## 4.2. DMNC and DMGC Procedures (Services Tariff Section 5.12.8)

As specified in Section 4.2.2 below, in order for a Resource to establish a DMNC, or for BTM:NG Resources only, DMGC, rating, Installed Capacity Suppliers must submit results from a DMNC/DMGC test or data from actual operation ("DMNC/DMGC Demonstration") from within the DMNC Test Periods ("in-period") specified in Section 4.2.1 below, to the NYISO no later than the time specified in the ICAP Event Calendar. Refer to Section 4.12 of this ICAP Manual for additional information about requirements for SCRs. The submittal must provide the NYISO with the required documentation of the DMNC or DMGC test data or data from actual operation and be in accordance with the procedures described below (unless exempt in accordance with the provisions of Section 4.4.3 of this ICAP Manual). In addition, Section 5.12.8 of the Services Tariff provides for submitting DMNC or DMGC test data or data from actual operation from outside the DMNC Test Period ("out-of-period") and prior to the next Capability Period. Beginning with the DMNC Test Period that opens June 1, 2024, Installed Capacity Suppliers must also submit a completed Dependable Maximum Net Capability Test Supplemental Information Form (see ICAP Manual Attachment D). Submit completed Forms to dmncattachments@nyiso.com. Failure to submit (i) DMNC or DMGC test data or data

from actual operation, or (ii) a completed Dependable Maximum Net Capability Test Supplemental Information Form, or (iii) both, may result in financial sanctions pursuant Section 5.12.12 of the Services Tariff.

DMNC and DMGC test data or data from actual operation that has been validated as described below constitutes a DMNC or DMGC rating for the purpose of establishing a generating Resource's Installed Capacity value. A subsequent adjustment is made pursuant to Section 4.5 and Attachment J of this ICAP Manual to determine each Resource's Unforced Capacity value.

DMNC and DMGC test data or data from actual operation must be complete and submitted in an acceptable format or it will be rejected. A validation and approval period starts with a determination that the data has been determined by the NYISO to be complete and in an acceptable format. Upon determination that the information that has been submitted is complete, the NYISO will validate and approve the DMNC or DMGC rating or reject it within 30 days. The NYISO will validate the DMNC and DMGC data received from Suppliers against NYISO billing information and will notify the Supplier if there is a discrepancy. Discrepancies must be resolved through the audit process described below within the 30-day validation and approval period or the DMNC/DMGC data will be rejected. If the NYISO approves the Installed Capacity Supplier's submittal, the submitted DMNC or DMGC value will be valid for the subsequent like Capability Period, and at the request of the Installed Capacity Supplier, may also serve as the valid DMNC or DMGC rating for the balance of the current Capability Period beginning in the month following approval.

If the NYISO rejects the submitted DMNC or DMGC value, the Installed Capacity Supplier may:

a. resubmit DMNC/DMGC test results or data from actual operation from within the current DMNC Test Period, or
b. accept the NYISO determined DMNC/DMGC value and resubmit it, or
c. request an audit.

If the Installed Capacity Supplier requests an audit, the NYISO will work with the Installed Capacity Supplier to schedule the audit. If the audit results reveal that the Installed Capacity Supplier DMNC or DMGC rating is correct, the DMNC or DMGC test data or data from actual operation submitted by the Installed Capacity Supplier will remain in place. If the audit reveals that the NYISO rating is correct, the NYISO will instruct the Installed Capacity Supplier to resubmit the DMNC or DMGC test data or data from actual operation with the DMNC/DMGC rating established through the audit and the Installed Capacity Supplier will be subject to deficiency charges, if applicable.

An Installed Capacity Supplier offering to supply Unforced Capacity as a System Resource must submit DMNC/DMGC test data or data from actual operation for each Generator that it seeks to aggregate.

All generating Resources must test using usual and customary industry practices. For example, the operating configuration and fuel mix used to test must be the same configuration and fuel mix expected to be used during the summer or winter peak Load conditions, as applicable. This requirement is not meant to exclude testing based on operating configurations that have been approved by the NYISO and are in compliance with this ICAP Manual and Attachment M hereto.

