Technical Study Report

New York Control Area
Installed Capacity Requirement

For the Period May ~~2026~~ 2027 to April ~~2027~~ 2028

~~December 5~~November 3, ~~2025~~2026

New York State Reliability Council, LLC
Installed Capacity Subcommittee

About the New York State Reliability Council

The New York State Reliability Council (NYSRC) is a not-for-profit corporation responsible for promoting and preserving the reliability of the New York State power system by developing, maintaining and, from time to time, updating the reliability rules which must be complied with by the New York Independent System Operator, Inc. (NYISO) and all entities engaging in electric power transactions on the New York State power system. One of the responsibilities of the NYSRC is the establishment of the annual statewide Installed Capacity Requirement for the New York Control Area.

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EXECUTIVE SUMMARY

A New York Control Area (NYCA) Installed Reserve Margin (IRM) study is conducted annually by the New York State Reliability Council (NYSRC) Installed Capacity Subcommittee (ICS). ICS has the overall responsibility of managing studies for establishing NYCA IRM requirements for the upcoming Capability Year[1] including the development and approval of all modeling and database assumptions to be used in the reliability calculation process. This report covers the period May 1, ~~2026~~ 2027 through April 30, ~~2027~~ 2028 (~~2026-2027~~2027-2028 Capability Year). The IRM study described in this report for the ~~2026-2027~~2027-2028 Capability Year is referred to as the "~~2026-2027~~2027-2028 IRM Study."

The NYSRC technical study was performed pursuant to the NYSRC Policy for setting the IRM.[2] The report shows that the calculated NYCA IRM for the ~~2026-2027~~2027-2028 Capability Year is ~~25.3~~30.9% under ~~the original~~ final base case assumptions. ~~On December 5, 2025 the Executive Committee decided to adopt the Special Sensitivity Case with the calculated NYCA IRM of 25.6% as the Final Base Case.~~ This IRM satisfies the NYSRC resource adequacy criterion of a Loss of Load Expectation (LOLE) of no greater than 0.1 Event-Days/year. The base case, along with other relevant factors, will be considered by the NYSRC Executive Committee on December ~~5~~4, ~~2025~~2026, for its adoption of the Final NYCA IRM requirement for the ~~2026-2027~~2027-2028 Capability Year. Of note this year is the fact that 14% of the risk occurred in the winter season.

In addition to calculating the LOLE, the analysis also determined that the Hourly Loss of Load Expectation (LOLH) was 0.355~~61~~ hours per year and the Expected Unserved Energy (EUE) was ~~169.506~~178.423 MWh per year. The NYSRC does not have criteria for LOLH or EUE. For comparison to other systems, a Normalized Expected Unserved Energy (NEUE) can also be determined, which divides the EUE by the expected load consumption. Using the NYISO's projected 2026 NYCA forecasted load consumption of ~~155,460~~153,392 GWh/year (from the ~~2025~~ 2026 ~~NYISO Load & Capacity Data report or "Gold Book"~~Fall Forecast) this produces a NEUE of 0.000~~0~~121~~%~~%. Other systems around the world that design to LOLH have a criteria of less than 3 to 8 hours per year. Criteria based on NEUE is typically less than 0.002%. The NYCA results represent a significantly higher level of reliability than either of these criteria.[3]

The NYSRC study procedure used to establish the NYCA IRM[4] also produces corresponding "Minimum Locational Capacity Requirements" (MLCRs) for New York City and Long Island to satisfy the NYCA

[1] A Capability Year begins on May 1 and ends on April 30 of the following year.

[2] ~~Policy No. 5-17; Procedure for Establishing New York Control Area Installed Capacity Requirements.~~

[3] *See,* Resource Adequacy for a Decarbonized Future *available at:* https://www.epri.com/research/products/000000003002023230

[4] This procedure is described in Section 3, IRM Study Procedures. The procedure for calculating IRM requirements and initial LCRs is sometimes referred to in this report as the "Tan45 process."

resource adequacy criterion, along with the calculated NYCA IRM. The ~~2026-2027~~2027-2028 IRM Study determined related MLCRs of ~~79.2~~85.1% and ~~106.7~~110.7% for New York City and Long Island, respectively. This represents an increase of ~~3.6~~5.2% for NYC and ~~a decrease of 0.6~~3.2% in Long Island from the MLCRs determined as part of the ~~2025~~2026-~~2026-~~2027 IRM Study. In accordance with its responsibility of setting the Locational Minimum Installed Capacity Requirements (LCRs), the NYISO will calculate and approve *final LCRs* for all NYCA localities using a separate process that utilizes the NYSRC approved Final NYCA IRM and adheres to NYSRC Reliability Rules and policies.

The ~~25.3~~30.9% IRM base case value for the ~~2026-2027~~2027-2028 Capability Year represents a ~~*0.9*~~*5.3% increase* from the ~~2025~~2026-~~2026-~~2027 IRM Study base case IRM of ~~24.4~~25.6%. **(Note: Those results were from the Special Sensitivity Case which was adopted as the Final Base Case by the Executive Council at the 2025 December meeting.)** Table 6-1 shows the IRM impacts of individual updated study parameters that result in this change from last year's FBC to this year's PBC. Table 6-2 shows the parametric changes from the PBC Tan45 results through the Final Base Case (FBC). Note, the values in ~~Table 6-1~~these tables have NOT been ratioed up or down in order to "true-up" the parametric results with the resulting Tan45 values. ~~The initial parametric deltas from the 2026-2027 IRM Study preliminary base case (PBC) were off by roughly a factor of two and it was felt that ratioing the numbers would give a false sense of accuracy for the process. One of the goals for the NYISO next year is to investigate potential changes in the parametric process to improve the accuracy.~~ In summary:

- There are ~~sixteen~~ fifteen parameter drivers that in combination *increased* the ~~2026-2027~~2027-2028 IRM from the ~~2025~~2026-~~2026-~~2027 IRM Study base case IRM by ~~6.29~~7.92%. Of these ~~sixteen~~ fifteen drivers, the most significant was the ~~Winter Fuel Availability Constraints~~New Generator Inclusion which consisted of 1,229 MW of renewable generation and energy storage which only required the removal of 216 MW of ICAP to restore the system to 0.1 event-days/year. This resulted in an increase of over 1,000 MW in the ICAP requirement. The next closest impacts were the Transition Matrix and EFORD Updates (1.12%) and the 2026 Gold Book. DMNC and Behind-the-Meter Net Generation updates (1.01%). All other increases were less than 0.5% each.~~followed closely by the Fall Load Forecast reduction and the explicit modeling of the Behind the Meter (BTM) Solar as supply-side resource. Each of these three items increased the IRM by over 1% each. The addition of the Champlain Hudson Power Express (CHPE) with its 1,250 MW transfer capability into Load Zone J *for the summer months only,* resulted in just a 0.317% increase in the IRM but caused significant shifts in the locational requirements. The Load Zone J locational requirement increased by 8.474%, the locational requirement for Load Zone K decreased by 3.397%, and the locational requirement for the G-J Locality increased by 5.272%. Because of the modeled seasonal capability of this line, it also exacerbated the impact of the winter fuel availability constraints.~~

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- ~~Twelve~~ Only four parameter drivers in combination *decreased* the IRM from the ~~2025~~2026-~~2026~~2027 IRM Study base case by ~~4.66~~1.89%. Of these ~~twelve~~ four drivers, the most significant was the Generator Deactivations which caused a 1.23% decrease. All other changes were less than 0.5% each.~~change in the quantity of Special Case Resources (SCRs) modeled for the 2026-2027 IRM Study which decreased the IRM by 1.569%. The Gowanus and Narrows generator deactivations decreased the IRM by 0.686% followed closely by the initial 2025 Gold Book Load Forecast which decreased the IRM by 0.619%. All other modifications, individually, had less than a 0.50% impact each on the IRM.~~

The overall parametric impact from ~~both~~ the PBC ~~and~~ to FBC cases, shown in Table 6-2, was 0.1%~~-~~. The largest impact was the Fall Loads Forecast which resulted in a decrease of 573 MW in the system peak load. Although this caused a decrease in the ICAP MW requirements, because the IRM is expressed as a percentage of the peak load it resulted in a 0.64% increase in IRM. Other changes, most notably updates to the SCR MW, Voluntary Curtailment and Public Appeals MW and Topology changes all decreased the IRM slightly and effectively cancelled out the impact of the Load changes. ~~showed an increase of 1.52%, while the final Tan45 analysis resulted in an increase of only 0.9% from last year's Final Base Case (FBC).~~

The complete parametric analysis showing the above and other results can be found in Section 6 of this report.

This study also evaluated IRM impacts of several sensitivity cases. The results of these sensitivity cases are discussed in Section 7 and summarized in Table 7-1 of this report. The base case IRM and sensitivity case results, along with other relevant factors, will be considered by the NYSRC Executive Committee in adopting the Final NYCA IRM requirement for the ~~2026-2027~~2027-2028 Capability Year. NYSRC Policy 5-19 describes the Executive Committee process for establishing the Final NYCA IRM.

The study also included a confidence interval analysis to demonstrate that there is a high confidence that the base case ~~25.3~~30.9% IRM will fully meet NYSRC and Northeast Power Coordinating Council (NPCC) resource adequacy criterion that require a LOLE of no greater than 0.1 Event-Days/year. For the final parametric case prior to the Tan45, the model was run to ~~8,750~~3,000 replications at which point the daily LOLE of 0.100 Event-Days/year for NYCA was met with a standard error less than 0.~~025~~050. At 3,000 replications the Standard Error was 0.0323. ~~The significant number of replications required to meet the standard error criteria would significantly increase the runtime of the Tan45 case. In order to complete the 2026-2027 IRM study on time, an exception was granted by the Executive Committee to reduce the confidence level to 90% instead of 95% for the Tan45 of the Final Base Case and associated Special Sensitivity Case. Potential longer-term solutions to address the possibility that similar concerns could arise in future IRM study cycles will be reviewed in 2026. The Final Base Case Tan45 was conducted with 3,000 replications, finishing with a standard error of 0.0299 and representing a 94% confidence level.~~

The ~~2026-2027~~2027-2028 IRM Study also evaluated Unforced Capacity (UCAP) trends. The NYISO values capacity sold and purchased in the market in a manner that considers the forced outage ratings of individual units, whereby generating unit capacity is derated to an unforced capacity basis recognizing the impact of forced outages. This derated capacity is referred to as "UCAP." This analysis shows that required UCAP margins, which steadily decreased over the 2006-2012 period to about 5%, remained relatively steady through 2019 but have increased through 2021 (see Figure 8-1). Since then, it has varied between 6% and 10%. Due to lower contributions to reliability, the increase in wind and solar resources lowers the translation factor from required ICAP to required UCAP which reflects the performance of all resources on the system.

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1. Introduction

This report describes a technical study, conducted by the NYSRC Installed Capacity Subcommittee (ICS), for establishing the NYCA Installed Reserve Margin (IRM) for the period of May 1, 2026 through April 30, 2027 (~~2026-2027~~2027-2028 Capability Year). This study is conducted each year in compliance with Section 3.03 of the NYSRC Agreement, which states that the NYSRC shall establish the annual statewide Installed Capacity Requirement (ICR) for the NYCA. The ICR relates to the IRM through the following equation:

$$ICR = \left(1 + \frac{IRM\ Requirement\ (\%)}{100}\right) \times Forecasted\ NYCA\ Peak\ Load$$

~~$$ICR = \left(1 + \frac{\text{IRM Requirement (\%)}}{100}\right) * \text{Forecast NYCA Peak Load}$$~~

The base case and sensitivity case study results, along with other relevant factors, will be considered by the NYSRC Executive Committee for its adoption of the Final NYCA IRM requirement for the ~~2026-2027~~2027-2028 Capability Year.

The NYISO will implement the Final NYCA IRM as determined by the NYSRC, in accordance with the NYSRC Reliability Rules, NYSRC Policy 5-19, *Procedure for Establishing New York Control Area Installed Capacity Requirement and the Installed Reserve Margin (IRM)*; the NYISO Market Administration and Control Area Services Tariff; and the NYISO Installed Capacity (ICAP) Manual. The NYISO translates the required IRM to a UCAP basis. These values are also used in ICAP Spot Market Auctions based on FERC-approved ICAP Demand Curves. The schedule for conducting the ~~2026-2027~~2027-2028 IRM Study was based on meeting the NYISO's timetable for conducting such auctions.

The study criteria, procedures, and types of assumptions used for the study for establishing the NYCA IRM for the ~~2026-2027~~2027-2028 Capability Year are set forth in NYSRC Policy 5-19. The primary reliability criterion used in the IRM study requires a LOLE of no greater than 0.1 Event-Days/year for the NYCA. This NYSRC resource adequacy criterion is consistent with the NPCC resource adequacy criterion. IRM study procedures include the use of two reliability study methodologies: The *Unified Methodology* and the *IRM Anchoring Methodology.* NYSRC reliability criteria and IRM study methodologies and models are described in Policy 5-19 and discussed in detail later in this report.

The NYSRC procedure for determining the IRM also identifies corresponding MLCRs for the New York City and Long Island localities. The NYISO, using a separate process – in accordance with the NYISO tariffs and procedures, while adhering to NYSRC Reliability Rules and NYSRC Sections 3.2 and 3.5 of Policy 5-19 – is responsible for setting *final* LCRs for New York City, Long Island and the G-J Locality.

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For its determination of LCRs for the ~~2026-2027~~2027-2028 Capability Year, the NYISO will continue utilizing an economic optimization methodology approved by the Federal Energy Regulatory Commission (FERC).

The ~~2026-2027~~2027-2028 IRM Study was managed and conducted by the NYSRC ICS and supported by technical assistance from the NYSRC's technical consultants and NYISO staff.

Previous IRM Study reports, from year 2000 to year 2025, can be found on the NYSRC website.[5] Appendix D, Table D.1 provides a record of previous NYCA base case and final IRMs for the 2000-2001 through 2025-2026 Capability Years. Figure 8-1 and Appendix D, Table D.1.1, show UCAP reserve margin trends over previous years. Definitions of certain terms in this report can be found in the Glossary (Appendix E).

Different reliability analyses, separate from the IRM study process covered in this report, are conducted by the NYISO and are called the Reliability Needs Assessment (RNA) and the Short-Term Assessment of Reliability (STAR). These analyses together assess the resource adequacy and transmission security of the NYCA for up to ten years into the future. The RNA is conducted once every two years and examines years four through ten of the study period, while the STAR is conducted quarterly and analyzes years one through five, with a focus on fulfilling any identified reliability needs in years one through three. These assessments determine whether the NYSRC resource adequacy reliability criterion, as defined in Section 2 below, is expected to be maintained over the study period; and if not, identifies reliability needs or compensatory MW of capacity or other measures of solutions required to meet those needs.

2. NYSRC Resource Adequacy Reliability Criterion

The required reliability level used for establishing NYCA IRM is dictated by Requirement 1.1 of NYSRC Reliability Rule A.1, *Establishing NYCA Statewide Installed Reserve Margin Requirements,* which states that the NYSRC shall:

> *Probabilistically establish the IRM requirement for the NYCA such that the loss of load expectation (LOLE) of disconnecting firm load due to resource deficiencies shall be, on average, no more than 0.1 Event-Days/year. This evaluation shall make due allowances for demand uncertainty, scheduled outages and de-ratings, forced outages and de-ratings, assistance over interconnections with neighboring control areas, NYS Transmission System emergency transfer capability, and capacity and/or load relief from available operating procedures*.

[5] *See*, NYSRC New York Control Area Installed Capacity Requirement Reports, *available at:* https://www.nysrc.org/documents/reports/nysrc-new-york-control-area-installed-capacity-requirement-reports/

The above NYSRC Reliability Rule is consistent with NPCC's Resource Adequacy criterion in NPCC Directory 1, *Design and Operation of the Bulk Power System*. This criterion is interpreted to mean that planning reserve margins, including the IRM, needs to be high enough that the probability of an involuntary load shedding due to inadequate resources is limited to only one event-day in ten years or 0.1 Event-Days/ year. This criterion has been widely accepted by most electric power systems in North America for reserve capacity planning. In New York, use of the LOLE criterion of 0.1 Event-Days/year has provided an acceptable level of reliability for many years.

In addition to calculating the LOLE reliability metric the calculations shall also include the calculation and reporting of LOLH and EUE reliability metrics in the probabilistic resource capacity assessments.

In accordance with NYSRC Reliability Rule A.2, Establishing Load Serving Entity (LSE) Installed Capacity Requirements, the NYISO is required to establish LSE installed capacity requirements, including LCRs, for meeting the statewide IRM requirement established by the NYSRC in compliance with NYSRC Reliability Rule A.1 above.

3. IRM Study Procedures

The study procedures used for the ~~2026-2027~~2027-2028 IRM Study are described in detail in NYSRC Policy 5-19, *Procedure for Establishing New York Control Area Installed Capacity Requirements and the Installed Reserve Margin (IRM)*. Policy 5-19 also describes the computer program used for reliability calculations and the types of input data and models used for the IRM Study.

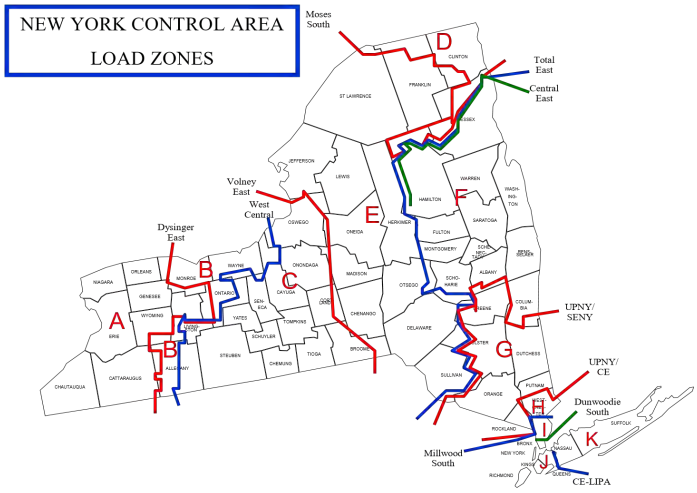
This study utilizes a *probabilistic approach* for determining NYCA IRM requirements. This technique calculates the probabilities of generator unit outages, in conjunction with load and transmission representations, to determine the Event-Days per year of expected resource capacity shortages.

General Electric's Multi-Area Reliability Simulation software program (GE-MARS) is the primary computer program used for this probabilistic analysis. This program includes detailed load, generation, and transmission representation for eleven NYCA load zones — plus four Outside World Control Areas (Outside World Areas) directly interconnected to the NYCA. The Outside World Areas are as follows: Ontario, New England, Quebec, and the PJM Interconnection. The eleven NYCA Load Zones are depicted in Figure 3-1. GE-MARS calculates LOLE, expressed in Event-Days/year, to provide a consistent measure of system reliability. The GE-MARS program is described in detail in Appendix A, Section A.1.

