2000    | N/A     |
| 0               | 2250    | N/A     |

## Attachment F

### SCR Determinations

| SCR Performance for 2021 IRM Study |                         |                              |                                 |             |                                |
|------------------------------------|-------------------------|------------------------------|---------------------------------|-------------|--------------------------------|
| Super Zones                        | Enrollments (July 2020) | Forecast (2021) <sup>1</sup> | Performance Factor <sup>2</sup> | UCAP (2021) | Adjustment Factor <sup>3</sup> |
| A-F                                |                         |                              |                                 |             |                                |
| G-I                                |                         |                              |                                 |             |                                |
| J                                  |                         |                              |                                 |             |                                |
| K                                  |                         |                              |                                 |             |                                |
| <b>Totals</b>                      |                         |                              |                                 |             |                                |

# Assumption Matrix History

| Date           | Ver         | Preliminary Base Case  | Date | Ver | Final Base Case |
|----------------|-------------|--|------|-----|-----------------|
| 1/17/19        | v0.0        | Preliminary assumptions without attachments.                 |      |     |                 |
| 2/21/20        | v1.0        | Preliminary assumptions without attachments.                 |      |     |                 |
| 3/19/20        | v2.0        | Preliminary assumptions without attachments.                 |      |     |                 |
| 4/15/20        | v3.0        | Added in LFU Models, Data from Draft of Gold Book A-B4 and E |      |     |                 |
| <u>5/27/20</u> | <u>v4.0</u> | Final Gold Book Data Update, Update Units, Update Topology   |      |     |                 |
|                |             |  |      |     |                 |
|                |             |  |      |     |                 |
|                |             |  |      |     |                 |
|                |             |  |      |     |                 |
|                |             |  |      |     |                 |