All DMNC and DMGC tests on internal combustion, combustion units and combined cycle units must be temperature adjusted. For DMNC/DMGC test results applicable to Capability Periods prior to the Summer 2026 Capability Period, the Average Ambient Temperature to be used for the temperature adjustment is the average of the ambient temperatures recorded at the time of the Transmission District's seasonal peak during the previous four like Capability Periods if such peak occurs in June through September for Summer Capability Periods, or December through March for Winter Capability Periods (as posted at the link in this paragraph), as recorded at the nearest approved weather station or recorded on an auditable recording device at the generator site. If the Transmission District's seasonal peak occurs in April, May, October or November, the Average Ambient Temperature to be used in its place for that like Capability Period will be the next highest peak not occurring in such months. Once the decision is made where the temperature is obtained, that location may not change for future test data submittals. The dates and times of the Transmission District peak in each Capability Period are posted on the ISO website under Announcements at:

https://www.nyiso.com/installed-capacity-market

Beginning with the DMNC and DMGC tests conducted in the 2025 Summer Capability Period and applicable to the 2026 Summer Capability Period, internal combustion, combustion units and combined cycle units must be temperature adjusted. Internal combustion, combustion units and combined cycle units with an inlet cooler must be both temperature and humidity adjusted:

1. The ambient temperature used each Capability Period shall be the zonal peak dry bulb temperature representative of the weather conditions used to set the NYCA ICAP Market Peak Load Forecast (MW) for the applicable Capability Period.
2. For the Summer Capability Period output correction curves for units with an inlet cooler shall use compressor inlet temperature based on evaporative cooler efficiency and the zonal wet bulb and

dry bulb temperatures representative of weather conditions used to set the NYCA ICAP Market Peak Load Forecast (MW) for the Summer Capability Period.

Installed Capacity Suppliers shall use the applicable dry bulb temperatures for the zone in which the resource is electrically located for all ambient temperature adjustments . For summer ambient temperature and humidity adjustments, Installed Capacity Suppliers with inlet coolers shall use the wet and dry bulb temperatures for the zone in which the resource is electrically located. The applicable dry and wet bulb temperatures for each season are identified in the NYISO Gold Book. Those data are also available on the NYISO website under Announcements at:

https://www.nyiso.com/installed-capacity-market

Beginning with the DMNC and DMGC tests conducted in the 2025 Summer Capability Period and applicable to the 2026 Summer Capability Period, the DMNC/DMGC for all water-cooled fossil and nuclear steam units utilizing a once-through (including multi-pass) cooling system is determined from actual operation. The DMNC/DMGC Test Period for the Summer Capability Period is any day from July 1 to August 31, with a start time of HB 10 or later and a test end time of HB 22 or earlier. The DMNC/DMGC Test Period for the Winter Capability Period is November 1 through April 15 and is not restricted to time of day. If a water-cooled steam unit is unable to provide valid data from actual operation during the prescribed seasonal window, but capable of testing, the plant operator may request an alternate DMNC test value based on a certified condenser pressure correction curve, and provide the NYISO with all necessary data inputs, including inlet water temperatures and flow rates._See Services Tariff Section 5.12.7 and Section 4.2 and Attachment M of this ICAP Manual for additional information.

Subject to applicable interconnection and deliverability requirements, existing Resources that have increased Capacity due to changes in their generating equipment may demonstrate the DMNC/DMGC of the incremental Capacity for and within a Capability Period by following the procedures described in Section 4.2.5.

Existing Resources submitting DMNC or DMGC Demonstration results from outside the normally applicable DMNC Test Period ("out-of-period") must verify the approved "out-of-period" DMNC/DMGC rating during the next DMNC Test Period. If the supplier is unable to verify the "out-of-period" DMNC/DMGC rating in the next DMNC Test Period, then deficiency charges shall be applied at no more than the absolute difference between the Generator's Unforced Capacity based upon the previous approved in-period DMNC or DMGC test and the amount of Unforced Capacity the Generator supplied for the obligation month. The NYISO's Market Monitoring Unit will verify the DMNC and DMGC test data received from

Suppliers against NYISO billing information and will notify the Supplier if there is a discrepancy. Approval will be indicated via the ICAP Market System.