Prior to the 2016-2017 IRM Study, the IRM base case and sensitivity analyses were simulated using only weekday peak loads rather than evaluating all 8,760 hours per year in order to reduce computational run times. However, the 2016-2017 IRM Study determined that the difference between study results using the daily peak hour versus the 8,760-hour methodologies would be significant. Therefore, the base case and sensitivity cases in the 2016-2017 IRM Study and all later studies, including this ~~2026-2027~~2027-2028 IRM Study, were simulated using all hours in the year.

Using the GE-MARS program, a procedure is utilized for establishing NYCA IRM requirements (termed the *Unified Methodology*) which establishes a relationship between NYCA IRM and corresponding MLCRs, as illustrated in Figure 3-2. All points on these curves meet the NYSRC 0.1 Event-Days/year LOLE reliability criterion described in Section 2. Note that the area above the curve is more reliable than the criterion, and the area below the curve is less reliable. This methodology develops a pair of curves for two zones with locational capacity requirements, New York City (NYC) or Load Zone J; and Long Island (LI) or Load Zone K. Appendix A of NYSRC Policy 5-19 provides a more detailed description of the Unified Methodology.

Figure 3-1 NYCA Load Zones

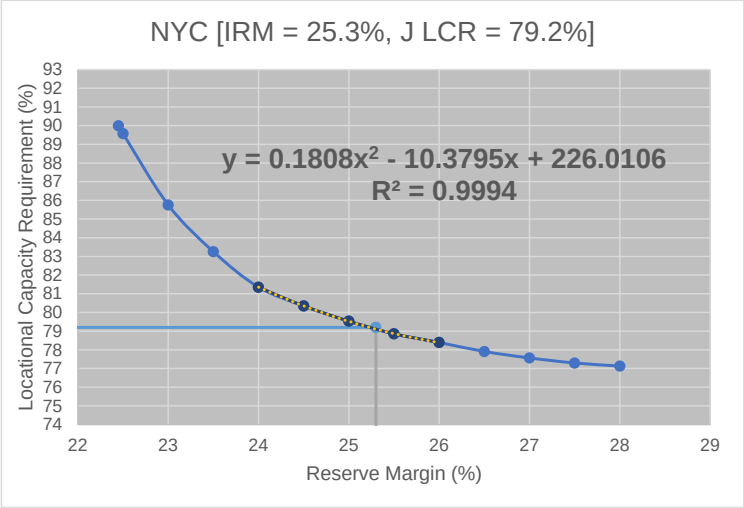


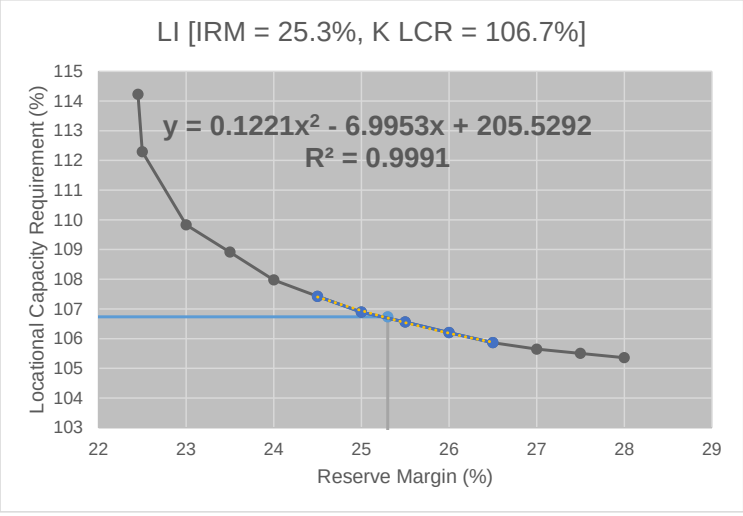
Base case NYCA IRM requirements and corresponding initial locality reserve margins for Load Zones J and K are established by a supplemental procedure (termed the *IRM Anchoring Methodology*), which is used to define an *inflection point* on each of these curves. These inflection points are selected by applying a tangent of 45 degrees (Tan45) analysis at the bend (or "knee") of each curve. Mathematically, each curve is fitted using a second order polynomial regression analysis. Setting the derivative of the resulting set of equations to minus one yields the points at which the curves achieve the Tan45 inflection point. Appendix B of NYSRC Policy 5-19 provides a more detailed description of the methodology for computing the Tan45 inflection point.

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Figure 3-2: Relationship Between NYCA IRM and Corresponding Initial Locational Capacity Requirements for ~~2026-2027~~2027-2028 IRM

IRM ~~2026-2027~~2027-2028 Final Base Case Tan45





4. Study Results – Base Case

Results of the NYSRC technical study show that the calculated NYCA IRM is ~~25.3~~30.9% for the ~~2026-2027~~2027-2028 Capability Year under final base case assumptions. Figure 3-2 on the previous page depicts the relationship between NYCA IRM requirements and corresponding MLCRs for New York City and Long Island.

The tangent points on these curves were evaluated using the Tan45 analysis described in Section 3. Accordingly, maintaining a NYCA IRM of ~~25.3~~30.9% for the ~~2026-2027~~2027-2028 Capability Year, together with corresponding MLCRs of ~~79.2~~85.1 % and ~~106.7~~110.7% for New York City and Long Island, respectively, will achieve applicable NYSRC and NPCC reliability criteria for the base case study assumptions shown in Appendix A.3.

Comparing the corresponding MLCRs in this ~~2026-2027~~2027-2028 IRM Study to 2025-2026 IRM Study results (New York City LCR= ~~75.6~~79.9%, Long Island LCR= 107.~~3~~5%), the corresponding ~~2026-2027~~2027-2028 New York City MLCR increased by ~~3.6~~5.2%, and the corresponding Long Island MLCR ~~decreased~~ increased by ~~0.6~~3.2%. The primary factors driving the increase of the ~~NYC~~ MLCRs was the ~~addition of the CHPE line and its interplay with the winter fuel availability constraints.~~EFORd updates and the new Thermal Transition Matrix.

In accordance with NYSRC Reliability Rule A.2, *Load Serving Entity ICAP Requirements,* the NYISO is responsible for separately calculating and establishing the final LCRs. The NYISO will calculate and approve *final LCRs* for all NYCA localities using a separate process that utilizes the NYSRC approved Final NYCA IRM and adheres to NYSRC Reliability Rules and policies.

These cases were run with 3,000 replications and converged to a confidence interval of only ~~94~~93.5% ~~rather than the~~which exceeds the revised goal of ~~95~~90%. ~~Due to some replications having a higher number of outages it required 8,750 replications to reach the 95% goal for the final base case prior to the Tan45. This situation is being further investigated to isolate the causes. The final rounded Tan45 case run with 3,000 replications reached a 94% confidence interval.~~

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5. Models and Key Input Assumptions

This section describes the models and related base case input assumptions for the ~~2026-2027~~2027-2028 IRM Study. The models represented in the GE Multi-Area Reliability Simulation software program (GE-MARS) analysis include a *Load Model, Capacity Model, Transmission Model, and Outside World Model*. A *Database Quality Assurance Review* of the ~~2026-2027~~2027-2028 base case assumptions are also addressed in this section. This year's report introduces Section 5.6, which addresses winter reliability risks identified in the ~~2026-2027~~2027-2028 IRM Study. The input assumptions for the final base case were approved by the Executive Committee on October 9, 2025. Appendix A, Section A.3

provides more details on these models and assumptions and comparisons of several key assumptions with those used for this ~~2026-2027~~2027-2028 IRM Study.

5.1 The Load Model

5.1.1 Peak Load Forecast

The NYCA peak load forecast is based upon a model that incorporates forecasts of economic drivers, end use and technology trends, and normal weather conditions. A 2025 NYCA summer peak load forecast of 31,648.2 MW (including Behind-the-Meter Net Generation (BTM:NG) resource loads) was assumed in the 2026-2027 IRM Study, a decrease of 1.5 MW from the forecast used in the 2025-2026 IRM Study. This load forecast update was prepared for the 2026-2027 IRM Study by NYISO staff in collaboration with the NYISO Load Forecasting Task Force (LFTF) and presented to the ICS on October 1, 2025.[6] The load forecast update considered actual 2025 summer load conditions.

The peak load forecast changes are shown on Table 5-1 below. Relative to the 2025-2026 IRM Study forecast, the load forecast for the 2026-2027 IRM Study has increased in the majority of Load Zones F through K, and decreased in Load Zones A through E. The aggregate NYCA load forecast is nearly identical to the forecast used in the previous IRM study, due to largely offsetting positive and negative load growth drivers. Primary negative load growth drivers include the continued strong load-reducing impacts of state policy incented energy efficiency programs, behind-the-meter (BTM) solar installations, and other distributed energy resources (DER). The BTM:NG resource load projection also decreased. Primary positive load growth drivers include electrification of vehicles and building appliances, "large load" projects, and other sources of economic load growth. These positive drivers are expected to contribute to aggregate load growth in upcoming years.

Table 5-1: Comparison of 2025 and 2026 Actual and Forecast Coincident Peak Summer Load (MW)

	Fall 2025 Forecast*	2025 Actual (7/29/2025)	2025 Normalized	Fall 2026 Forecast*	Forecast Change
	(a)	(b)	(c)	(d)	= (d) – (a)
Zones A-I	15,831.4	15,206.4	15,533.5	15,784.5	-46.9
Zones J&K	15,818.3	15,438.6	15,796.6	15,863.7	45.4
NYCA	31,649.7	30,645.0	31,330.1	31,648.2	-1.5

[6] Load Forecast Update: https://www.nysrc.org/wp-content/uploads/2025/09/2026_IRM_Forecast_ICS_V2.pdf

*BTM:NG resource loads have been incorporated into these numbers.

Following the implementation of the enhanced load modeling (ELM) procedure,[7] where loads in the model are calibrated to annual energy and winter peak forecasts in addition to summer peak forecasts, the Load Forecast Update for the 2026-2027 IRM Study included zonal annual energy and winter peak forecast updates. These forecasts were updated relative to the forecast from the NYISO's 2025 Load & Capacity Data report (Gold Book), solely to account for updates in large load projections and BTM:NG resource load values, in order to maintain consistency with the updates to the summer peak load forecast.

5.1.2 Load Forecast Uncertainty

As with all forecasts, there is uncertainty around the projected NYCA load level for any given year. The uncertainty in the load forecast due to peak day weather is incorporated in the base case model by using a load forecast probability distribution that is sensitive to different weather conditions. Recognizing the unique load forecast uncertainty (LFU) of individual NYCA areas, separate LFU models are prepared for five areas: New York City (Load Zone J), Long Island (Load Zone K), Westchester (Load Zones H and I), and two rest of New York State areas (Load Zones A-E and Load Zones F-G).

These LFU models are intended to measure the load response to weather at high peak producing temperatures. The LFU is based on the slope of load versus temperature, or the weather response of load. If the weather response of load increases, the slope of load versus temperature will increase, and the upper-bin LFU multipliers (Bins 1-3) will increase.

The summer LFU multipliers for the 2026-2027 IRM Study remained unchanged from the 2025-2026 IRM Study. Based on an analysis of the winter 2024-2025 weather data, the NYISO determined that the winter LFU model should be updated. A sensitivity case shows that including LFU in the 2026-2027 IRM Study has an effect of increasing IRM requirements (compared to a case that does not include LFU) by 5.6% (Table 7-1, Case 3), as compared to a range of 5.1% to 9.1% in the previous five IRM studies.

5.1.3 Load Shape Model

The GE-MARS model allows for the representation of multiple load shapes. This feature has been utilized since the 2014-2015 IRM Study and was again utilized for the 2026-2027 IRM Study. This multiple load shape feature enables a different load shape to be assigned to each of seven load forecast uncertainty bins.

[7] Enhanced Load Modeling: https://www.nysrc.org/wp-content/uploads/2025/04/Enhanced-Load-Modeling-Whitepaper-04022025-ICS.pdf

Starting with the 2023-2024 IRM Study, a combination of load shapes from the years 2013, 2017, and 2018 were selected by ICS as representative years, as recommended under the LFU Phase 2 Study.[8] The LFU Phase 2 Study recommended representing Bin 1 and 2 using the 2013 load shape, representing Bins 3 and 4 using the 2018 load shape, and representing Bins 5, 6, and 7 using the 2017 load shape. The recommendation to change representative load shapes was initially adopted in the base case of the 2023-2024 IRM Study and is also applied in the 2026-2027 IRM Study.

During the 2025-2026 IRM study cycle, the NYISO developed a methodology of modeling BTM solar explicitly as a supply resource in the IRM study.[9] With the new modeling construct, the study is able to better quantify the potential impact of evolving BTM solar resources. This methodology was adopted into the Preliminary Base Case (PBC) for the 2026-2027 IRM Study, increasing the IRM by 1.11% (Table 6-1).

The NYISO developed the ELM methodology as part of the efforts to improve winter load modeling. The load modeling improvement effort focuses on seasonal specific load modeling to reflect summer and winter peak forecasts as well as annual energy requirements. The ELM workflow includes three additional steps, along with the updates to the existing adjustment methodology procedures to ensure that the seasonal peaks align with the target load forecasts, as well as the corresponding annual energy forecasts. This was adopted into the PBC for the 2026-2027 IRM study, decreasing the IRM by 0.15% (Table 6-1).

5.2 The Capacity Model

5.2.1 Conventional Resources: Planned New Capacity, Retirements, Deactivations, and Behind the Meter Generation

Planned conventional generation facilities that are represented in the 2026-2027 IRM Study are shown in Appendix A, Section A.3. The rating for each existing and planned resource facility in the capacity model is based on the lower of its: (1) Dependable Maximum Net Capability (DMNC), or (2) Capacity Resource Interconnection Service (CRIS) value. The source of DMNC ratings for existing facilities is seasonal tests required by procedures in the NYISO Installed Capacity Manual.

There are multiple potential deactivations of thermal units totaling 851.9 MW modeled for the 2026-2027 IRM Study. This includes the Gowanus and Narrows barge units with a combined

[9] https://www.nysrc.org/wp-content/uploads/2023/05/A.I.10-LDC_Recommendation_ICS4098.pdf

[9] BTM Solar Modeling Whitepaper: https://www.nysrc.org/wp-content/uploads/2025/01/BTM-Solar-Modeling-Whitepaper-11122024.pdf

capacity of 608.7 MW located in Load Zone J,[10] which are assumed to be deactivated in the 2026-2027 IRM Study due to the assumption that the Champlain Hudson Power Express (CHPE) project will be in-service for the study year.

A BTM:NG program resource, for the purpose of this study, contributes its full capacity while its entire host load is exposed to the electric system. Several BTM:NG resources with a total resource capacity of 361.8 MW and a total host load of 96.6 MW, are included in this 2026-2027 IRM Study. The full resource capacity of these BTM:NG resources is included in the NYCA capacity model, while their host loads are included in the load forecast used for this study.

BTM solar resources, which are now modeled as supply side resources starting with the 2026-2027 IRM Study, are represented with a total of 9,339 GWh of total annual energy. For the 2026-2027 IRM Study, BTM solar resources are modeled using shapes covering the years 2020 through 2024, aligning with the other renewable resources.

On April 16, 2024, NYISO implemented its new market participation model for DER. For the 2026-2027 IRM Study, resources modeled as DER in the 2026-2027 IRM Study will be included based on the ICS approved modeling for DER.[11] For the 2026-2027 IRM Study, 480.5 MW of DER are assumed to be available in the study, including some resources that previously participated in the Special Case Resource (SCR) and are transitioning to the DER participation model.

The NYISO has identified several State and Federal environmental regulatory programs that could potentially impact operation of the NYS Bulk Power System. The NYISO's analysis concluded that these environmental initiatives would not result in NYCA capacity reductions or retirements incremental to the deactivations modeled for the 2026-2027 IRM Study that would impact IRM requirements during the summer of 2026. The analysis further identified those regulations that could potentially limit the availability of existing resources, and those that will require the addition of new non-emitting resources. For more details, see Appendix C.

5.2.2 Renewable Resources

Intermittent types of renewable resources, including wind and solar resources, are becoming an increasing component of the NYCA generation mix. These intermittent resources are included in the GE-MARS capacity model as described below. These resources, plus the existing 4,717.3 MW of hydro facilities, will account for a total of 9,138.4 MW of NYCA renewable

[10] This combined capacity value of all the Narrows and Gowanus barge units (608.7 MW) includes three such units that are assumed to be deactivated in the 2026-2027 IRM Study due to being in ICAP Ineligible Forced Outage (IIFO). The combined capacity of the three IIFO units is 57.7 MW.

[11] DER Whitepaper: https://www.nysrc.org/wp-content/uploads/2025/03/DER-Modeling-Whitepaper-Phase1-ICS04022025.pdf

resources represented in the 2026-2027 IRM Study. This does not include the capacity for any intermittent resources that are installed behind-the-meter (i.e., on the distribution system and not participating in the NYISO wholesale market).

It is projected that during the 2026 summer period there will be a total wind capacity of 2,828.3 MW participating in the capacity market in New York State. This includes certain new wind units and an update to the capacity of an existing resource due to the inclusion of a newly constructed phase (totaling 277.6 MW).

GE-MARS allows the input of multiple years of wind data. This multiple wind shape model randomly draws wind shapes from historical wind production data. The 2026-2027 IRM Study used available wind production data covering the years 2020 through 2024. For any new wind facilities, zonal hourly wind shape averages or the wind shapes of nearby wind units will be modeled. The offshore wind resources in the 2026-2027 IRM Study are modeled using synthesized offshore wind production profiles for 2020 through 2024.

Overall, inclusion of the projected 2,828.3 MW of wind capacity in the 2026-2027 IRM Study accounts for 6.8% of the 2026-2027 IRM requirement (Table 7-1, Case 4). This relatively high IRM impact is a direct result of the wind facilities' low capacity factor during the summer peak period. The impact of wind capacity on Unforced Capacity is discussed in Appendix D.2, "Renewable/Intermittent Resources Impact on the NYCA IRM and UCAP Markets." For wind units, a detailed summary of existing and planned wind resources is shown in Appendix A, Table A.9.

Land fill gas (LFG) units account for 97.4 MW of the 2026-2027 IRM Study. For the 2026-2027 IRM Study, this total accounts for one LFG unit modeled as deactivated which reduced available capacity by 4.8 MW.

For the 2026-2027 IRM Study, there are no utility level solar generation additions. The total New York State bulk power system solar capacity in the 2026-2027 IRM Study is 573.4 MW. Actual hourly solar plant output over the 2020-2024 period is used to represent the solar shape for existing units, while new solar units are represented by zonal hourly averages of nearby units.

5.2.3 Energy Limited Resources

The NYISO and GE developed the dynamic energy limited resource (ELR) functionality within the GE-MARS program and the recommended TC4C configuration in the ELR Whitepaper.[12]

[12] New York State Reliability Council Installed Capacity Subcommittee White Paper on Energy Limited Resources Modeling (Mayb 7, 2021), available at:
https://www.nysrc.org/wp-content/uploads/2023/03/ELR-Modeling-White-Paper-May-2021-FINAL.pdf

The recommended modeling would reduce the IRM and lower the SCR program activations as compared to a fixed output profile modeling approach, and it was adopted in the final base case in the 2023-2024 IRM Study. The TC4C configuration contains a static time period limitation for the output from the ELR units. Starting with the 2024-2025 IRM Study, a process to update the time period of the output limitation on an annual basis was implemented, based on the beginning of the 90% LOLE risk period from previous year's Locational Minimum Installed Capacity Requirement study conducted by the NYISO. In the 2025-2026 IRM Study, output from the ELRs was modeled as available starting Hour Beginning (HB) 14, which is the beginning of the 90% LOLE risk window from the NYISO's 2024-2025 Locational Minimum Installed Capacity Requirement study. This process aims to keep the ELR output limitation in close proximity to the period with the highest LOLE risk and the annual update process could have, if any, a small reduction on the IRM on a year-over-year basis.

As ELR capacity continues to grow across the NYCA, the need for more accurate and operationally aligned modeling within the IRM study framework has become critical. A whitepaper was conducted to refine the modeling of ELRs with a particular focus on the start time assumptions for SCRs, as further discussed in Section 5.2.5.

5.2.4 Generating Unit Availability

Generating unit forced and partial outages are modeled in GE-MARS by inputting a multistate outage model that represents an equivalent demand forced outage rate (EFORd) for each unit represented. Outage data used to determine the EFORd is received by the NYISO from generator owners based on outage data reporting requirements established by the NYISO. Capacity unavailability is modeled by considering the average forced and partial outages for each generating unit that have occurred over the most recent five-year time period. The time span considered for the 2026-2027 IRM Study covered the 2020-2024 period.

The weighted average five-year EFORd calculated for generating units in Load Zones A-F is higher, while Load Zones G-J, J and K is lower than the 2019-2023 period, which were used in the 2025-2026 IRM Study. The overall NYCA wide weighted average EFORd in the 2026-2027 IRM Study is higher than the 2025-2026 IRM Study. Appendix A, Figure A.5 depicts NYCA and zonal five-year average EFORd trends from 2017-2024.

5.2.5 Emergency Operating Procedures (EOPs)

As part of the Final Base Case (FBC) for the 2026-2027 IRM Study, a new start time methodology was adopted for SCRs.[13] This decreased the IRM by 0.25% (Table 6-1). For the 2026-2027 IRM Study, Voluntary Curtailments are limited to 3 calls per month which decreased the IRM by 0.30% (Table 6-1). Consistent with the 2025-2026 IRM Study, Public Appeals are limited to 3 calls per year for the 2026-2027 IRM Study.

(1) Special Case Resources (SCRs)

SCRs are loads capable of being interrupted and distributed generators that are rated at 100 kW or higher. SCRs are ICAP resources that provide load curtailment only when activated in accordance with NYISO emergency operating procedures. GE-MARS represents SCRs as an EOP step, which is activated to avoid or to minimize expected loss of load. For the 2026-2027 IRM Study, SCRs are modeled with monthly values based on July 2025 registration data. The modeled capacity also accounts for the transition of resources from the SCR program to the DER participation model. SCRs transitioning to the DER participation model and represented as DER for the 2026-2027 IRM Study have been removed from the SCR values. For the month of July, the forecast SCR value for the 2026-2027 IRM Study FBC assumes that 898 MW will be registered, with varying amounts during other months based on historical experience. This is 589 MW lower than that assumed for the 2025-2026 IRM Study. The SCR model used for the 2026-2027 IRM Study is based on a recent analysis of performance data for the 2012-2024 period. The incorporation of the updated SCR enrollments in the NYCA capacity model has the effect of increasing the IRM by 3.2% (Table 7-1, Case 5).

The "Enhanced SCR Modeling" that was adopted into the PBC of the 2025-2026 IRM Study models SCRs as energy limited resources, using the GE-MARS EL3 unit type. SCRs are modeled as zonal duration limited resources with hourly response rates, subject to a 1 call per day limit. SCRs continue to be deployed as the first EOP step but are not subject to an annual or monthly limit to the maximum number of activations. Performance factors are captured in the hourly response rates rather than in setting the maximum modeled capacities. This enhancement increased the significance of the output window and highlighted the need for differentiated start times based on historical activation data and regional peak load profiles. The "ELR whitepaper".[14] defined a new methodology to define SCR start times based on peak net load for the summer. For the 2026-2027 IRM Study, start times for Load Zones A-E changed from

[13] ELR Whitepaper (SCR Start Times): https://www.nysrc.org/wp-content/uploads/2025/08/ELR-Whitepaper-09032025-ICS.pdf

[14] ELR Whitepaper: https://www.nysrc.org/wp-content/uploads/2025/11/ELR-Phase-2-Whitepaper-ICS11112025-Draft-v2-clean.pdf

HB14 to HB16 while the Load Zones G-K start time remained at HB14. This methodology was adopted into the FBC for the 2026-2027 IRM Study, decreasing the IRM by 0.25% (Table 6-1).

(2) Other Emergency Operating Procedures

In addition to SCRs, the NYISO will implement several other types of EOP steps, such as voltage reductions, as required, to avoid or minimize customer disconnections. Projected 2026-2027 EOP capacity values are based on recent actual data and NYISO forecasts.

The 2026-2027 IRM Study implements a three call per month limit for Voluntary Curtailments. This decreased the IRM by 0.30% (Table 6-1). The 2026-2027 IRM Study retained the 3 calls per year limit adopted for Public Appeals that was implemented as part of the 2025-2026 IRM Study.

Refer to Appendix B, Table B.2 for projected EOP frequencies for the 2026-2027 IRM Study assuming the 25.3% base case IRM.

5.2.6 Unforced Capacity Deliverability Rights (UDRs)

The capacity model includes UDRs, which are capacity rights that allow the owner of an incremental controllable transmission project to provide locational capacity when coupled with a non-locational ICAP Supplier. The owners of the UDRs annually elect whether they will utilize their capacity deliverability rights. This decision helps inform how UDR transfer capability will be represented in the GE-MARS model. The IRM modeling accounts for both the availability of the resource that is identified for each UDR line as well as the availability of the UDR facility itself.

The following facilities are represented in the 2026-2027 IRM Study as having UDR capacity rights: LIPA's 330 MW High Voltage Direct Current (HVDC) Cross Sound Cable (CSC), LIPA's 660 MW HVDC Neptune Cable, the 315 MW Linden Variable Frequency Transformer (VFT), and the 1,250 MW HVDC CHPE project. CHPE is a new addition to the 2026-2027 IRM Study. The owners of these facilities have the option, on an annual basis, of selecting the MW quantity of UDRs they plan on utilizing for capacity contracts over these facilities. Any remaining capability on the cable can be used to support emergency assistance, which may reduce locational and IRM capacity requirements. For CHPE, emergency assistance is not assumed to be available during the winter months (November – April) in the 2026-2027 IRM Study. The 2026-2027 IRM Study incorporates the confidential elections that these facility owners made for the 2026-2027 Capability Year. The Hudson Transmission Partners 660 MW HVDC Cable (HTP) has been granted UDR rights but has lost its right to import capacity and therefore is modeled as being fully available to support emergency assistance.

UDRs, along with other cables captured in the IRM study, are modeled with outage rates based on their historical performance. In the 2026-2027 IRM Study, the cable performance for 2015-2024 was used to develop the cable outage rate assumptions. The aggregated cable outage rate, which covers the facilities of CSC, Neptune, VFT, HTP, Dunwoodie South, Y49/Y50, Norwalk Northport, A Line, and Jamaica Ties, increased from 5.31% (based on the 10-year historical period from 2014-2023) to 5.80% for the 2026-2027 IRM Study compared to the 2025-2026 IRM Study. Given that the CHPE project is a new cable with no historical performance data, the aggregated cable outage rate of 5.80% is being used for its cable outage rate assumption in the 2026-2027 IRM Study.

5.3 The Transmission Model[15]

A detailed NYCA transmission system model is represented in the GE-MARS topology. The transmission system topology which includes eleven NYCA zones and four Outside World Areas, along with relevant transfer limits, is depicted in Appendix A, Figure A-10. The transfer limits employed for the 2026-2027 IRM Study were developed from emergency transfer limit analysis included in various studies performed by the NYISO, and from input from Transmission Owners and neighboring regions. The transfer limits are further refined by additional assessments conducted for this 2026-2027 IRM Study topology.

The transmission model assumptions included in the 2026-2027 IRM Study are listed in Table A.10 in the Appendix which reflects changes from the model used for the 2025-2026 IRM Study. These topology changes are as follows:

Update to Dysinger East Forward Limit

- The Dysinger East Forward Limit decreased from 2,100 MW to 1,925 MW. This change is driven by changes in load pattern in Load Zone A

Update to Central East Forward Limit due to Marcy STATCOM Return to Service

- The Central East voltage collapse limit was increased from 3,810 MW to 3,885 MW; each dynamic limit is also increased by 75 MW. The Central-East Voltage Limit Study (CEVC 2023) provides the voltage collapse limit for the Central East interface under different system conditions. This data identifies a 75 MW derate for an outage of the Marcy STATCOM for all Oswego Complex combinations. The proposed Central East forward transfer limits remove the prior 75 MW derate resulting in dynamic limits that

[15] The transmission model is discussed in Appendix A Section 3.5

align with the Oswego Complex limits in the 2023 Central-East Voltage Study report with the Marcy STATCOM modeled as in service for the 2026-2027 IRM Study.

- The Central East + Marcy South Group (Total East interface) is not impacted by the STATCOM outage because it is thermally constrained.

Update to Moses South Forward Limit due to Smart Path Connect Project

- The Moses South Forward Limit increased from 2,650 MW to 3,500 MW. The 2025 NYISO Summer Operating Study does not incorporate the Smart Path Connect project, and therefore does not reflect this higher limit. The updated thermal limit was established to more accurately represent the system topology with the Smart Path Connect project modeled as in-service, which better aligns with the expected configuration for the 2026–2027 Capability Year.

Update to Sprain-Brook Dunwoodie-South Forward Limit

- The Sprain Brook and Dunwoodie South forward limit decreased from 4,400 MW to 4,225 MW due pre-contingency loading on Dunwoodie-Mott Haven 345kV.

Update to West Central Reverse Limit

- The West Central reverse limit was increased from 2,200 MW to 2,225 MW. This update is driven by redistribution of flows.

Update to Load Zone K- Load Zone I/J Export Limit (Total NYISO Export):

- The export limit from Load Zone K to Load Zones I/J has been reduced from 170 MW to 120 MW under all lines in-service conditions. This update was provided by PSEG Long Island as part of their annual transmission study. The reduction in transfer capability is primarily attributed to a short-term emergency (STE) rating de-rate on the Barrett–Valley Stream circuit and an increase in load in the West of Newbridge region. Additionally, dynamic limits, which vary based on the operational status of the Barrett 1 and 2 generating units, have decreased by approximately 30–60 MW.

Update to Load Zone K- Load Zone J Export Limit (Total Jamaica Export):

- The export limit from Load Zone K to Load Zone J decreased from 505 MW to 485 MW for all lines in-service condition. This update was provided by PSEG Long Island as part of their annual transmission study. The reduction in transfer capability is a result of a STE rating de-rate on the Barrett–Valley Stream circuit. The dynamic limits, which vary

based on the operational status of the Barrett 1 and 2 generating units, decreased by 20MW as well.

Update to Zone K- Norwalk Harbor Export Limit (NNC Export):

- The Load Zone K to Norwalk Harbor export limit decreased from 414 MW to 395 MW. This update was provided by PSEG Long Island as part of their annual transmission study. The reduction is due to a NNC phase angle regulatory (PAR) de-rate.

Update to Norwalk Harbor - Zone K Import Limit (NNC Import):

- Norwalk Harbor to Load Zone K import limit decreased from 404 MW to 395 MW. This update was provided by PSEG Long Island as part of their annual transmission study. The reduction is due to a NNC PAR de-rate.

Update to Load Zone I- Load K Export Limit (Y49-Y50):

- The export limit between Load Zone I and Load Zone K decreased from 460 MW to 385 MW. This update was provided by PSEG Long Island as part of their annual transmission study. The reduction in transfer capability is primarily attributed to an STE rating de-rate on the Barrett–Valley Stream circuit and an increase in load in the West of Newbridge region. The NNC PAR de-rate also contributes to this reduction in transfer capability.

Update to Zone K- I/J/PJM Export Limit (Total Export towards West Ties):

- The total export limit towards west ties reduced from 84 MW to 34 MW. This update was provided by PSEG Long Island as part of their annual transmission study.

Forced transmission outages based on historical performance are represented in the GE-MARS model for the underground cables that connect New York City and Long Island to surrounding zones. The GE-MARS model uses transition rates between operating states for each interface, which were calculated based on the probability of occurrence from the historic failure rates and the time to repair. Transition rates into the different operating states for each interface were calculated based on the circuits comprising each interface, including failure rates and repair times for the individual cables, and for any transformer and/or phase angle regulator associated with that cable.

The applicable Transmission Owners provided updated transition rates for their associated cable interfaces. Updated cable outage rates assumed in the 2026-2027 IRM Study resulted in a 0.08% increase to the IRM compared with the 2025-2026 IRM Study (Table 6-1). .The 2025-

2026 IRM Study adopted a new methodology, using the annualized average over the past 10 years and the same methodology is used for 2026-2027 IRM Study as well. This change smooths the impact of tail events or years with unusually long cable outages, ensuring more stable and reliable estimates. Additionally, the 10-year average better captures long-term trends in cable performance, providing a more comprehensive understanding of outage patterns.

As in all previous IRM studies, forced outage rates for overhead transmission lines were not represented in the 2026-2027 IRM Study. Historical overhead transmission availability was evaluated in a study conducted by ICS in 2015, *Evaluation of the Representation of Overhead Transmission Outages in IRM Studies*, which concluded that representing overhead transmission outages in IRM studies would have no material impact on the IRM (www.nysrc.org/reports).

The impact of NYCA transmission constraints on IRM requirements depends on the level of resource capacity in any of the downstream zones from a constraining interface, especially in New York City (Load Zone J) and Long Island (Load Zone K). To illustrate the impact of transmission constraints on the IRM, if internal NYCA transmission constraints were eliminated, the required 2026-2027 IRM would decrease by 2.21% (Table 7-1, Case 2).

The 2026-2027 IRM Study modeled limits on emergency assistance from neighboring jurisdictions during severe and extreme conditions by implementing additional topology limitations between each of the external areas and NYCA. Such topology limitations do not reflect the real constraints on the transmission system, but rather, represent an estimate of the neighboring area's ability to provide support to the NYCA at EOP steps during the GE-MARS simulation. More details on this modeling are discussed in section 5.4.

5.4 The Outside World Model

The Outside World Model consists of four interconnected Outside World Areas contiguous with NYCA: Ontario, Quebec, New England, and the PJM Interconnection (PJM). NYCA reliability is improved and IRM requirements can be reduced by recognizing available emergency assistance (EA) from these neighboring interconnected control areas, in accordance with control area agreements governing emergency operating conditions.

For the 2026-2027 IRM Study, two Outside World Areas, New England and PJM, are each represented as multi-area models— *i.e.,* 14 zones for New England and five zones for PJM. Another consideration for developing models for the four Outside World Areas is to recognize internal transmission constraints within those areas that may limit EA into the NYCA. This recognition is explicitly considered through direct multi-area modeling of well-defined Outside World Area "bubbles" and their internal interface constraints. The model's representation

explicitly requires adequate data in order to accurately model transmission interfaces, load areas, resource and demand balances, load shapes, and coincidence of peaks, among the load zones within these Outside World Areas.

In 2019, the ICS conducted an analysis of the IRM study's Outside World Area Model to review its compliance with a NYSRC Policy 5 objective that "interconnected Outside World Areas shall be modeled to avoid NYCA's over dependence on Outside World Areas for emergency assistance." This analysis resulted in a change in the methodology to scale loads proportional to excess capacities in each zone of each Outside World Area to meet the LOLE criterion and the Control Area's minimum IRM requirement, as well as the implementation of global EA limit of 3,500 MW. For past IRM studies, EA assumptions have reduced IRM requirements by approximately 5.5%.

For the 2024-2025 IRM Study, an EOP whitepaper[16] was conducted and the whitepaper concluded that further refinement of the previous EA assumptions would improve the reasonableness of expectations for the availability of EA. Additional topology limits to constrain EA by LFU bin in the IRM study were recommended. In the 2024-2025 IRM Study, the static EA limit was modified as follows: LFU Bin 1: 1,470 MW; LFU Bin 2: 2,600 MW; LFU Bin 3-7: 3,500 MW. These limits were also implemented on each of the external Control Areas, based on historical extra reserves available in these Control Areas during NYCA peak load periods to better reflect potential support that external Control Areas can provide when New York is in need. For the 2025-2026 IRM Study, the dynamic emergency assistance modeling was expanded to include the HVDC lines to reflect the proportional limits to emergency assistance from the external control areas. For the 2026-2027 IRM Study, the emergency assistance modeling was further updated to reduce the EA from Hydro Quebec to 0 MW in the winter months (November – April).

5.5 Database Quality Assurance Review

It is critical that the database used for IRM studies undergo sufficient review in order to verify its accuracy. The NYISO, GE, and two Transmission Owners conducted independent data quality assurance reviews after the preliminary base case assumptions were developed prior to preparation of the final base case. Masked and encrypted input data was provided by the NYISO to the two Transmission Owners for their review. Also, certain confidential data is reviewed by two of the NYSRC consultants as required.

[16] See, New York State Reliability Council, EOP Whitepaper, available at: https://www.nysrc.org/wp-content/uploads/2023/10/EOP-Review-Whitepaper-Report_FINAL_For_Posting.pdf

The NYISO, GE, and Transmission Owner reviews did not identify errors within the assumptions matrix for the 2026-2027 IRM Study PBC. A summary of these quality assurance reviews for the 2026-2027 IRM Study input data is shown in Appendix A, Section A.4. There were no material errors found in the final base case data.