DMNC data submitted for External Resources will be verified with the External Control Area in which the Resource is electrically located. DMNC data for External Resources must be in accordance with procedures as required in this Installed Capacity Manual. If External Control Area does not possess DMNC data for the Resource as required by this ICAP Manual, the Resource shall provide the NYISO with additional information upon request so that the NYISO can validate the information. External Resources must also demonstrate that the submitted DMNC MW amount of capacity is available (net of sales in other Control Areas) on a prospective basis for export to the NYISO during the proposed Capability Period. That amount of MW must be validated by the External Control Area.

#### 4.2.1. DMNC Test Periods

The DMNC Test Period for the Summer Capability Period is June 1st through September 15th and for the Winter Capability Period is November 1st through April 15th. Installed Capacity Suppliers with an Energy Duration Limitation must conduct their DMNC test during the applicable hourly window in accordance with Section 4.2.2.2 of this ICAP Manual. BTM:NG Resources that are required to perform a DMGC test will perform such test during the DMNC Test Periods. Installed Capacity Suppliers with an Energy Duration Limitation performing an "out-of-period" DMNC or DMGC test must submit corresponding test data or actual operation data within the hourly window for the effective date's capability season. For example, a resource submitting an "out-of-period" DMNC in the winter for the summer Capability Period must perform the test within the hourly window for the summer Capability Period in accordance with Section 4.2.2.2 of this ICAP Manual, and within the "out-of-period" DMNC Test Period. All "out-of-period" DMNC/DMGC Installed Capacity Manual tests must be validated with an "in-period" test during the following DMNC Testing Period or deficiency penalties may apply as described in Sections 5.14.2 and 5.12.8 of the Services Tariff.

Starting with Summer 2025 DMNC Test Period, all water-cooled fossil and nuclear steam units utilizing a once-through (including multi-pass) cooling system shall submit DMNC data in accordance with Section 4.2 of this ICAP Manual, as described above.

#### 4.2.2. Resource Specific Test Conditions

The Resources listed below must meet the applicable DMNC test conditions specified below hereto in order to be qualified as Installed Capacity Suppliers. Resources must also report DMNC test results to the NYISO. As used in this Section 4.2.2, DMNC shall mean the power delivered to the transmission system on a

clock-hour basis (top-of-hour to top-of-hour), net of station service Load necessary to deliver that power, as described in Section 4.2.3 of this ICAP Manual. The resource specific test conditions of this section 4.2.2 are applicable to BTM:NG Resources performing DMGC tests.

#### 4.2.2.1 Installed Capacity Suppliers without an Energy Duration Limitation

***Fossil Fuel and Nuclear Stations***

In addition to the DMNC testing requirements described in Section 4.2 and Attachment M of this ICAP Manual, valid DMNCs for fossil fuel or nuclear steam units are determined by the following:

a. The unit's sustained maximum net output averaged over a four (4) consecutive hour period
b. For common-header turbine-generators, the DMNC is determined on a group basis. Each such turbine-generator is assigned a rating by distributing the combined Capacity among them.
c. The sum of the DMNC of individual turbine-generators in a generating station cannot be greater than the capacity of the station taken as a whole; also the sum of the DMNC of individual turbine-generators under a single PTID cannot be greater than the DMNC of the PTID taken as a whole station. Each such turbine-generator is assigned a rating by distributing the combined Capacity among the units comprising the PTID.

***Hydro Stations***

Valid DMNCs for hydro units are determined by the following:

a. The sustained net output averaged over a four (4) consecutive hour period using average stream flow and/or storage conditions within machine discharge Capacity.
b. For a multi-unit hydro station, the DMNC is determined as a group and each hydro unit in such a station is assigned a rating by distributing the combined station DMNC among them.
c. The sum of the DMNC of individual units in a multi-unit hydro station cannot be greater than the capacity of the station taken as a whole; also the sum of the DMNC of individual hydro units under a single PTID cannot be greater than the DMNC of the PTID taken as a single station. Each such hydro unit is assigned a rating by distributing the combined Capacity among the units comprising the PTID.

***Internal Combustion Units and Combustion Turbines***

Valid DMNCs for internal combustion units and combustion turbines are determined by the following:

a. The sustained maximum net output for a one (1) hour period.

b. The unit's winter DMNC rating is determined on the basis of the ambient and cooling system temperature experienced at the time of the winter peak as described in Section 4.2 and Attachment M of this ICAP Manual.

c. The unit's summer DMNC is determined on the basis of the ambient and cooling system temperature experienced at the time of the summer peak as described in Section 4.2 and Attachment M of this ICAP Manual.

d. The sum of the DMNC of individual units in a multi-unit station cannot be greater than the capacity of the station taken as a whole; also the sum of the DMNC of individual units under a single PTID cannot be greater than the DMNC of the PTID taken as a single station. Each unit in the station is assigned a rating by distributing the combined Capacity among the units comprising the PTID.

***Combined Cycle Stations***

Valid DMNCs for combined cycle stations are determined by the following:

a. The sustained maximum net output over four (4) consecutive hours.

b. A combined cycle station's winter DMNC rating is determined on the basis of the ambient and cooling system temperature experienced at the time of the winter peak as described in Section 4.2 and Attachment M of this ICAP Manual.

c. A combined cycle station's summer DMNC rating is determined on the basis of the ambient and cooling system temperature experienced at the time of the summer peak as described in Section 4.2 and Attachment M of this ICAP Manual.

d. In cases where the sum of the DMNC rating of individual units in a combined cycle plant is greater than the DMNC of the plant taken as a single station, each unit is assigned a rating by distributing the plant DMNC among the units.

***Intermittent Power Resources***

DMNC tests are not required of Intermittent Power Resources. The DMNC value of Intermittent Power Resources will be the combined nameplate capacity of all units (usually aggregated in groups of small individual units) in each station, net of any station service Load required for operation and delivery to the

NYCA transmission system. The sum of the DMNC values of all units under a single PTID cannot be greater than the DMNC of the PTID taken as a single unit. Each such individual unit is assigned a rating by distributing the combined Capacity among the units comprising the PTID.

***Limited Control Run-of-River Hydro Resources***

DMNC tests are not required of Limited Control Run-of-River Hydro Resources. The DMNC value of Limited Control Run-of-River Hydro Resources is the combined nameplate capacity of all units in each PTID, net of any station service Load required for operation and delivery to the NYCA transmission system. The sum of the DMNC values of all units under a single PTID cannot be greater than the DMNC of the PTID taken as a single unit. The NYISO will determine the rating of each such individual unit by distributing the combined Capacity among the units comprising the PTID.

***Special Case Resources***

A Special Case Resource must demonstrate its Load reduction capability as specified in Sections 4.12.4.5 and 4.12.4.8 of this ICAP Manual.

***Energy Storage Resources***

Valid DMNCs for Energy Storage Resources that utilize electrochemical technology (for example, a lithium ion battery) are determined by the following:

a. The sustained maximum net output over one (1) hour.
b. An Energy Storage Resource may derate its output to meet the applicable Services Tariff Section 5.12.14 run-time requirement.
c. For a multi-unit station, the DMNC is determined for the PTID and each unit in such a station is assigned a rating by distributing the combined station DMNC among them.

Valid DMNCs for Energy Storage Resources that do not utilize electrochemical technology are determined by the following:

a. The sustained maximum net output over four (4) consecutive hours.
b. An Energy Storage Resource may provide a derated output to meet the applicable Services Tariff Section 5.12.14 run-time requirement.
c. For a multi-unit station, the DMNC is determined for the PTID and each unit in such a station is assigned a rating by distributing the combined station DMNC among them.

***Installed Capacity Suppliers participating as a CSR***

Each Installed Capacity Supplier participating in the Installed Capacity market as part of a CSR must adhere to the DMNC testing provisions applicable to an Energy Storage Resource or an Intermittent Power Resource, as appropriate, as detailed in this section 4.2.2 of the ICAP Manual.

***Installed Capacity Suppliers participating as a DER Aggregation***

Valid DMNCs for DER Aggregations are determined by the following:

a. DER Aggregation's sustained maximum net output averaged over a four (4) consecutive hour period.
b. If an Aggregator adds one or more DER to an Aggregation, thereby increasing the amount of capacity the Aggregation can supply, a new DMNC test reflective of the Aggregation's new DER capabilities is required for the applicable Capability Period.
c. An Aggregator does not need to supply a new DMNC test for an Aggregation for the applicable Capability Period if any of the following conditions are true:
    i. One or more DER are removed from an Aggregation.
    ii. The capacity of the Aggregation does not increase.
    iii. If the capacity of the Aggregation increases but the Aggregator does not intend to use the new capacity.
    iv. A DER that is added to the Aggregation has a currently effective DMNC that is consistent with the Aggregation's DMNC test requirements.