5.6 Winter Reliability Risk

The 2026-2027 IRM Study indicates a notable shift in the seasonal distribution of reliability risk toward winter. While the annual LOLE target of 0.1 loss of load event-days/year is maintained, 14.0% of LOLE events occurred in winter, compared to 0% in the 2025-2026 IRM Study.

Two key modeling changes contributed to this shift: (1) the inclusion of winter fuel availability constraints, and (2) the inclusion of the CHPE project. The introduction of winter fuel availability constraints results in derating of fossil-fired thermal units in Load Zones F-K during winter peak periods to account for limitations on natural gas and/or oil fuel availability. The introduction of the winter fuel availability constraints modeling leads to LOLE occurring in those peak winter periods. The addition of CHPE does not increase total reliability risk but shifts its seasonal allocation. Because CHPE is modeled in the 2026-2027 IRM Study as a summer-only resource, it only improves summer risk and when capacity is removed annually to achieve the 0.1 loss of load event-days/year criteria, winter modeled capacity is reduced resulting in a higher share of LOLE events occurring in winter.

As seasonal differences in modeled ICAP and reliability risk become more pronounced, the NYISO is evaluating, in collaboration with its stakeholders, a potential transition to establishing seasonal ICAP requirements. In support of this effort, Table 5-6 presents the modeled ICAP values by season, along with the implied IRM and locational capacity values for winter. The winter values are derived from the approved IRM and locational requirements produced by the 2026-2027 IRM Study Final Base Case, while the summer values represent the results of such case. These values are provided for informational purposes only.

Table 5-2: Modeled Capacity by Season 2026-2027

IRM 2026-2027 FBC: Summer				
	Modeled ICAP (MW) (a)	Total ICAP Removals (MW) (b)	Peak Load Forecast (MW) (c)	IRM and Locational Requirements (%) (a-b)/c
NYCA	41,813.92	2,158.73	31,648.2	25.300
Load Zone J	9,979.31	1,196.98	11,088.8	79.200
Load Zone K	5,857.31	384.20	5,127.8	106.734
G-J Locality	14,780.56	1,196.98	15,304.8	88.754
IRM 2026-2027 FBC: Winter				
	Modeled ICAP (MW) (a)	Total ICAP Removals (MW) (b)	Peak Load Forecast (MW) (c)	Effective IRM and Locational Requirements (%) (a-b)/c
NYCA	41,128.92	2,158.73	24,522.6	58.915
Load Zone J	9,081.04	1,196.98	7,647.4	103.095
Load Zone K	6,265.66	384.20	3,327.4	176.758
G-J Locality	14,092.93	1,196.98	10,775.4	119.680
IRM 2026-2027 Special Sensitivity Case: Summer				
	Modeled ICAP (MW) (a)	Total ICAP Removals (MW) (b)	Peak Load Forecast (MW) (c)	IRM and Locational Requirements (%) (a-b)/c
NYCA	42,404.70	2,654.58	31,648.2	25.600
Load Zone J	10,492.21	1,638.36	11,088.8	79.845
Load Zone K	6,061.71	549.22	5,127.8	107.502
G-J Locality	15,293.45	1,638.36	15,304.8	89.221
IRM 2026-2027 Special Sensitivity Case: Winter				
	Modeled ICAP (MW) (a)	Total ICAP Removals (MW) (b)	Peak Load Forecast (MW) (c)	Effective IRM and Locational Requirements (%) (a-b)/c
NYCA	41,895.83	2,654.58	24,522.6	60.021
Load Zone J	9,745.54	1,638.36	7,647.4	106.012
Load Zone K	6,494.56	549.22	3,327.4	178.678
G-J Locality	14,757.43	1,638.36	10,775.4	121.750

6. Parametric Comparison with ~~2025~~2026-~~2026~~ 2027 IRM Study Results

The ~~25.3~~30.90% IRM determined for the ~~2026-2027~~2027-2028 IRM Study final base case represents a ~~0.9~~5.3% increase from the ~~2025~~2026-~~2026~~ 2027 IRM Study final base case (initially referred to as the Special Sensitivity Case) value of ~~24.4~~25.6%. Note, the final approved IRM value for the ~~2025~~2026-~~2026~~ 2027 Capability Year was 24.~~4~~5%. Table~~s~~ 6-1 and 6-2 compare~~s~~ the estimated IRM impacts of updating several key study assumptions and revising models from those used in last year's study. The estimated percentage IRM change for each parameter was calculated from the results of a parametric analysis in which a series of IRM sensitivity runs were conducted to update the underlying IRM model data and test the IRM impact of individual parameters. Table 6-1 shows the transition from last year's FBC to this year's PBC. Table 6-2 then shows the impact of changes from the PBC Tan45 results to the FBC. In practice, the parametric analysis is conducted in a sequential manner and the parametric results can be largely affected by the study sequence and the selected parametric adjustment method. The total parametric change on the IRM from FBC to PBC is ~~roughly 1.5~~5.93%, while the ~~final~~ preliminary base case Tan45 analysis shows that ~~there is only a~~it was a ~~0.9~~5.80% increase from last year's final base case. The increased accuracy of the parametric analysis was the result of changes in the methodology made by the NYISO. ~~Table 6-1 also provides a summary for the IRM changes for some of the study parameters from the 2025-2026 IRM Study.~~

There are fifteen parameter drivers that in combination *increased* the 2027-2028 IRM from the 2026-2027 IRM Study base case IRM by 7.92%. Of these fifteen drivers, the most significant was the New Generator Inclusion which consisted of 1,229 MW of renewable generation and energy storage which only required the removal of 216 MW of ICAP to restore the system to 0.1 event-days/year. This resulted in an increase of over 1,000 MW in the ICAP requirement. The next closest impacts were the Transition Matrix and EFORD Updates (1.12%) and the 2026 Gold Book. DMNC and Behind-the-Meter Net Generation updates (1.01%). All other increases were less than 0.5% each. ~~There are sixteen parameter drivers that in combination a 6.29% increase to the IRM determined for the 2026-2027 IRM Study final base case compared to the 2025-2026 IRM Study final base case. Of these sixteen drivers, the most significant was the Winter Fuel Availability Constraints followed closely by the Fall Load Forecast reduction and the explicit modeling of BTM solar as a supply-side resource. Each of these three items increased the IRM by over 1% each. The addition of the CHPE project with its 1,250 MW transfer capability into Load Zone J (assumed in the 2026-2027 IRM Study for the summer months only), resulted in just a 0.317% increase in the IRM but caused significant shifts in the locational requirements. The locational requirement for Load Zone J increased by 8.474%, the Load Zone K locational requirement decreased by 3.397%, and the G-J Locality locational requirement increased by 5.272%. Because of the seasonal capability of this line assumed in the study model, it also exacerbated the impact of the winter fuel availability constraints.~~

Only four parameter drivers in combination *decreased* the IRM from the 2026-2027 IRM Study base case by 1.89%. Of these four drivers, the most significant was the Generator Deactivations which caused a 1.23% decrease. All other changes were less than 0.5% each. ~~Twelve parameter drivers in combination decreased the IRM from the 2025-2026 IRM Study final base case by 4.66%. Of these twelve drivers, the most significant was the change in the quantity of SCR MW modeled for the 2026-2027 IRM Study final base case which decreased the IRM by 1.569%. The assumed Gowanus and Narrows generator deactivations decreased the IRM by 0.686% followed closely by the initial 2025 Gold Book Load Forecast which decreased the IRM by 0.619%. All other modifications, individually, had an impact of less than 0.50% on the IRM.~~

The overall parametric impact from the PBC to FBC cases, shown in Table 6-2, were negligible. The largest impact was the Fall Loads Forecast which resulted in a decrease of 573 MW in the system peak load. Although this caused a decrease in the ICAP MW requirements, because the IRM is expressed as a percentage of the peak load it resulted in a 0.64% increase in IRM. Other changes, most notably updates to the SCR MW, Voluntary Curtailment and Public Appeals MW and Topology changes all decreased the IRM slightly and effectively cancelled out the impact of the Load changes.

The parameters in Table 6-1 and Table 6-2are discussed under *Models and Key Input Assumptions.*

Table 6-1: 2025-2026 FBC vs 2026-2027 PBC Parametric Impact

Material Changes

Number	Adjustment Type	Description	Impact on Margins			
			NYCA	NYC	LI	LHV
		2026-2027 IRM Final Base Case	25.6	79.8	107.5	89.2
1	A-K	2026 Gold Book Load Forecast	0.31	0.66	0.09	-0.01
2	A-K	Run of River Hydro (RoR) Shapes (2021 - 2025)	0.06	0.00	0.00	0.00
3	A-F	Land-Based Wind (LBW) Shapes (2021 – 2025)	-0.22	0.00	0.00	0.00
4	A-K	10-min Operating Reserve Allocation and Level Maintained during Load Shedding	0.24	0.18	0.22	0.19
5	A-K	Preliminary Special Case Resource Modeled MW	0.09	0.00	0.00	0.00
6	A-K	Preliminary Distributed Energy Resources (DER) MW	-0.09	0.06	0.07	0.06
7	A-K	Transition to Power GADS	0.16	0.07	0.16	0.10
8	A-K	Thermal Transition Matrix and EFORd Update (2021-2025)	1.12	1.36	1.85	1.48
9	G-K	Cable Transition Rates	0.09	0.13	0.15	0.13
10	A-K	Load Forecast Uncertainty	0.17	0.13	0.16	0.13
11	A-K	New Generator Inclusion	3.40	0.54	-0.43	0.28
12	A-K	Behind-the-Meter Solar Shapes (BTM PV) Update (2027 BTM PV Forecast for Load and 2021-2025 BTM PV for Generation)	0.39	0.30	0.35	0.29
13	A-K	2026 Gold Book DMNC + Behind-the-Meter Net Generation (BTM:NG) Updates	1.01	1.12	-2.14	1.11
14	A-K	Generator Deactivations	-1.23	0.26	0.30	-2.92
15	A-K	Revised Emergency Assistance Limits for Cables with PJM	0.11	0.17	0.20	0.17
16	A-K	Voluntary Curtailment MW Update	0.11	0.17	0.20	0.17
17	A-K	Firm Transactions Update	0.17	0.13	0.16	0.13
18	A-K	Winter Fuel Availability Constraints Modeling Update	-0.35	-0.27	-0.31	-0.26
19	A-K	External Data + Policy 5 Adjustment	0.49	0.37	0.44	0.37
		Sum of Material Changes	6.03	5.40	1.45	1.41
		Non Material Changes	0.10	0.08	0.06	0.07
		Preliminary Base Case Parametric Results **	31.74	85.32	109.02	90.71

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Non-Material Changes (Less than 0.05% delta on IRM)						
Number	Adjustment Type	Description	Impact on Margins			
			NYCA	NYC	LI	LHV
1	A-K	MARS Version: 5.10.4171	0.00	0.00	0.00	0.00
2	A-F	Landfill Gas (LFG) Shapes (2021 - 2025)	0.02	0.00	0.00	0.00
3	A-K	Solar Shapes (2021 - 2025)	-0.02	-0.01	-0.02	-0.01
4	G-K	Offshore Wind Shapes (2021-2025)	0.01	0.01	0.01	0.01
5	A-F	Topology Update: Limit Update for Dysinger East, Moses South, and Seasonal Limits for West Central Reverse	0.03	0.00	0.00	0.00
6	A-K	External Topology Update	0.02	0.01	0.01	0.01
7	A-K	Database Cleanup	0.01	0.01	0.01	0.01
8	A-K	Maintenance Modeling Update	0.02	0.02	0.02	0.02
9	A-K	Zonal Derating Factors Update (includes intermittent winter performance and seasonal capabilities)	0.02	0.05	0.02	0.04
10	A-K	Standard Error (at 3,000 Replications)	0.00	0.00	0.00	0.00
		Sum of Non-Material Changes	0.10	0.08	0.06	0.07

Table 6-2: 2026-2027 PBC vs 2026-2027 FBC Parametric Impact

Material Changes						
Number	Adjustment Type	Description	Impact on Margins			
			NYCA	NYC	LI	LHV
		2027-2028 IRM Preliminary Base Case	30.80	85.86	109.87	89.33
1	A-K	Special Case Resources (SCR) MW Update	-0.16	0.25	0.19	0.15
2	A-K	Voluntary Curtailment and Public Appeals MW Updates	-0.18	-0.14	-0.16	-0.14
3	A-K	Winter Fuel Allocation Update	-0.07	-0.05	-0.07	-0.05
4	A-K	New Generator Inclusion	0.12	-0.13	0.16	-0.13
5	A-K	Topology Update: Limit Update for UPNY-SENY, UPNY-ConEd, and Seasonal Limits for LI Interfaces	-0.12	-0.09	-0.11	-0.09
6	A-K	Fall Load Forecast	0.64	-0.46	0.00	0.37
7	A-K	Manual and Remote Voltage Reduction	-0.08	-0.06	-0.07	-0.06
		Database Cleanup: Winter Fuel Allocation				
		Sum of Material Changes	0.16	-0.69	-0.06	0.05
		Non Material Changes	-0.12	-0.44	1.18	-0.23
		Final Base Case Parametric Results **	30.83	84.73	110.99	89.15

** The LCR values reported are neither Tan 45 results nor LCR Optimizer results. The actual LCRs will be determined and approved by the NYISO's Operating Committee based upon the EC approved IRM and database model with modifications pursuant to Market Services Tariff Section 5.11.

Non-Material Changes (Less than 0.05% delta on IRM)						
Number	Adjustment Type	Description	Impact on Margins			
			NYCA	NYC	LI	LHV
1	A-K	Distributed Energy Resources (DER) MW Update	-0.04	0.17	0.20	0.17
2	A-K	NERC EFORd: 2021-2025	0.00	0.00	0.00	0.00
3	G-K	Seasonal Unforced Capacity Deliverability Rights (UDR) Elections	-0.04	-0.62	0.98	-0.40
4	A-F	Resource Deactivations	-0.04	0.00	0.00	0.00
5	A-K	Demand-side Management (DSM) Shapes Update	0.00	0.00	0.00	0.00
		Sum of Non-Material Changes	-0.12	-0.44	1.18	-0.23

~~**Table 6-1: 2025-2026 vs 2026-2027 Parametric Impact Comparison**~~

~~Parameter~~	~~Impact on Margins~~		~~-~~	~~-~~	~~Reason for Change~~
~~-~~	~~IRM~~	~~NYC~~	~~LI~~	~~G-J~~	~~-~~
~~2025-2026 IRM Final Base Case (FBC)~~	~~24.40%~~	~~75.58%~~	~~107.30%~~	~~86.91%~~	~~-~~
~~**Study Parameters that Increased the IRM**~~					
~~Winter Fuel Availability Constraints~~	~~1.37%~~	~~1.05%~~	~~1.32%~~	~~1.09%~~	~~Adoption of Winter Fuel Availability Constraints modeling~~
~~Fall Load Forecast~~	~~1.29%~~	~~0.86%~~	~~1.04%~~	~~0.63%~~	~~-~~
~~Behind the Meter (BTM) Solar~~	~~1.11%~~	~~0.78%~~	~~1.08%~~	~~0.85%~~	~~Modeling BTM Solar explicitly as a supply resource~~
~~New Generator Screening~~	~~0.70%~~	~~0.10%~~	~~0.05%~~	~~0.08%~~	~~-~~
~~EFORd Thermal Outage Rate (2020-2024)~~	~~0.37%~~	~~0.27%~~	~~0.33%~~	~~0.30%~~	~~-~~
~~Champlain Hudson Power Express (CHPE) Inclusion~~	~~0.32%~~	~~8.47%~~	~~3.40%~~	~~5.27%~~	~~-~~
~~2025 BTM Solar Generator Shapes~~	~~0.24%~~	~~0.17%~~	~~0.23%~~	~~0.18%~~	~~-~~
~~Generator Deactivations and ICAP Ineligible Forced Outages (IIFOs)~~	~~0.19%~~	~~0.90%~~	~~1.56%~~	~~1.00%~~	~~-~~

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Topology Update: Limit Update for Sprain Brook Dunwoodie South	0.17%	0.24%	0.33%	0.26%	-
HQ Imports Modeling Update	0.11%	0.00%	0.00%	0.00%	-
Inclusion of Distributed Energy Resources (DERs)	0.10%	0.00%	0.00%	0.00%	Modeling DER enrollments
Cable Transition Rates	0.08%	0.06%	0.08%	0.06%	-
Load Zone K Topology Update	0.07%	0.11%	0.13%	0.11%	-
Load Forecast Uncertainty	0.05%	0.04%	0.05%	0.04%	-
MARS Version	0.05%	0.04%	0.05%	0.04%	-
Manual & Remote Voltage Reduction MW Update	0.05%	0.04%	0.05%	0.04%	-
Study Parameters that Decreased the IRM					
Special Case Resources (SCR)	-1.57%	-0.03%	0.10%	-0.07%	Updated SCR enrollments
Gowanus 1 & 2 and Narrows 2 & 3 Deactivations	-0.69%	-3.34%	1.53%	-2.03%	-
2025 Gold Book Load Forecast	-0.62%	0.95%	0.98%	0.52%	-
2026 Solar Adjusted Load Shapes	-0.47%	-0.34%	-0.47%	-0.36%	-
Voluntary Curtailment Call Limit Update	-0.30%	-0.22%	-0.28%	-0.23%	3 days/month call limit implemented
Unforced Capacity Deliverability Rights (UDR) Elections	-0.26%	-1.15%	0.55%	-0.69%	-
Revised SCR Start Times	-0.25%	0.00%	0.00%	0.00%	Adoption of new SCR start time methodology
External Data + Policy 5 Adjustment	-0.17%	-0.13%	-0.16%	-0.13%	-
Enhanced Load Modeling (ELM)	-0.15%	-0.11%	-0.15%	-0.12%	Calibrate annual energy and seasonal peak forecasts
NERC EFORd: 2020-2024	-0.07%	-0.06%	-0.07%	-0.06%	-
2025 Gold Book DMNC	-0.06%	0.23%	0.02%	-0.01%	-
Solar Shapes (2020 - 2024)	-0.05%	-0.04%	-0.06%	-0.04%	-
Total Impact/Results					
Sum of Non-Material Changes (FBC&PBC)	**-0.11%**	**-0.06%**	**-0.13%**	**-0.07%**	-
Total Parametric Impact (Sum of FBC&PBC Material and Non-Material Changes)	**1.52%**	**7.12%**	**-0.51%**	**5.40%**	-

~~2026-2027 IRM Parametric Results~~	~~25.92%~~	~~82.70%~~	~~106.79%~~	~~92.31%~~	-
~~2026-2027 IRM FBC Tan45 Results~~	~~25.30%~~	~~79.20%~~	~~106.73%~~	~~88.75%~~	~~Results of FBC Tan45~~
~~Tan45 Delta~~	~~-0.62%~~	~~-3.50%~~	~~-0.06%~~	~~-3.56%~~	~~Delta between the Parametric Results and the Tan45 Results~~

7. Sensitivity Case Study

In addition to calculating the IRM using base case assumptions, sensitivity analyses are run as part of an IRM study to determine IRM outcomes using different assumptions than in the base case. Sensitivity studies provide a mechanism for illustrating "cause and effect" of how some performance and/or operating parameters and study assumptions can impact reliability. Certain sensitivity studies, termed "IRM impacts of base case assumption changes," serve to inform the NYSRC Executive Committee when determining the Final NYCA IRM regarding how the IRM may be affected by reasonable deviations from selected base cases assumptions. The methodology used to conduct sensitivity cases starts with the base case IRM results and adds or removes capacity from all NYCA zones until the NYCA LOLE approaches 0.1 Event-Days/year.