#### 4.2.2.2 Installed Capacity Suppliers with an Energy Duration Limitation

Valid DMNCs for Installed Capacity Suppliers with an Energy Duration Limitation, including Energy Limited Resources, Aggregations, and Energy Storage Resources are determined by the following:

a. For an initial DMNC the unit shall sustain maximum net output for the number of hours that correspond to its elected Energy Duration Limitation, in accordance with Attachment M of this ICAP Manual. If the unit has elected an Energy Duration Limitation less than or equal in length to the number of hours comprising the applicable Peak Load Window, the unit shall sustain maximum net output for the number of hours that correspond to its elected Energy Duration Limitation during the applicable Peak Load Window. If the unit has elected an

Energy Duration Limitation greater in length than the number of hours comprising the applicable Peak Load Window, the unit shall sustain maximum net output during the entirety of the Peak Load Window and for additional hours immediately preceding and following the Peak Load Window covering the remaining hours of the unit's Energy Duration Limitation that are not captured in the Peak Load Window. The number of additional hours both preceding and following the Peak Load Window for which the unit must demonstrate sustained maximum net output shall be determined by subtracting the length of the Peak Load Window from the Energy Duration Limitation and dividing the result by two.

b. For each Capability Period following its initial registration, a unit should perform a DMNC test during the applicable Peak Load Window as determined in accordance with Section 7.3 of this ICAP Manual, for a minimum of either (i) its elected Energy Duration Limitation or (ii) the duration required by its technology type outlined in ICAP Manual Section 4.2.2.1; however, Resources of a Aggregation that has elected an Energy Duration Limitation are always required to complete a DMNC test during the applicable Peak Load Window for the Aggregation's elected Energy Duration Limitation..

1. If the unit elected an Energy Duration Limitation that is longer that the DMNC test required by its technology type, then the following applies:
    i. Information corresponding to the unit's total storage capability and Energy Level (i.e., state of charge) must be provided in writing and must be received via electronic email at participation@nyiso.com by August 1st of a given Capability Year; and
    ii. Note that the NYISO has the authority to request a duration audit of the unit to prove that is can sustain output consistent with its elected Energy Duration Limitation.

c. If the unit increases its elected Energy Duration Limitation for an upcoming Capability Year, the unit's DMNC test must demonstrate its ability to sustain its maximum net output for the number of hours that correspond to its newly elected Energy Duration Limitation.

1. For Aggregations with an Energy Duration Limitation:
    i. A new DMNC test reflective of the Aggregation's new Energy Duration Limitation for the applicable Capability Period is required if the Aggregator elects to increase the value of an Aggregation Energy Duration Limitation (e.g.,

modifying from a 2-hour duration to a 4-hour duration). An Aggregator may only increase the Energy Duration Limitation of an Aggregation on a Capability Year boundary, after notifying the NYISO prior to August 1 and submitting said modification in the Aggregation System, to become effective the following May 1.

ii. An Aggregator does not need to supply a new DMNC test for an Aggregation for the applicable Capability Period if the Aggregator elects to decrease the value of an Aggregation Energy Duration Limitation (e.g., modifying from a 4-hour duration to a 2-hour duration). An Aggregator may only decrease the Energy Duration Limitation of an Aggregation on a Capability Year boundary, after notifying the NYISO prior to August 1 and submitting said modification in the Aggregation System, to become effective the following May 1.

#### 4.2.3. Treatment of Station Service Load

In general, the DMNC rating for a Resource is the amount of power delivered to the transmission grid. The DMNC rating should reflect a reduction in gross output of the Resource for station service Load. In most cases, this determination is straightforward because the Resource is connected to the Transmission System, and the amount of power provided to the Transmission System reflects the station service Load reduction.

In other cases, a portion of the station service Load may be provided from sources other than the Resource. In these cases, separate measurements must be made of the station service Load and subtracted from the Resource's gross output measured at the generator leads at the time of the DMNC test.