Table 7-1 shows the resulting IRM for the various sensitivity cases. Notably, all of the sensitivities are run on the approved ~~2026-2027~~2027-2028 IRM PBC. It is expected that the relative impact on the PBC remain unchanged on the ~~2026-2027~~2027-2028 IRM Final Base Case (FBC), ~~Table 7-1 is adjusted to show the same relative impact from the approved sensitivity case result on the FBC (Table 7-1, Case 0).~~[17] In addition to showing the IRM requirements for various sensitivity cases, Table 7-1 shows the LOLH and EUE reliability metrics for each case.[18] These two metrics, along with the LOLE metric, are important measures of reliability risk in that together, they describe the frequency, duration, and magnitude of loss of load events.[16] The reliability risk measures provided by these two metrics, in addition to IRM impacts, provide Executive Committee members with different aspects of system risk for selecting the Final NYCA IRM. The data used to calculate LOLH and EUE are collected from GE-MARS output.

Sensitivity Cases 1 through ~~5~~5- in Table 7-1 are annually performed and illustrate how the IRM would be impacted if certain major IRM study parameters were not represented in the IRM base case. The current Case 5, Fuel Availability with Firm Fuel Elections, has now replaced the analysis of SCRs. These parameters and their IRM impacts are discussed in Sections 5.1.2 and 5.4, respectively.

Case 6 looks at the impact ~~of~~ Empire and Sunrise Offshore Wind Farms. Inclusion of these units adds 1,740 MW of ICAP, while removing only 741 MW of ICAP return the system to criteria. This results in a 3.2% increase in the IRM. This sensitivity also has a significant impact on the LCRs in Zones J and K. Zone J LCR increases by 2.6% to 88.5% and Zone K increases by 12.9% to 122.8%.

~~[17] *See*, New York State Reliability Council 2025-2026 Installed Reserve Margin Study - Sensitivity Cases and Case Comparison, *available at*: https://www.nysrc.org/wp-content/uploads/2024/08/IRM25_Sensitivities_Results-09042024-ICS.pdf~~

[18] **LOLH**: The expected number of hours during loss of load events each year when the system's hourly demand is projected to exceed the generating capacity.
EUE: The expected amount of energy (MWh) during loss of load events that cannot be served each year.

Case 7 examined the impact of removing the New Generator Inclusion. This is essentially the reverse of Parametric step 11 and the results are similar. Because the contribution to reliability is much lower with renewable generation removing the new generation results in a reduction in the IRM of 3.2%.

~~removing the Winter Fuel Availability constraints modeling. If there were no limits were included on the availability of the fuel for thermal units in Load Zones F-K in the winter period, the IRM would drop by 1.8% to 23.5%. The final base case assumed that CHPE project was in service and the Gowanus and Narrows barges were removed, resulting in an IRM of 25.3%. Case 7a assumes the reverse – the CHPE line is modeled as out of service and the Gowanus and Narrows barges are modeled as in service. The alternative assumptions would reduce the IRM by 0.8% resulting in a 24.5% value. Case 7b assumes that both CHPE and the Gowanus and Narrows barges are in service. These assumptions increase the IRM by 0.5% to a value of 25.8%.~~

~~*For the 2026-2027 IRM Study, a Special Sensitivity Case (see Case 8 in Table 7-1 below) was conducted to account for the consideration of potential assumption changes that arose following approval of the final base case assumptions matrix, including consideration of potential resource operating status changes that could arise as a result of the findings of the NYISO's 2025 Quarter 3 Short-Term Assessment of Reliability ("STAR") issued on October 13, 2025. The consideration of potential resource operating status changes in consideration of the NYISO's 2025 Quarter 3 STAR recognize that the NYISO's process for solicitation, review, and identification of solutions in response to the near-term reliability needs identified by the 2025 Quarter 3 STAR will not be completed prior to finalizing the 2026-2027 IRM Study and, thus, do not reflect any final determinations by the NYISO for addressing such reliability needs. The Special Sensitivity Case also reflects a change in the assumed operating status of a land-based wind resource that was previously recommended for addition as a new capacity supply resource for inclusion in the 2026-2027 IRM Study. An overview of the resource assumption updates included in the Special Sensitivity Case are as follows:*~~

- ~~*Load Zone J additions (512.9 MW): Gowanus 2-1 – 2-8, Gowanus 3-1 – 3-8 (with exception of Gowanus 3-6), Narrows 1-1 – 1-8, and Narrows 2-1 – 2-8 (with exception of Narrows 2-1 and 2-7)*~~
- ~~*Load Zone K additions (204.4 MW): Far Rockaway GT1 and GT2, Glenwood GT3, and Shoreham IC1*~~
- ~~*Load Zone A removal (126.5 MW): Cassadaga Wind*~~

~~*The Special Sensitivity Case also reflects updates to the winter fuel availability constraint assumptions to align with the changes to the operating status of thermal resources located in Load Zones J and K that are subject to the modeled winter fuel availability constraints.*~~

Table 7-1. ~~2026-2027~~2027-2028 Installed Reserve Margin (IRM) Study - Sensitivity Cases and Special Sensitivity Case

2027-2028 Installed Reserve Margin (IRM) Study - Sensitivity Cases

Note: All results are calculated by adding/removing capacity from Load Zones A - K unless otherwise noted

Case	Description	IRM (%)	NYC (%)	LI (%)	IRM (%) Change from Base	Winter LOLE (%)	Summer LOLE (%)	LOLH (hrs/yr)	EUE (MWh/yr)
0	2027-2028 IRM Preliminary Base Case (PBC)	30.8	85.9	109.9	-	9.15%	90.85%	0.355	178.423
	These are the Base Case technical results derived from knee of the IRM-LCR curve								
1	NYCA Isolated	35.3	89.3	113.9	4.5	5.89%	94.11%	0.301	171.066
	Track Total New York Control Area (NYCA) Emergency Assistance (EA) – NYCA system is isolated and receives no emergency assistance from neighboring control areas (New England, Ontario, Quebec, and PJM). Unforced Capacity Deliverability Rights (UDRs) are allowed								
2	No Internal NYCA transmission constraints	27.7	83.5	107.1	-3.1	14.95%	85.05%	0.357	322.033
	Track level of NYCA congestion with respect to the IRM model – eliminates internal transmission constraints and measures the impact of transmission constraints on statewide IRM requirements								
3	No Load Forecast Uncertainty	24.7	81.2	104.4	-6.1	3.54%	96.46%	0.283	63.989
	Shows sensitivity of IRM to load uncertainty, if the forecast peak loads for NYCA have a 100% probability of occurring								
4	No Wind Capacity	23.1	87.1	108.8	-7.7	14.44%	85.56%	0.354	186.351
	Shows wind impact for both land-based and off-shore wind units and can be used to understand Equivalent Demand Forced Outage Rate (EFORd) sensitivity								
5	Fuel Availability with Firm Fuel Characteristic Elections (Tan45)	29.5	85.9	110.1	-1.3	0.01%	99.99%	0.366	212.574
	Shows the impact of modeling winter fuel availability in each Load Zone based on firm fuel character elections for the 2027-2028 Capability Year								

Case	Description	IRM (%)	NYC (%)	LI (%)	IRM (%) Change from Base	Winter LOLE (%)	Summer LOLE (%)	LOLH (hrs/yr)	EUE (MWh/yr)
6	Empire and Sunrise Wind Included (Tan45)	34.0	88.5	122.8	3.2	10.30%	89.97%	0.361	188.065
	Shows the impact of including the Empire and Sunrise Wind units in the 2027-2028 IRM study. For the PBC, these units are not included in the study.								
7	No New Generator Inclusion (Tan45)	27.6	85.5	110.0	-3.2	8.37%	91.63%	0.353	175.542
	Shows the impact of modeling an alternative assumption in which no new generator additions are included in the 2027 2028 IRM study (i.e., removes the new generator additions modeled as part of the PBC).								
8	2020-2024 Thermal EFORd Data (Tan45)	29.7	83.6	108.4	-1.1	10.01%	89.99%	0.354	186.035
	Shows the impact of modeling thermal generator EFORd values based on the 2020–2024 outage data set instead of the 2021–2025 outage data set used in the PBC.								

~~Case~~	~~Description~~	~~IRM (%)~~	~~NYC (%)~~	~~LI (%)~~	~~IRM (%) Change from Base~~	~~LOLH (hrs/yr)~~	~~EUE (MWh/yr)~~
~~0~~	~~2026-2027 IRM Final Base Case (FBC)~~	~~25.30~~	~~79.20~~	~~106.73~~	~~-~~	~~0.36~~	~~169.51~~
	~~These are the Base Case technical results derived from knee of the IRM-LCR curve~~						
~~1~~	~~NYCA Isolated~~	~~30.08~~	~~82.88~~	~~111.33~~	~~4.78~~	~~0.31~~	~~176.64~~
	~~Track Total New York Control Area (NYCA) Emergency Assistance (EA) – NYCA system is isolated and receives no emergency assistance from neighboring control areas (New England, Ontario, Quebec, and PJM). Unforced Capacity Deliverability Rights (UDRs) are allowed~~						
~~2~~	~~No Internal NYCA transmission constraints~~	~~23.09~~	~~77.50~~	~~104.60~~	~~-2.21~~	~~0.33~~	~~256.59~~

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	Track level of NYCA congestion with respect to the IRM model – eliminates internal transmission constraints and measures the impact of transmission constraints on statewide IRM requirements						
3	No Load Forecast Uncertainty	19.71	74.90	101.35	-5.59	0.30	74.15
	Shows sensitivity of IRM to load uncertainty, if the forecast peak loads for NYCA have a 100% probability of occurring						
4	No Wind Capacity	18.53	80.38	105.78	-6.77	0.36	178.73
	Shows wind impact for both land-based and off-shore wind units and can be used to understand Equivalent Demand Forced Outage Rate (EFORd) sensitivity						
5	No SCR Capacity	22.10	75.96	107.50	-3.20	0.36	179.97
	Shows sensitivity of IRM to the Special Case Resource (SCR) program						

Case	Description	IRM (%)	NYC (%)	LI (%)	IRM (%) Change from Base	LOLH (hrs/yr)	EUE (MWh/yr)
6	No Winter Fuel Availability Constraints (Tan45)	23.50	78.14	107.26	-1.80	0.38	217.82
	Shows the level of winter reliability risk due to the winter fuel availability constraints						
7a	Barges + No CHPE (Tan45)	24.50	76.01	108.73	-0.80	0.39	197.86
	Shows impact of modeling alternative assumptions for the status of CHPE and the Gowanus/Narrows barges • With the FBC assuming that CHPE is included and barges are out-of-service, the sensitivity will exclude CHPE and include the barges						

	Barges + CHPE both included (Tan45)	25.80	80.44	106.28	0.50	0.36	163.78
7b	Shows impact of modeling alternative assumptions for the status of CHPE and the Gowanus/Narrows barges • With the FBC assuming that CHPE is included and barges are out-of-service, this sensitivity will include both CHPE and the barges in-service to understand their combined impact						
	Special Sensitivity (Tan45)	25.60	79.85	107.50	0.30	0.36	168.50
8*	Shows impact of modeling certain alternative resource assumptions for the Final Base Case. The alternative resource assumptions are as follows: (1) the removal of Cassadaga Wind in Load Zone A; (2) the inclusion of Gowanus 2-1 – 2-8, Gowanus 3-1 – 3-8 (with exception of Gowanus 3-6), Narrows 1-1 – 1-8, and Narrows 2-1 – 2-8 (with exception of Narrows 2-1 and 2-7) in Load Zone J; and (3) the inclusion of Far Rockaway GT1, Far Rockaway GT2, Glenwood GT3, and Shoreham IC1 in Load Zone K. As part of the generator inclusion updates listed above for Load Zones J and K, the fuel availability constraints modeling assumptions have been updated accordingly.						

Formatted Table

Note: All results are calculated by adding/removing capacity from Load Zones A - K unless otherwise noted

*Case 8 was conducted on the Final Base Case whereas all other cases were run on the Preliminary Base Case and converted to reflect the relative impact on the Final Base Case

8. NYISO Implementation of the NYCA Capacity Requirement

The NYISO values capacity sold and purchased in the market in a manner that considers the forced outage ratings of individual units, whereby generating unit capacity is derated to an unforced capacity basis recognizing the impact of historic unit forced outages. This derated capacity is referred to as "UCAP." In the NYCA, these translations occur twice during the course of each Capability Year, prior to the start of the Summer and Winter Capability Periods.

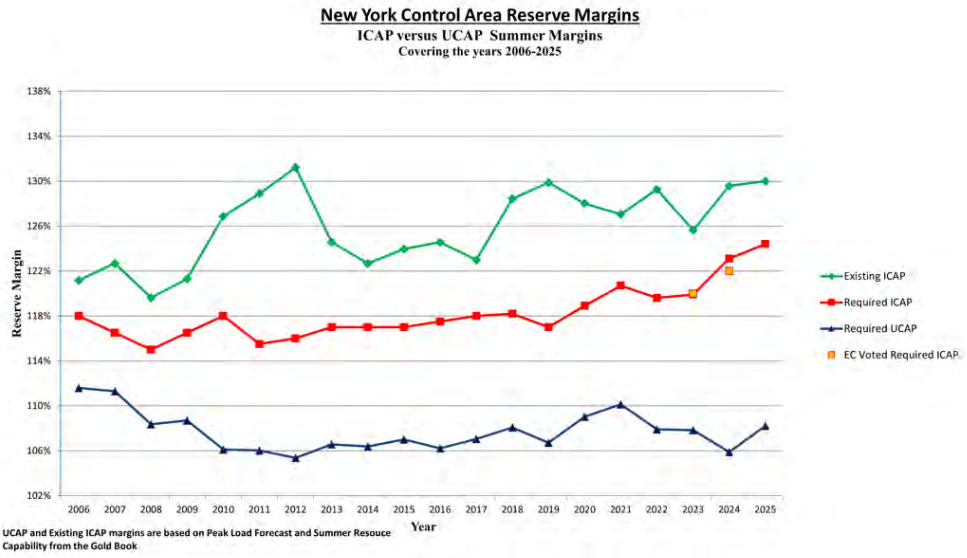
Additionally, the NYCA installed capacity requirement and LCRs are translated into equivalent UCAP values during these periods. The conversion to UCAP essentially translates from one index to another; it is not a reduction of actual installed resources. Therefore, no degradation in reliability is expected. The NYISO employs a translation methodology that converts ICAP requirements to UCAP in a manner that ensures compliance with NYSRC Resource Adequacy Rule A.1: R1. The conversion to UCAP provides financial incentives to decrease the forced outage rates while improving reliability.

Due to lower contribution to reliability, the increase in wind and solar resources lowers the translation factor from required ICAP to required UCAP which reflects the performance of all resources on the system. Figure 8.1 shows that required UCAP margins decrease slightly through 2024 even though the

required ICAP margins increase slightly. This is due to resources with below average performance being removed from the system and the required UCAP being a function of required ICAP and the weighted average availability of system resources. Last year both the ICAP and UCAP increased slightly.

Appendix D provides details of the ICAP to UCAP conversion.

Figure 8-1 NYCA Reserve Margins



Technical Study Report Appendices

Redlined 1st Draft November 3, 2026

New York Control Area Installed Capacity Requirement

For the Period May 2026~~6~~7
To April 2027~~7~~8

December 5~~1~~1, 2025~~5~~6

New York State Reliability Council, LLC
Installed Capacity Subcommittee

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Appendices

Appendix A

NYCA Installed Capacity Requirement

Reliability Calculation Models and Assumptions

Description of the GE MARS Program: Load, Capacity, Transmission, Outside World Model, and Assumptions

A. Reliability Calculation Models and Assumptions – Appendix A

The reliability calculation process for determining the New York Control Area (NYCA) Installed Reserve Margin (IRM) requirement utilizes a probabilistic approach. This technique calculates the probabilities of outages of generating units, in conjunction with load and transmission models, to determine the number of days per year of expected capacity shortages. The General Electric Multi-Area Reliability Simulation (GE-MARS) is the primary computer program used for this probabilistic analysis. The result of the calculation for "Loss of Load Expectation" (LOLE) provides a consistent measure of system reliability. The various models used in the NYCA IRM calculation process are depicted in Figure A.1 below.

Table A.1 lists the study parameters, the source for the study assumptions, and where the assumptions are described in Appendix A. Finally, section A.3 compares the assumptions used in the 2025-2026 and 2026-2027 IRM reports.

Figure A.1 NYCA Installed Capacity (ICAP) Modeling

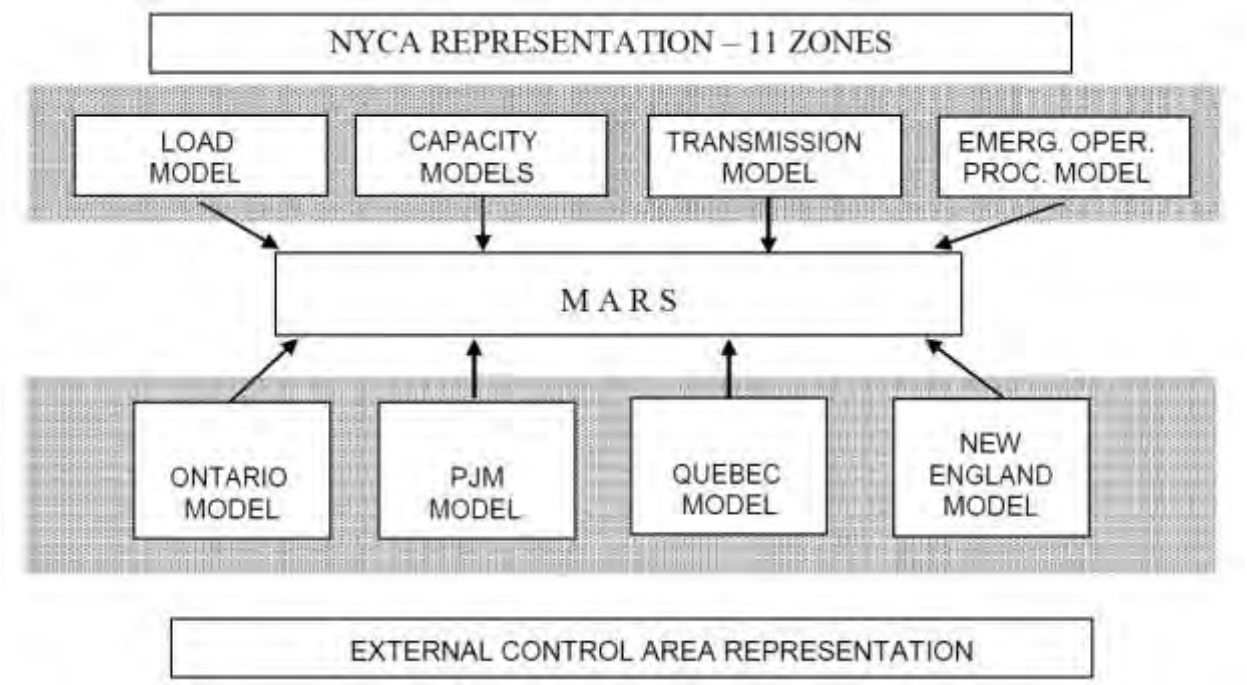


Table A.1 Modeling Details

#	Parameter	Description	Source	Reference
Internal NYCA Modeling				
1	GE-MARS	General Electric Multi-Area Reliability Simulation Program		Section A.1
2	11 Zones	Load Areas	Fig A.1	NYISO Accounting & Billing Manual
3	Zone Capacity Models	Generator models for each generating in Zone Generator availability Unit ratings	GADS data 2025 Gold Book[1]	Section A.3.4
4	Emergency Operating Procedures	Reduces load during emergency conditions to maintain operating reserves	NYISO	Section A.3.5
5	Zone Load Models	Hourly loads	NYCA load shape and peak forecasts	Section A.3.1
6	Load Uncertainty Model	Account for forecast uncertainty due to weather conditions	Historical data	Section A.3.3
7	Transmission Capacity Model	Emergency transfer limits of transmission interfaces between Zones	NYISO Transmission Studies	Section A.3.5
External Control Area Modeling				
8	Ontario, Quebec, ISONE, PJM Control Area Parameters	See items 9-12 in this table	Supplied by External Control Area except PJM	
9	External Control Area Capacity models	Generator models in neighboring Control Areas	Supplied by External Control Area except PJM	Section A.3.6
10	External Control Area Load Models	Hourly loads	Supplied by External Control Area except PJM	Section A.3.6
11	External Control Area Load Uncertainty Models	Account for forecast uncertainty due to weather conditions	Supplied by External Control Area except PJM	Section A.3.6
12	Interconnection Capacity Models	Emergency transfer limits of transmission interfaces between control areas.	Supplied by External Control Area except PJM	Section A.3.6

[1] 2025 Load and Capacity Data report ("2025 Gold Book"), https://www.nyiso.com/documents/20142/2226333/2025-Gold-Book-Public.pdf

A.1 GE-MARS

As the primary probabilistic analysis tool used for establishing NYCA IRM requirements, the GE-MARS program includes a detailed load, generation, and transmission representation for 11 NYCA Load Zones, as well as the four external Control Areas (Outside World Areas) interconnected to the NYCA (see Section A.3 for a description of these Load Zones and Outside World Areas).

A sequential Monte Carlo simulation forms the basis for GE-MARS. The Monte Carlo method provides a fast, versatile, and easily expandable program that can be used to fully model many different types of generation, transmission, and demand-side options. GE-MARS calculates the standard reliability indices of daily and hourly LOLE (event-days/year and event-hours/year) and Loss of Energy Expectation (LOEE in MWh/year). The use of sequential Monte Carlo simulation allows for the calculation of time-correlated measures such as frequency (outages/year) and duration (hours/outage). The program also calculates the need for initiating Emergency Operating Procedures (EOPs), expressed in days/year (see Section A.3.5).

In addition to calculating the expected values for the reliability indices, GE-MARS also produces probability distributions that show the actual yearly variations in reliability that the NYCA could be expected to experience. In determining NYCA reliability, there are several types of randomly occurring events that must be taken into consideration. Among these are the forced outages of generating units and transmission capacity. Monte Carlo simulation models the effects of such random events. Deviations from the forecasted loads are captured using a load forecast uncertainty model.

Monte Carlo simulation approaches can be categorized as "non-sequential" and "sequential." A non-sequential simulation process does not move through time chronologically or sequentially but rather considers each hour independent of every other hour. Because of this, non-sequential simulation cannot accurately model issues that involve time correlations, such as maintenance outages, and cannot be used to calculate time-related indices such as frequency and duration.

Sequential Monte Carlo simulation (used by GE-MARS) steps through the year chronologically, recognizing the status of equipment is not independent of its status in adjacent hours. Equipment forced outages are modeled by taking the equipment out of service for contiguous hours, with the length of the outage period being determined from the equipment's mean time to repair. Sequential simulation can model issues of concern

that involve time correlations and can be used to calculate indices such as frequency and duration. It also models transfer limitations between individual areas.

Because the GE-MARS program is based on a sequential Monte Carlo simulation, it uses state transition rates, rather than state probabilities, to describe the random forced outages of the thermal units. State probabilities give the probability of a unit being in a given capacity state at any particular time and can be used if one assumes that the unit's capacity state for a given hour is independent of its state at any other hour. Sequential Monte Carlo simulation recognizes the fact that a unit's capacity state in any given hour is dependent on a given state in previous hours and influences its state in future hours. It thus requires additional information that is contained in the transition rate data.

For each unit, a transition rate matrix is input that shows the transition rates to go from each capacity state to each other capacity state. The transition rate from state A to state B is defined as the number of transitions from A to B per unit of time in state A (Equation A.1).

Equation A.1 Transition Rate Definition

$$Transition\ (A\ to\ B) = \frac{Number\ of\ Transitions\ from\ A\ to\ B}{Total\ Time\ in\ State\ A}$$

Table A.2 shows the calculation of the state transition rates from historic data for one year. The "Time-in-State Data" shows the amount of time that the unit spent in each of the available capacity states during the year; the unit was on planned outage for the remaining 760 hours of the year. The "Transition Data" shows the number of times that the unit transitioned from each state to each other state during the year. The "State Transition Rates" can be calculated from this data. For example, the transition rate from state 1 to state 2 equals the number of transitions from 1 to 2 divided by the total time spent in state 1 (Equation A.2).

Equation A.2 Transition Rate Calculation Example

$$Transition\ (1\ to\ 2) = \frac{(10\ Transitions)}{5{,}000\ Hours} = 0.0002$$

Table A.2 State Transition Rate Example

Time in State Data				Transition Data			
State	MW	Hours		From State	To State 1	To State 2	To State 3
1	200	5000		1	0	10	5
2	100	2000		2	6	0	12
3	0	1000		3	9	8	0

State Transition Rates			
From State	To State 1	To State 2	To State 3
1	0.000	0.002	0.001
2	0.003	0.000	0.006
3	0.009	0.008	0.000

From the state transition rates for a unit, the program calculates the two important quantities that are needed to model the random forced outages on the unit: the average time that the unit resides in each capacity state, and the probability of the unit transitioning from each state to each other state.

Whenever a unit changes capacity states, two random numbers are generated. The first is used to calculate the amount of time that the unit will spend in the current state; it is assumed that the time in a state is exponentially distributed, with a mean as computed from the transition rates. This time in state is added to the current simulation time to calculate when the next random state change will occur. The second random number is combined with the state transition probabilities to determine the state to which the unit will transition when it leaves its current state. The program thus knows for every unit on the system, its current state, when it will be leaving that state, and the state to which it will go next.

Each time a unit changes state, because of random state changes, the beginning or ending of planned outages, or mid-year installations or retirements, the total capacity available in the unit's area is updated to reflect the change in the unit's available capacity. This total capacity is then used in computing the area margins each hour.

(1) Error Analysis

An important issue in using Monte Carlo simulation programs such as GE-MARS is the number of years of artificial history (or replications) that must be created to achieve an

acceptable level of statistical convergence in the expected value of the reliability index of interest. The degree of statistical convergence is measured by the standard deviation of the estimate of the reliability index that is calculated from the simulation data.

The standard deviation has the same physical units (*e.g.,* event days/year) as the index being estimated, and thus its magnitude is a function of the type of index being estimated. Because the standard deviation can assume a wide range of values, the degree of convergence is often measured by the standard error, which is the standard deviation of the estimated mean expressed as a per unit of the mean.

Convergence can also be expressed in terms of a confidence interval that defines the range in which you can state, with a given level of confidence that the actual value falls within the interval. For example, a range centered on the mean of two standard deviations in each direction (plus and minus) defines a confidence interval of 95%.

For the 2027-2028 IRM study, the Executive Committee approved revisions to Section 3.8 of ~~Revision 20 to~~ NYSRC Policy No. 5 (as reflected in Policy No. 5-20), which ~~permanently~~ amended the maximum allowable standard error that must be met at various identified points of each annual study~~prior to the Tan45,~~ from 0.025 to 0.05. At the end of the 2027-2028 IRM ~~FBC study~~final base case study, and prior to the Tan45, the case converged to a standard error of 0.0323 when run ~~to~~using 3,000 replications, which is the same number of replications used for the preliminary base case ~~as the rest of the 2027-2028 IRM study~~. This standard error value is less than the specified maximum limit of 0.05~~ specified in NYSRC Policy No. 5~~.

(2) Conduct of the GE-MARS analysis

The study was performed using Version 5.8.3837 of the GE-MARS software program. This version has been benchmark tested by the NYISO.

The current base case is the culmination of the individual changes made to last year's base case. Each change, however, is evaluated individually against last year's base case. The LOLE results of each of these pre-base case simulations are reviewed to confirm that the reliability impact of the change is reasonable and explainable.

General Electric was asked to review the input data for errors. They have developed a program called "Data Scrub" which processes the input files and flags data that appears to be out of the ordinary. For example, it can identify a unit with a forced outage rate significantly higher than all the others in that size and type category. If something is

found, the NYISO reviews the data and either confirms that it is correct as is or institutes a correction. The results of this data scrub are shown in Section A.4.

The top three summer peak loads of all Areas external to NYCA are aligned to be on the same days as that of NYCA, even though they may have historically occurred at different times. This is a conservative approach, using the assumption that peak conditions could be the result of a widespread heat wave. This would result in reducing the amount of assistance that NYCA could receive from the external Areas.

A.2 Unified Methodology

The 202~~6~~7-202~~7~~8 IRM study continues to use the Unified Methodology that simultaneously provides a basis for the NYCA installed reserve requirements and the preliminary locational installed capacity requirements (referred to as related Minimum Locational Capacity Requirements or "MLCRs"). The IRM/MLCR characteristic consists of a curve function, "a knee of the curve" and straight-line segments at the asymptotes. The curve function is represented by a quadratic (second order) curve which is the basis for the Tan 45 inflection point calculation. Inclusion of IRM/MLCR point pairs remote to the "knee of the curve" may impact the calculation of the quadratic curve function used for the Tan 45 calculation.

The procedure for determining the best fit curve function used for the calculation of the Tan 45 inflection point to define the base case requirement is based on the following methodology:

1) Start with all points on IRM/MLCR characteristic.
2) Develop regression curve equations for all different point to point segments consisting of at least four consecutive points.
3) Rank all the regression curve equations based on the following:
   - Sort regression equations with highest R2.
   - Remove any equations which show a negative coefficient in the first term. This is the constant labeled 'a' in the quadratic equation: ax^2+bx+c
   - Ensure the calculated IRM is within the selected point pair range (*e.g.*, if the curve fit was developed between 14% and 18% and the calculated IRM is 13.9%, the calculation is invalid).
   - In addition, there must be at least one statewide reserve margin point to the left and right of the calculated Tan 45 point.
   - Determine that the calculated IRM and corresponding MLCR do not violate the 0.1 Event-Days/year LOLE criteria.

– Check results to determine that they are consistent with visual inspection methodology used in past years' studies.

This approach identifies the quadratic curve functions with highest R^2 correlations as the basis for the Tan 45 calculation. The final IRM is obtained by averaging the Tan 45 IRM points of the New York City and Long Island curves. The Tan 45 points are determined by solving for the first derivatives of each of the "best fit" quadratic functions as a slope of -1. Lastly, the resulting MLCR values are identified.

A.3 Base Case Modeling Assumptions

A.3.1 Load Model

Table A.3 Load Model

Parameter	2025~~6~~-2026~~7~~ Study Assumption	2026~~7~~-2027~~8~~ Study Assumption	Explanation
Peak Load	2025 Fall Load Forecast NYCA: 31,648.2 MW NYC: 11,088.8 MW LI: 5,127.8 MW G-J: 15,304.8 MW	2026 Fall Load Forecast NYCA: 31,347.4 MW NYC: 11,114.9 MW LI: 5,148.9 MW G-J: 15,313.5 MW	Forecast based on examination of 2025~~6~~ weather normalized peaks, 2026~~7~~ economic and expected weather projections, and Transmission Owner projections.
Peak Load Winter	2025 Fall Load Forecast NYCA: 24,522.6 MW NYC: 7,642.4 MW LI: 3,327.4 MW G-J: 10,775.4 MW	2026 Fall Load Forecast NYCA: 24,803.0 MW NYC: 7,766.4 MW LI: 3,364.9 MW G-J: 10,959.4 MW	See above

Table A.3 Continued on Top of Next Page

Parameter	2025~~6~~-2026~~7~~ Study Assumption	2026~~7~~-2027~~8~~ Study Assumption	Explanation
Load Shape Model	Multiple Load Shapes Model using years: 2013 (Bins 1 & 2), 2018	Multiple Load Shapes Model using years: 2013 (Bins 1 & 2), 2018	No change for the 2025~~7~~-2026~~8~~ IRM Study

	(Bins 3 & 4), and 2017 (Bin 5-7)	(Bins 3 & 4), and 2017 (Bin 5-7)	
Load Forecast Uncertainty (LFU) Models	Statewide and zonal models updated to reflect current data	Statewide and zonal models reviewed based on current data	Small changes for summer and winter

(1) Peak Load Forecast Methodology

The procedure for preparing the IRM forecast is very similar to that described in the NYISO Load Forecasting Manual for the ICAP market forecast. The NYISO and transmission owners developed regression models to evaluate the relationship between regional weather and transmission district summer weekday peak loads, using data from the summer of 2026 and other recent summers as needed. The resulting estimates of weather response (*i.e.*, the MW increase in load per degree of increase in the weather variable) by transmission district were used to develop 2026 transmission district weather adjustments, which normalize the peaks to typical summer peak weather conditions. For purposes of the IRM study and ICAP market forecasts, the NYISO evaluates the system peak load that occurs during non-holiday weekdays in July and August. In 2026, the system peak load during this period (and for the overall summer) was on July 2nd, the hour beginning 18:00. Notably, while the 2026 summer peak did not occur on a holiday, reduced load levels were observed due to the peak occurring prior to a holiday weekend. These impacts were quantified and included as calendar adjustment additions to load in transmission districts where impacts were significant. The aggregate system peak load of 30,804.0 MW is shown by transmission district in Table A.4 (col. 2). Estimated demand response,[2] municipal self-generation, and station power deduction impacts were added to the system peak (Table A.3, cols. 3 & 4), producing an estimated 2026 reconstituted peak load of 31,706.2 MW (Table A.4, col. 5). Weather and calendar adjustment MW values were added (Table A.4, cols. 6 & 7), resulting in a 2026 weather normalized coincident peak load of 31,005.1 MW (Table A.4, col. 8). Load and weather data from other summer 2026 high load days were considered in the determination of final 2026 transmission district weather adjustments for the 2027-2028 IRM study forecast.

Several transmission owners developed updated estimates of the regional load growth factor (RLGF) for their respective territories. The RLGF represents the ratio of forecasted 2027 summer peak load to the 2026 weather normalized peak, based on the anticipated load growth or decline in the territory (excluding large load projects). Summer peak load growth rates from the NYISO's 2026 Load & Capacity Data report (Gold Book) forecast and other NYISO analyses were used to determine RLGFs for transmission owners that did not provide their own values. The final RLGFs

[2] Demand response impacts include estimated reductions from resources participating in the NYISO SCR and EDRP programs, and from Demand Side Resources participating in the NYISO DER market. Estimated Con Edison voltage reduction impacts on July 2nd were also included.

(Table A.5, col. 4) were reviewed by the NYISO and discussed with the transmission owners as needed. The 2027 summer peak load forecast before adjustments (Table A.5, col. 5) is the product of the 2026 weather normalized peaks (excluding large loads) and the RLGFs. Summer 2027 large load projections (Table A.5, col. 8) and the impacts of retail load modifiers electing to transition to ICAP Suppliers (col. 9) are then added. The resulting sum (Table A.5, col. 8) represents the 2027-2028 IRM study coincident peak forecast of 31,204.4 MW before Behind-the-Meter Net Generation (BTM:NG) adjustments. This forecast is a 2.1% decrease relative to the 2027 peak load forecast from the 2026 Gold Book. For purposes of modeling in the IRM study, the forecast of BTM:NG resource load is added (Table A.5, col. 9), producing a total forecast of 31,347.4 MW inclusive of BTM:NG resource load (Table A.5, col. 10).

The Locality forecasts are reported in Table A.6. These forecasts are the product of the weather normalized coincident peak load in the Locality, the non-coincident to coincident peak (NCP to CP) ratio in the Locality, and the RLGF(s) of the transmission district(s) in the Locality, plus any applicable large load growth in the Locality. The Locality forecasts of 11,114.9 MW (Load Zone J), 5,148.9 MW (Load Zone K), and 15,313.5 MW (G-J Locality), inclusive of BTM:NG loads, are shown in column 11 of Table A.6.

Table A.7 provides the final zonal annual energy and summer and winter peak forecasts for the 2027-2028 IRM study. The zonal energy forecasts shown in Table A.7 include the projected impacts of BTM:NG resource load, large load projects, and resources transitioning to ICAP suppliers.

Zonal summer coincident peak forecasts were generally derived using sub-zonal load shares (transmission district to Load Zone), based upon peak and near-peak load hours from recent summers. Zonal non-coincident peak forecasts were calculated by multiplying the coincident peak forecast by zonal NCP to CP ratios. Supporting documentation is included in the relevant NYISO Load Forecasting Task Force presentations from fall 2026.