In the event of disagreement concerning the station service Load for facilities that fall into the latter category, the relevant Transmission Owners will provide to the NYISO any information available to it, which relates to the configuration of the Resource and its station service Load. If the disagreement concerning the station service Load is not resolved by the additional information the Transmission Owners provide, the NYISO Expedited Dispute Resolution Procedures [as set forth in Section 5.17 of the Services Tariff] shall be used to determine the station service Load in dispute.

If the station service Load of a BTM:NG Resource is separately metered from all other Load of the resource, such that the station service Load can be independently measured and verified, the Generator of a BTM:NG Resource may elect to perform a DMNC Test instead of a DMGC Test pursuant to Services Tariff Section 5.12.6.1.1 (see also Section 4.2 of this ICAP Manual). Such election must be made in writing to the NYISO prior to the start of the DMNC Test Period.

The term "separately metered" means, for the purposes of this section, that the Station Power (as defined in Services Tariff Section 2.19) of the Generator serving the BTM:NG Resource is metered by an individual meter located at the Generator such that it measures only the Station Power consumed by the Generator.

If the meter measures any Load that is not required for the operation of the Generator or the incidental need of the station house, the BTM:NG Resource must perform a DMGC test.

If a BTM:NG Resource elects to perform a DMNC Test, the station service Load measured during such DMNC Test shall not be included in the Resource's Host Load as described in Section 4.15.2.5 of this ICAP Manual. A BTM:NG Resource's DMNC value for the Capability Period shall be used in lieu of a DMGC value in the calculation of the resource's Adjusted DMGC for the purposes of Sections 4.15.3.1.

#### 4.2.4. Required DMNC Generating Capability Test Data

An entity that wants to establish a DMNC rating for its Resources must, for each Resource, (i) report the DMNC test data for each of its Resources to the NYISO using the ICAP Market System, and (ii) submit a completed DMNC Test Supplemental Information Form (see ICAP Manual Attachment D). The ICAP Automated Market User's Guide can be found at: https://www.nyiso.com/installed-capacity-market

#### 4.2.5. New Resources and Resources Returning from an Inactive State

New Resources and Resources returning from an Inactive state must qualify as Installed Capacity Suppliers based on the results of an appropriate DMNC Demonstration or SCR registration before participating as an Installed Capacity Supplier in the Installed Capacity market. DMNC test data or data from actual operation must be received by the NYISO as prescribed by this ICAP Manual by the date and time specified in the ICAP Event Calendar. They will also be subject to validation requirements as set forth herein. All simple-cycle gas turbine and combined cycle units must temperature-adjust the results of their DMNC test data or data from actual operation using the procedures noted in this ICAP Manual or in the ICAP Automated Market User's Guide as noted above. New Resources and Resources returning from an Inactive state approved as qualified Installed Capacity Suppliers after submitting the necessary DMNC test data or data from actual operation from outside the normally applicable DMNC Test Period ("out-of-period") must verify the approved "out-of-period" DMNC rating during the next DMNC Test Period. If the supplier is unable to verify the "out-of-period" DMNC rating in the next DMNC Test Period, then deficiency charges shall apply to any shortfall between the Installed Capacity equivalent of the UCAP sold from the unit and the results of the "in-period" test.

In addition to reporting appropriate DMNC Demonstration results, new generating Resources that want to participate in NYISO-administered auctions shall notify the NYISO in a letter indicating the first Obligation Procurement Period for which the new Installed Capacity Supplier intends to participate in the Installed Capacity market (see Attachment G of this ICAP Manual for a sample notification letter). SCR notification is detailed in Section 4.12 of this ICAP Manual. The new generating Resource notification letter must include the unit's PTID and shall state the intention of the Resource to seek qualification as an Installed Capacity Supplier, and include the Resource's name, location, and other information as the NYISO may reasonably request. This letter does not obligate a Resource to qualify as an Installed Capacity Supplier; it allows the NYISO to prepare and be able to accommodate a Resource should that Resource request qualification and if the NYISO receives appropriate DMNC Demonstration results before an auction.

A Resource that is a Triggering Resource shall not be permitted to submit the above-described notification letter until it has successfully completed Trial Operation (as defined in Section 30.1 of Attachment X to the OATT and Section 40.1 of Attachment HH to the OATT). Within five business days after submitting the above-described notification letter, the NYISO shall seek to verify whether a Triggering Resource had successfully completed Trial Operation as of the date it submitted the notification letter based on a review of any applicable testing and commissioning plan for the Triggering Resource and/or other relevant information. The NYISO may request that a Triggering Resource submit additional information to verify that it had successfully completed Trial Operation on or before the date of its notification letter, and the Triggering Resource shall provide any such additional information requested by the NYISO. If, within five business days after receipt of a Triggering Resource's notification letter, the NYISO is unable to verify that the Triggering Resource had successfully completed Trial Operation on or before the date it submitted the notification letter, the NYISO shall deem such notification letter to be invalid, and the Triggering Resource will be required to resubmit a subsequent notification letter pursuant to the requirements described herein.

A Resource that is not a Triggering Resource, excluding Aggregations, shall notify the NYISO via the above-described notification letter on or before 5:00:00 P.M. Eastern time (as determined by the system clock of the ICAP AMS) on the first business day of the month that is two months prior to the start of the Obligation Procurement Period in which it wishes to commence participation in the Installed Capacity market. For example, to qualify to commence Installed Capacity market participation for the May Obligation Procurement Period, the NYISO must receive the notification letter no later than 5:00:00 P.M. Eastern time on the first business day of March. An Aggregation that seeks to qualify as an Installed Capacity Supplier must notify the NYISO through the submission of the Intent to Offer Letter provided in Attachment P of this ICAP Manual. This notification must be provided with each Aggregation Submission.

The timing for a Triggering Resource to submit the above-described notification letter depends on the Obligation Procurement Period for which the Triggering Resource seeks to commence participation in the Installed Capacity market. If a Triggering Resource seeks to commence participation in the Installed Capacity market for either the May or November Obligation Procurement Period, the Triggering Resource must submit a valid notification letter on or before 5:00:00 P.M. Eastern time (as determined by the system clock of the ICAP AMS) on the first business day of the month that is two months prior to the applicable Obligation Procurement Period for which the Triggering Resource seeks to commence participation in the Installed Capacity market. If a Triggering Resource seeks to commence participation in the Installed Capacity market for any Obligation Procurement Period other than the May or November Obligation Procurement Period, the Triggering Resource must submit a valid notification letter on or before 5:00:00 P.M. Eastern time (as determined by the system clock of the ICAP AMS) on the tenth calendar day of the month that is two months prior to the applicable Obligation Procurement Period for which the Triggering Resource seeks to commence participation in the Installed Capacity market. For example, if a Triggering Resource seeks to commence participation in the Installed Capacity market for the May Obligation Procurement Period, the NYISO must receive a valid notification letter from the Triggering Resource no later than 5:00:00 P.M. Eastern time on the first business day of March. If, however, the Triggering Resource instead sought to commence Installed Capacity market participation for the June Obligation Procurement Period, the NYISO must receive a valid notification letter from the Triggering Resource no later than 5:00:00 P.M. Eastern time on April 10.

If a Triggering Resource does not timely provide a valid notification letter seeking to commence Installed Capacity market participation for any Obligation Procurement Period during the Summer Capability Period of the applicable Capability Year, the NYISO will not revise the Installed Capacity market parameters during the Winter Capability Period of such Capability to assume that the Triggering Resource is participating in the Installed Capacity market. This limitation on updating Installed Capacity market parameters does not restrict the ability of the Triggering Resource to commence Installed Capacity market participation during the Winter Capability Period. The Triggering Resource can timely submit a valid notification letter seeking to commence Installed Capacity market participation for any Obligation Procurement Period during the Winter Capability Period and, subject to satisfying the applicable requirements to participate, commence participation in the Installed Capacity market.

To qualify Installed Capacity for a Bilateral Transaction or for a self-supplying LSE, new Resources shall report to the NYISO the results of an appropriate DMNC Demonstration or Special Case Resource registration prescribed by this ICAP Manual by the date and time specified in the ICAP Event Calendar, which can be found at:

http://icap.nyiso.com/ucap/public/evt_calendar_display.do.

#### 4.2.6. NYISO Distribution of Resource Capacity Data to the NYCA Transmission Owners

The NYISO provides the DMNC data collected pursuant to this ICAP Manual to the operating function unit of the appropriate Transmission Owners sixty (60) days following the end of the capability period. Provision of Resource reactive capability data to Transmission Owners is described in Section 3.6.4 of the Ancillary Services Manual.