With implementation of the Enhanced Load Modeling (ELM) procedure beginning with the 2026-2027 IRM study, the load shapes included in the GE Multi-Area Reliability Simulation software program (MARS) model are calibrated to zonal annual energy and winter peaks, in addition to summer peaks. Zonal annual energy and winter peak forecasts from the 2026 Gold Book were updated solely to account for updated large load and BTM:NG resource load projections.

The peak load forecasts, along with the regression models, weather adjustments, RLGFs, large load projections, zonal load shares, and NCP to CP ratios used to derive such forecasts were discussed and approved by the NYISO Load Forecasting Task Force (LFTF) and the NYSRC Installed Capacity Subcommittee (ICS).

Table A.4 **2026 NYCA Weather Normalized Coincident Peak Load**

2026 Weather Normalized Coincident Peak Load							
(1)	(2)	(3)	(4)	(5) =(2)+(3)+(4)	(6)	(7)	(8) =(5)+(6)+(7)
TD	**2026 Actual MW, 7/2/2026 HB 18**	**Demand Response Estimate MW**	**Estimated Muni Self-Gen MW & Station Power Ded.**	**2026 Estimated Reconstituted MW**	**Weather Adjustment MW**	**Calendar Adjustment MW**	**2026 WN Coincident Peak Load MW**
Con Edison	11,854.0	409.0	0.0	12,263.0	-226.2	346.0	12,382.8
Cen Hudson	1,201.0	9.9	0.0	1,210.9	-140.8	0.0	1,070.1
LIPA	5,190.8	21.0	7.0	5,218.8	-152.0	0.0	5,066.8
Nat. Grid	6,424.8	203.3	30.0	6,658.1	-413.5	220.0	6,464.6
NYPA	329.6	128.0	0.0	457.6	-0.2	0.0	457.4
NYSEG	3,111.0	69.0	0.0	3,180.0	-284.0	114.0	3,010.0
O&R	1,177.8	5.0	0.0	1,182.8	-94.4	27.0	1,115.4
RG&E	1,515.0	20.0	0.0	1,535.0	-97.0	0.0	1,438.0
NYCA	**30,804.0**	**865.2**	**37.0**	**31,706.2**	**-1,408.1**	**707.0**	**31,005.1**

Table A.5 Summer 2027 NYCA Coincident Peak Load Forecast

2027 IRM Summer Coincident Peak Forecast									
(1)	(2)	(3)	(4)	(5) = (3)*(4)	(6)	(7)	(8) = (5)+(6)+(7)	(9)	(10) = (8)+(9)
Transmission District	2026 Weather Normalized Coincident Peak MW	2026 WN Peak MW Excluding Large Loads	Regional Load Growth Factor	2027 Forecast, Before Adjustments MW	Large Loads MW	Impacts of Retail Load Modifiers Electing to Transition to ICAP Suppliers	2027 IRM Forecast Before BTM:NG Adjustments MW	BTM:NG Forecast MW	TO Forecast, With Large Loads and BTM:NG Adjustments MW
Con Edison	12,382.8	12,382.8	1.0063	12,460.9	0.0	0.0	12,460.9	16.4	12,477.3
Cen Hudson	1,070.1	1,070.1	1.0009	1,071.1	0.0	0.0	1,071.1	0.0	1,071.1
LIPA	5,066.8	5,066.8	0.9907	5,019.7	1.0	0.0	5,020.7	39.9	5,060.6
Nat Grid	6,464.6	6,324.6	1.0083	6,376.8	231.0	47.0	6,654.8	1.3	6,656.1
NYPA	457.4	319.4	1.0000	319.4	138.0	0.0	457.4	0.0	457.4
NYSEG	3,010.0	3,010.0	0.9890	2,976.9	0.0	0.0	2,976.9	33.9	3,010.8
O&R	1,115.4	1,074.4	1.0140	1,089.4	51.0	0.0	1,140.4	0.0	1,140.4
RG&E	1,438.0	1,438.0	0.9890	1,422.2	0.0	0.0	1,422.2	51.5	1,473.7
NYCA	31,005.1	30,686.1	1.0016	30,736.4	421.0	47.0	31,204.4	143.0	31,347.4
			2027 Forecast from 2026 Gold Book				31,880.0		
			Change from 2026 Gold Book				-675.6		
			Percent Change				-2.1%		

Table A.6 Summer 2027 Locality Peak Forecasts

2027 IRM Summer Locality Peak Forecasts									
(1)	(2)	(3)	(4)	(5) = (2)*(3)+4	(6)	(7) = (5)-(6)	(8) = (7) / (6)	(9)	(10) = (5)+(9)
Locality	2026 Weather Normalized Locality Peak MW	Regional Load Growth Factor	Large Load Growth MW	2027 IRM Locality Peak Forecast Before BTM:NG Adjustments MW	2027 Forecast from 2026 Gold Book MW	Change from Gold Book Forecast MW	Percent Change from Gold Book Forecast	BTM:NG Forecast MW	Locality Peak Forecast, Including BTM:NG Adjustments MW
Zones G-to-J	15,195.0	1.0061	10.0	15,297.1	15,443.0	-145.9	-0.9%	16.4	15,313.5
Zone J - NYC	11,029.0	1.0063	0.0	11,098.5	11,100.0	-1.5	0.0%	16.4	11,114.9
Zone K - LIPA	5,156.0	0.9907	1.0	5,109.0	5,136.0	-27.0	-0.5%	39.9	5,148.9

Table A.7 Final 2027-2028 Zonal Load Forecasts

2027-28 IRM Zonal Forecasts Including BTM:NG Adjustments for Final Base Case

Annual Energy - GWh

Year	A	B	C	D	E	F	G	H	I	J	K	NYCA
2027	15,481.3	10,011.1	14,726.9	5,240.0	7,010.0	11,370.0	9,810.0	2,690.0	5,830.0	50,813.6	20,409.5	153,392.4

Summer Coincident Peak Demand - MW

Year	A	B	C	D	E	F	G	H	I	J	K	NYCA
2027	2,630.4	1,842.7	2,563.2	626.8	1,240.3	2,326.0	2,228.8	604.3	1,369.5	10,854.8	5,060.6	31,347.4

Summer Non-Coincident Peak Demand - MW

Year	A	B	C	D	E	F	G	H	I	J	K
2027	2,743.5	1,921.5	2,664.4	657.5	1,298.6	2,398.1	2,275.9	618.8	1,402.4	11,114.9	5,148.9

Summer G-to-J Locality Peak Demand - MW

Year	A	B	C	D	E	F	G	H	I	J	K	G-to-J
2027							2,267.2	614.5	1,392.7	11,039.1		15,313.5

Winter Coincident Peak Demand - MW

Year	A	B	C	D	E	F	G	H	I	J	K	NYCA
2027-28	2,255.3	1,632.5	2,562.9	813.0	1,341.0	1,922.0	1,732.0	511.0	965.0	7,716.4	3,351.9	24,803.0

Winter Non-Coincident Peak Demand - MW

Year	A	B	C	D	E	F	G	H	I	J	K
2027-28	2,283.3	1,643.5	2,572.9	835.0	1,366.0	1,935.0	1,737.0	522.0	971.0	7,766.4	3,364.9

Winter G-to-J Locality Peak Demand - MW

Year	A	B	C	D	E	F	G	H	I	J	K	G-to-J
2027-28							1,727.0	509.0	961.0	7,762.4		10,959.4

(2) Zonal Load Forecast Uncertainty

Each year, the NYISO and the applicable transmission owners review load and weather data from the most recent summer and winter to determine whether updated load forecast uncertainty (LFU) models are needed Based on an analysis of the summer 2025 and winter 2025-2026 weather data, the NYISO determined that the summer and winter LFU models should be updated.

(3) Review of Load-Weather Relationship

Summer regression models were developed for all LFU modeling regions (Load Zones A-E, Load Zones F&G, Load Zones H&I, Load Zone J, and Load Zone K) to establish the recent load-weather relationship. The NYISO developed models for the Load Zones A-E and Load Zones F&G regions. Models for the Load Zones H&I and Load Zone J areas were developed

in conjunction with Consolidated Edison. The Load Zone K model was developed by Long Island Power Authority (LIPA) and reviewed by the NYISO.

The NYISO regional summer models established the load-weather relationship through polynomial regressions (generally 3rd order, or cubic). Pooled models using 2022-2025 summer data were developed. Multiple model structure combinations were investigated for each region. The optimal pooled model was selected for each LFU area based on statistical model accuracy and the resulting weather sensitivity. The weather distribution used to define the LFU bin reference temperatures was calculated using 20 years of system peak-producing weather days. This distribution was applied to the load-weather relationship established by the selected regression models to calculate the LFU multipliers for each area. Load Zone K LFU multipliers were calculated using LIPA's piecewise linear model.

The NYISO developed an updated system-level winter LFU model for the 2027-2028 IRM study, reflecting the load-weather relationship observed during the 2025-2026 winter.[3] The NYCA winter model utilized a 2nd order polynomial regression fit through winter load and weather data from the 2022-2023 through 2025-2026 winters. The winter LFU model used the winter weather variable developed as part of the LFU Phase 3 analysis, based on temperature and wind speed.

The resulting summer multipliers showed increases in the upper-bin values across most regions, suggesting an increase in winter load sensitivity to weather. Conversely, the Load Zone K summer and NYCA winter upper-bin values showed slight decreases compared to the prior multipliers. All model results were presented to and reviewed by the LFTF and ICS.

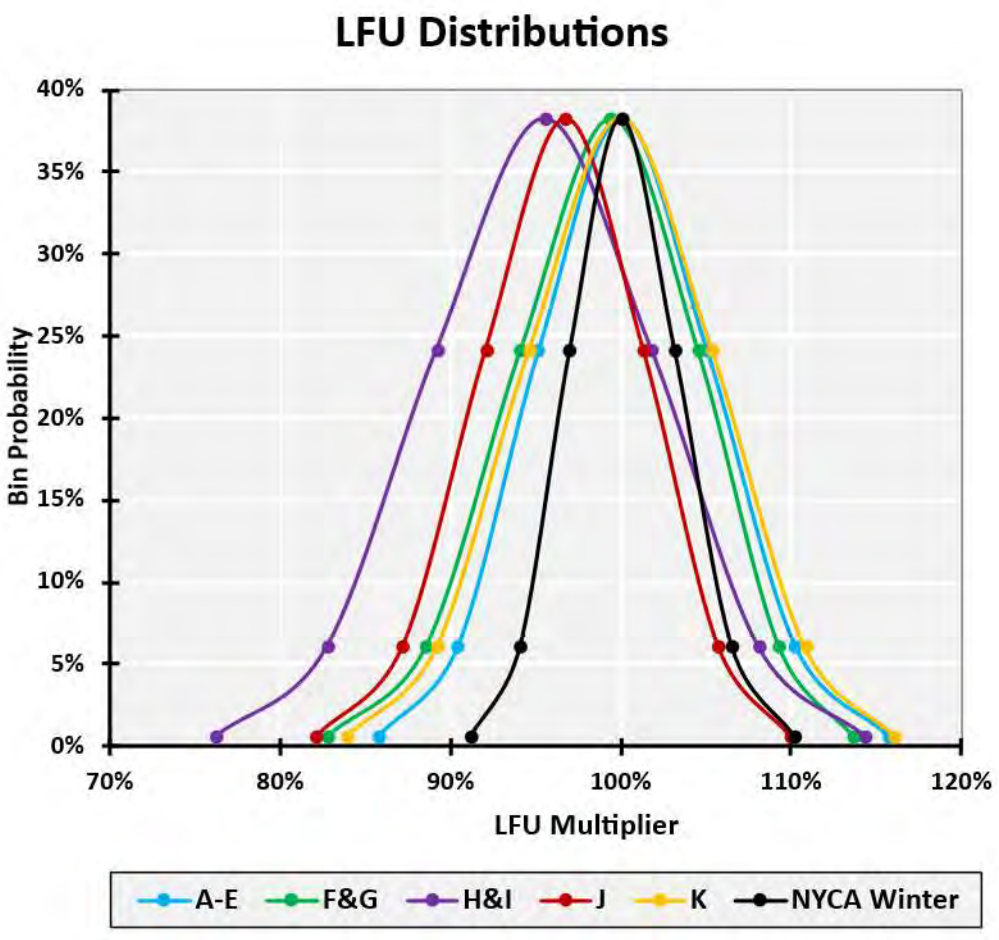
The 2027-2028 IRM study LFU multipliers are presented in Table A.7. The rows list the seven bin levels and their probability of occurrence, along with the associated per-unit load multipliers by LFU area. These results are presented graphically in Figure A.2.

[3] 2027 LFU Model Updates: https://www.nysrc.org/wp-content/uploads/2026/07/LFU-Models-for-the-2027-2028-IRM-Study-07082026-ICS.pdf

Table A.8 2027-2028 IRM Study Summer and Winter Load Forecast Uncertainty Multipliers

			2027 LFU Multipliers					
			Summer					Winter
Bin	Bin Z	Bin Probability	A-E	F&G	H&I	J	K	NYCA
Bin 1	2.74	0.62%	115.67%	113.59%	114.35%	109.93%	116.11%	110.19%
Bin 2	1.79	6.06%	110.22%	109.24%	108.10%	105.70%	110.83%	106.52%
Bin 3	0.89	24.17%	105.01%	104.48%	101.82%	101.30%	105.37%	103.15%
Bin 4	0.00	38.29%	100.00%	99.41%	95.52%	96.75%	100.00%	100.00%
Bin 5	-0.89	24.17%	95.14%	94.09%	89.17%	92.04%	94.63%	96.99%
Bin 6	-1.79	6.06%	90.38%	88.53%	82.74%	87.16%	89.20%	94.06%
Bin 7	-2.74	0.62%	85.73%	82.77%	76.23%	82.09%	83.93%	91.17%

Figure A.2 Summer LFU Distributions



(4) Additional Discussion LFU Models and Forecast Uncertainty

The LFU models measure the load response to weather at extreme peak-producing temperatures and describe the variability in peak-day load caused by the uncertainty in peak-day weather. Other sources of uncertainty such as economic growth are not captured in LFU modeling. However, economic uncertainty is relatively small compared to temperature uncertainty one year ahead. As a result, the LFTF, the NYISO, and the ICS have agreed that it is sufficient to confine the LFU one year ahead to weather alone.

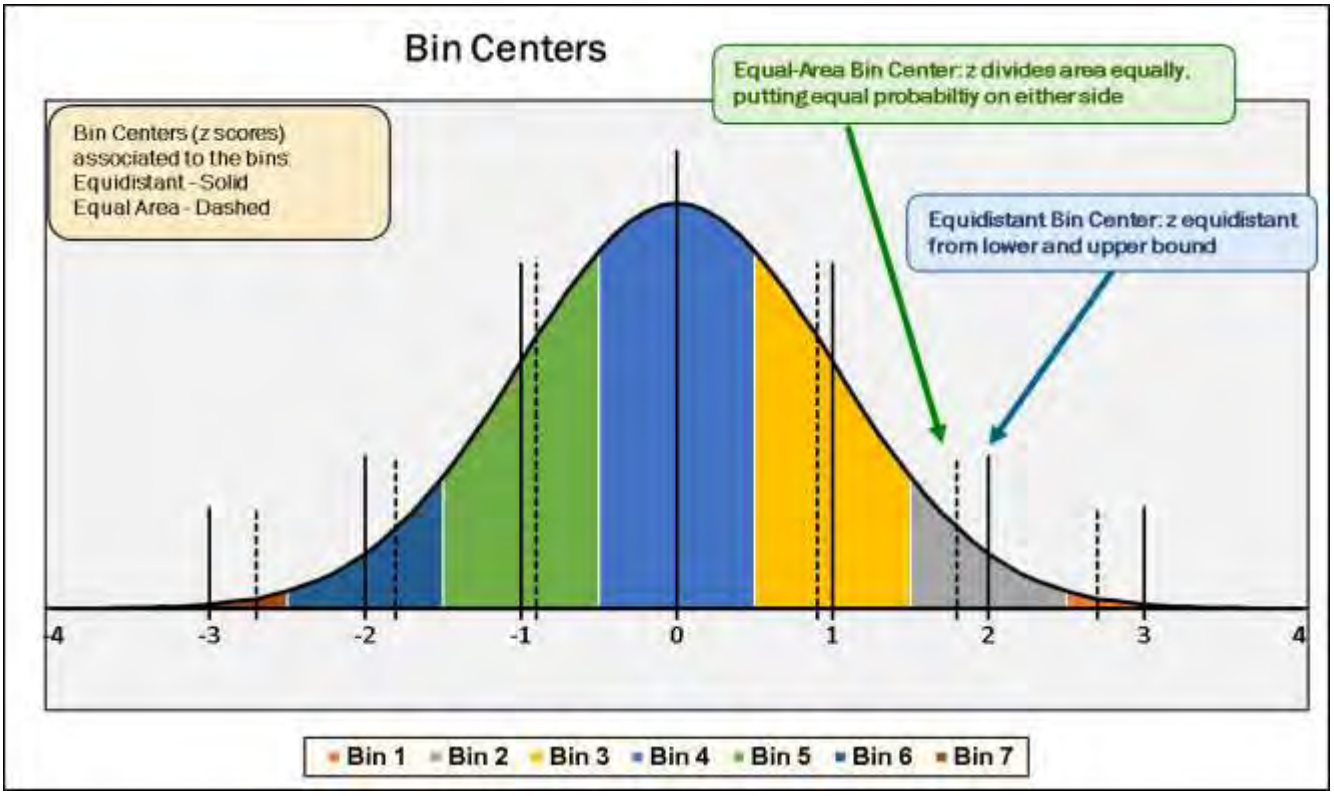
LFU multipliers are largely driven by the slope of load vs. temperature, or the weather response of load. If the weather response of load increases, the slope of load vs. temperature will increase, and the upper-bin LFU multipliers (Bins 1-3) will increase. Conversely, if the weather response of load decreases, upper-bin LFU multipliers will decrease.

The Consolidated Edison and Orange & Rockland summer peak load forecasts are based on peak weather conditions with a 1-in-3 probability of occurrence (67th percentile). All other transmission owners design their forecasts at a 1-in-2 probability of occurrence (50th percentile). The resulting design conditions are 50^{th} percentile for the Load Zones A-E and Load Zone K LFU areas, above 50^{th} percentile for Load Zones F&G and Load Zones H&I, and 67^{th} percentile for Load Zone J. The NYCA aggregate design condition reflected in the summer LFU multipliers is the 57^{th} percentile.

(5) LFU Bin Z-Values

Beginning with the LFU models used in the 2022-2023 IRM study, LFU bin centers are based on Z-values which divide the area of each bin equally. In prior LFU modeling, bin centers were defined using the x-axis, equidistant from the upper and lower bounds of each bin based on the Z-value. The equal-area Z-values reflect an improved representation of the LFU multiplier's probability of occurrence. The comparison between equidistant and equal area based bin structure is shown in Figure A.3.

Figure A.3 Bin Centers (Equidistant v. Equal Area)



(6) Review of Historical Zonal Load Shapes for Load Bins

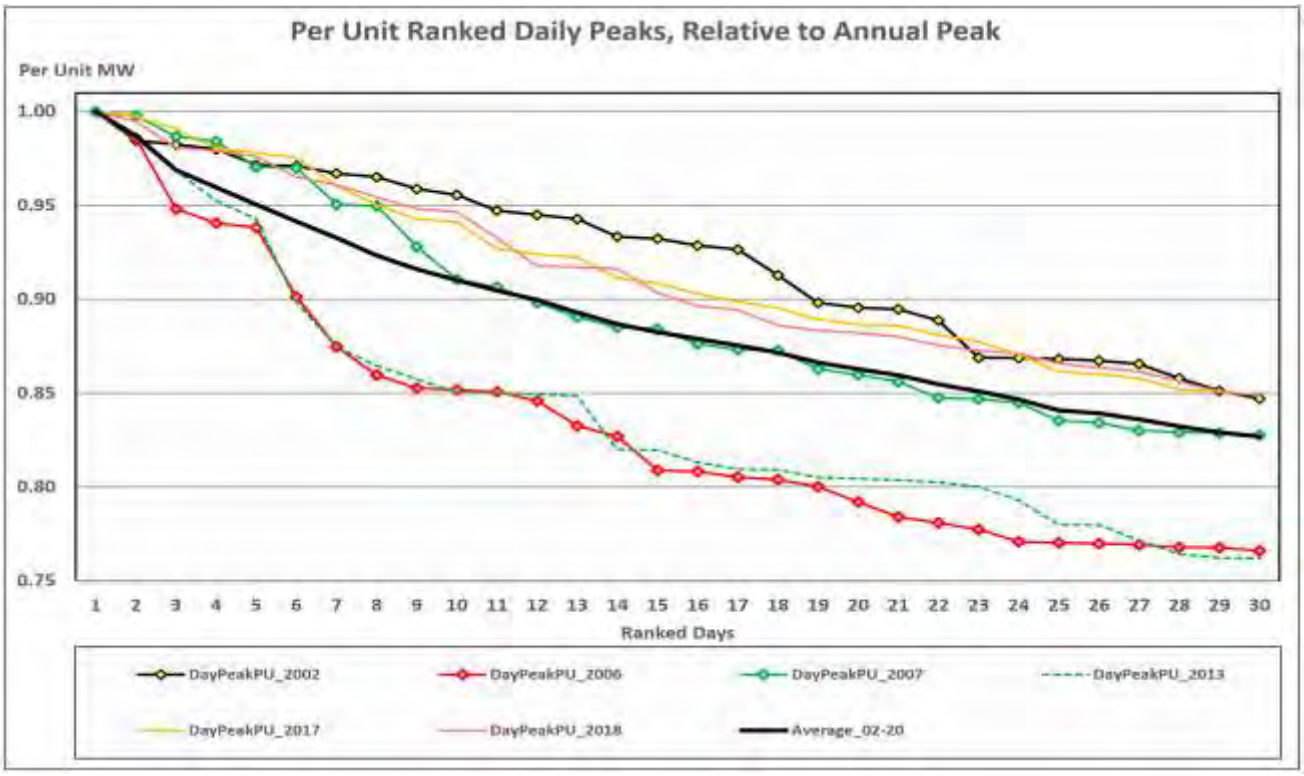
Beginning with the 2014-2015 IRM study, multiple years of historical load shapes were assigned to the load bins used in the study. Three historical years were selected from those available, as discussed in the NYISO's 2013 report, 'Modeling Multiple Load Shapes in Resource Adequacy Studies'. The year 2007 was assigned to the lowest five bins (from cumulative probability 0% to 93.32%). The year 2002 was assigned to the second highest bin, with a probability of 6.06%. The year 2006 was assigned to the highest bin (bin 1), with a probability of 0.62%.

Following the completion of the LFU Phase 2 analysis in 2022, the NYISO recommended and the ICS approved the use of the 2013, 2017, and 2018 load shapes beginning with the 2023-2024 IRM study.

A key finding of LFU Phase 2 was that extreme summers with hot weather and high peak loads typically have steep load duration curves, meaning that daily peak loads drop quickly relative to the summer peak load on a per-unit basis. Based on this finding, the 2013 load shape is assigned to bins 1 and 2 (upper 6.68% probability of occurrence). The 2013 load shape is reflective of a hot summer peak day and a very high peak load level.

The 2018 load shape, reflective of fairly typical peak day weather, is assigned to bins 3 and 4 (62.46% probability of occurrence, including the average load level). Finally, the 2017 load shape, reflective of a mild summer, is assigned to bins 5 through 7 (lower 30.85% probability of occurrence). Figure A.4 shows a comparison of the daily load duration curve for the 2002, 2006, 2007, 2013, 2017, and 2018 summers.

Figure A.4 Per Unit Summer Load Shapes



An additional LFU Phase 2 recommendation was to properly scale the historical load shapes to reflect the increasing capacity of behind-the-meter (BTM) solar in future years. BTM solar is modeled as a supply resource in the 202~~6~~7-202~~7~~8 IRM study base case, and input load shapes have been scaled to reflect the impacts associated with projected 202~~6~~7 BTM solar capacity levels.

A.3.2 Capacity Model

The capacity model includes all NYCA generating units, including new and planned units, as well as units that are physically outside New York State that have met specific criteria to offer capacity in the New York Control Area. The 202~~5~~6 Load and Capacity Data Report (commonly referred to as the "Gold Book") is the primary data source for these resources.

Table A.9 provides a summary of the capacity resource assumptions in the 2027-2028 IRM study.

Table A.9 Capacity Resources

Parameter	20256-20267 Study Assumption	20267-20278 Study Assumption	Explanation
Generating Unit Capacities	20246 Gold Book values. Use min (DMNC vs. CRIS) capacity value	20256 Gold Book values. Use min (DMNC vs. CRIS) capacity value	20256 Gold Book publication
Planned Generator Units	0 MW of new units or summer re-rating for thermal resources	75.5 MW of new units or summer re-rating for thermal resources	NYISO recommendation based on documented process[4]
Proposed and Existing Wind Resources	277.6 MW of land-based wind capacity additions. 2,828.3 MW of qualifying wind	339.1 MW of land-based wind capacity additions. 3,035.7 MW of qualifying wind.	ICAP is based on clean energy standard (CES) agreements, interconnection queue, and ICS input.
Land Based Wind Shape	Actual hourly plant output over the period 201920-20234. New units will use zonal hourly averages or nearby units.	Actual hourly plant output over the period 202001-20245. New units will use zonal hourly averages or nearby units.	Program randomly selects a wind shape of hourly production over the years 2020-2024 for each model iteration.
Offshore Wind Shape	Normalized offshore wind shapes as published by NYISO over the period 201720-20214	Normalized offshore wind shapes as published by NYISO over the period 202001-20245	Program randomly selects a wind shape of hourly production from the five-year period for each model iteration

[4] The process includes the latest Gold Book publication, NYISO interconnection queue, and generation notifications.

Parameter	20256-20267 Study Assumption	20267-20278 Study Assumption	Explanation
Proposed and Existing Solar Resources	0 MW of utility-scale solar capacity additions totaling 573.4 MW of qualifying solar capacity.	800 MW of utility-scale solar capacity additions totaling 1,371.4 MW of qualifying solar capacity	ICAP based on CES agreements, interconnection queue and ICS input
Solar Shape	Actual hourly plant output over the period 2020-2024. New units will use zonal hourly averages or nearby units.	Actual hourly plant output over the period 2021-2025. New units will use zonal hourly averages or nearby units	Program randomly selects a solar shape of hourly production from the most recent five-year period for each model iteration
BTM- NG Program	No new BTM:NG resources, total Net ICAP of 265.2 MW (361.8 MW Gen, 96.6 MW Load)	No new BTM:NG resources, total Net ICAP of 210.8 MW (353.8 MW Gen, 143.0 MW Load)	Both the generation of the participating resources and the full host loads are modeled
Retirements, Mothballed units, and ICAP ineligible units	114 MW generator deactivations and removals	518.1 MW generator deactivations and removals	20256 Gold Book publication and generator notifications
Forced and Partial Outage Rates	Five-year (201920-20243) GADS data for each unit represented. Those units with less than five years – use representative data.	Five-year (20201-20245) GADS data for each unit represented. Those units with less than five years – use representative data.	Transition Rates representing the Equivalent Forced Outage Rates (EFORd) during demand periods over the most recent five-year period
Planned Outages	Based on schedules received by the NYISO. Not modeled for the 20256-2026-2027 IRM study.	Based on schedules received by the NYISO. Not modeled for the 20267-20278 IRM study.	Modeling of generator maintenance in future IRM studies under review

Parameter	2025~~5~~6-2026~~6~~7 Study Assumption	2026~~6~~7-2027~~7~~8 Study Assumption	Explanation
Summer Maintenance	Nominal 50 MW – divided equally as 2 negative 25 MW units in Load Zones J and K, applied to the months of June-August	Nominal 65MW applied to the months of June-August and December-February. Divided as follows: Load Zone A: 10 MW Load Zone G: 15 MW Load Zone J: 15 MW Load Zone K: 25 MW	Review of most recent data
Gas Turbine Ambient Derate	De-rate based on provided temperature correction curves.	De-rate based on provided temperature correction curves.	Operational history indicates de-rates in line with manufacturer's curves
Small Hydro Resources	Actual hourly plant output over the period 20~~19~~20-202~~3~~4.	Actual hourly plant output over the period 2020~~0~~1-2025~~4~~.	Program randomly selects a Hydro shape of hourly production over the years ~~2020-20~~24 for each model iteration.
Large Hydro	Probabilistic Model based on 5 years of GADS data 20~~19~~20-202~~3~~4.	Probabilistic Model based on 5 years of GADS data 2020~~0~~1-2024~~4~~5	Transition Rates representing the Equivalent Forced Outage Rates (EFORd) during demand periods over the most recent five-year period ~~(2020-2024)~~
Landfill Gas (LFG)	Actual hourly plant output over the period 20~~19~~20-2024	Actual hourly plant output over the period 2020~~0~~1-2024~~4~~5	Program randomly selects an LFG shape of hourly production from the most recent five-year period for each model iteration
Energy Limited Resources (ELRS)	Based upon elections made by August 1, 2024~~4~~5 ES and small EL3 output limitations lifted at HB14	Based upon elections made by August 1, 2025~~5~~6. ES and small EL3 output limitations lifted at HB14	Existing elections are made by August 1st and will be incorporated into the model.

Parameter	2025~~5~~6-2026~~6~~7 Study Assumption	2026~~6~~7-2027~~7~~8 Study Assumption	Explanation
Energy Storage Resources (ESRs)	18 MW of new battery storage scheduled. 35 MW of battery storage modeled	110 MW of new battery storage scheduled. 148 MW of total battery storage modeled.	ICAP based on NYSERDA/utility agreements, interconnection queue and ICS input.
Distributed Energy Resources (DERs)	480.5 MW of total DERs	340.5 MW of total DERs	Modeled MW based on MW value of DER Aggregations that have passed Distribution Utility review by April

(1) Generating Unit Capacities

The capacity rating for each thermal generating unit is based on its Dependable Maximum Net Capability (DMNC). The source of DMNC ratings are seasonal tests required by procedures in the NYISO Installed Capacity Manual. Additionally, each generating resource has an associated Capacity Resource Interconnection Service (CRIS) value. When the associated CRIS value is less than the DMNC rating, the CRIS value is modeled. Wind units are rated at the lower of their CRIS value or their nameplate value in the model. The 2025~~5~~6 Gold Book, issued by the NYISO, is the source of those generating units and their ratings included on the capacity model.

(2) Planned Generator Units

There are 0 MW thermal unit additions or unit re-ratings (summer ratings).

(3) Wind Modeling

Wind generators are modeled as hourly load modifiers using hourly production data over the period 2020~~0~~1-2024~~5~~. Each calendar production year represents an hourly wind shape for each wind facility from which the GE-MARS program will randomly select. New units will use the zonal hourly averages of current units within the same zone. As shown in Table A.~~9~~10 a total of 3,035.7 ~~2,828.3~~ MW of installed capacity is associated with wind.

Table A.10 Wind Generation

Resource	Zone	CRIS (MW)	Summer Capability (MW)	Lesser of Summer Capability vs. CRIS (MW)
Arkwright Summit Wind Farm [WT]	A	78.4	78.4	78.4
Ball Hill Wind [WT]	A	100.0	107.5	100.0
Bliss Wind Power [WT]	A	102.5	100.5	100.5
Erie Wind [WT]	A	15.0	15.0	15.0
Steel Wind [WT]	A	20.0	20.0	20.0
Alle Catt II Wind *	A	339.1	339.1	339.1
Baron Winds (Phase 1 and 2) [WT]	C	300.0	238.8	238.8
Canandaigua Wind Power [WT]	C	125.0	125.0	125.0
Eight Point Wind Energy Center [WT]	C	101.2	101.2	101.2
High Sheldon Wind Farm [WT]	C	112.5	118.1	112.5
Howard Wind [WT]	C	57.4	55.4	55.4
Orangeville Wind Farm [WT]	C	94.4	93.9	93.9
Wethersfield Wind Power [WT]	C	126.0	126.0	126.0
Altona Wind Power [WT]	D	99.5	97.5	97.5
Chateaugay Wind Power [WT]	D	106.5	106.5	106.5
Clinton Wind Power [WT]	D	102.5	100.5	100.5
Ellenburg Wind Power [WT]	D	83.0	81.0	81.0
Jericho Rise Wind Farm [WT]	D	77.7	77.7	77.7
Marble River Wind [WT]	D	215.2	209.1	209.1
Bluestone Wind [WT]	E	124.2	111.8	111.8
Hardscrabble Wind [WT]	E	74.0	74.0	74.0
Maple Ridge Wind [WT01]	E	231.0	231.0	231.0
Maple Ridge Wind [WT02]	E	90.7	90.8	90.7
Munnsville Wind Power [WT]	E	34.5	34.5	34.5
Number 3 Wind Energy [WT]	E	105.8	103.9	103.9
Roaring Brook [WT]	E	79.7	79.7	79.7
South Fork Wind Farm (Offshore)	K	136.0	132.0	132.0
Total		**3,131.8**	**3,048.9**	**3,035.7**

*Unit does not have an approved Summer DMNC test as of publication, and so has been assumed to have Summer capability equal to its CRIS

(4) Solar Modeling

Solar generators are modeled as hourly load modifiers using hourly production data over the period 20~~19~~20-202~~3~~4. Each calendar production year represents an hourly solar shape for each solar facility which the GE-MARS program will randomly select from. As shown in Table A.1~~0~~1 top of next page, a total of ~~573.4~~11,351.4 MW of solar capacity was modeled.

Table A.11 Solar Generation

Resource	Zone	CRIS (MW)	Summer Capability (MW)	Lesser of Summer Capability vs. CRIS (MW)
Excelsior Energy Center*	B	280.0	280.0	280.0
Cider Solar*	B	500.0	500.0	500.0
Janis Solar [PV]	C	20.0	20.0	20.0
Morris Ridge Solar Energy Center	C	177.0	177.0	177.0
Puckett Solar [PV]	C	20.0	20.0	20.0
Albany County	F	20.0	20.0	20.0
Albany County II	F	20.0	20.0	20.0
Branscomb Solar [PV]	F	20.0	20.0	20.0
Darby Solar [PV]	F	20.0	20.0	20.0
East Point Solar	F	50.0	50.0	50.0
Grissom Solar [PV]	F	20.0	20.0	20.0
High River Solar	F	90.0	90.0	90.0
Pattersonville Solar [PV]	F	20.0	20.0	20.0
Regan Solar [PV]	F	20.0	20.0	20.0
ELP Stillwater Solar [PV]	F	20.0	20.0	20.0
Calverton Solar Energy Center [PV]	K	22.9	22.9	22.9
Long Island Solar Farm [PV]	K	31.5	31.5	31.5
Total		**1,351.4**	**1,351.4**	**1,351.4**

*Unit does not have an approved Summer DMNC test as of publication, and so has been assumed to have Summer capability equal to its CRIS

(5) Retirements/Deactivations/ ICAP Ineligible

There are ~~40~~ 5 units totaling ~~851.9~~504.0nMW that are being deactivated for the 202~~6~~7-202~~7~~8 IRM study.

(6) Unforced Capacity Deliverability Rights (UDRs)

The capacity model includes UDRs, which are capacity rights that allow the owner of an incremental controllable transmission project to provide locational capacity benefits. Non-locational capacity, when coupled with a UDR to deliver capacity to a Locality, can be used to satisfy locational capacity requirements. The owners of the UDRs elect whether they will utilize their capacity deliverability rights on a confidential basis by August 1st for the upcoming Capability Year (*i.e.*, August 1, 202~~5~~6 for the Capability Year beginning on May 1, 202~~6~~7). This decision determines how this transfer capability will be represented in the GE-MARS model. The IRM modeling accounts for both the availability

of the resource that is identified for each UDR line as well as the availability of the UDR facility itself. The following facilities are represented in the 2026-2027 IRM study as having UDR capacity rights: LIPA's 330 MW High Voltage Direct Current (HVDC) Cross Sound Cable, LIPA's 660 MW HVDC Neptune Cable, the 315 MW Linden Variable Frequency Transformer and, ~~new for the 2026-2027 IRM study,~~ the Champlain Hudson Power Express: 1,250 MW UDR from HQ to Load Zone J.

The owners of these facilities have the option, on an annual basis, of selecting the MW quantity of UDRs they plan on utilizing for capacity contracts over these facilities. Any remaining capability on the cable can be used to support emergency assistance, which may reduce locational and IRM capacity requirements. The 202~~6~~7-202~~7~~8 IRM study incorporates the confidential elections that these facility owners made for the 202~~6~~7-202~~7~~8 Capability Year. Hudson Transmission Partners 660 MW HVDC Cable has been granted UDR rights but has lost its right to import capacity and therefore is modeled as being fully available to support emergency assistance in Bins 3-7, Bin 1 is modeled with 90 MW and Bin 2 is modeled with 173 MW.

(7) Energy Limited Resources

The capacity model includes Energy Limited Resources (ELRs). The NYISO filed, and FERC approved tariff changes that enhance the ability of duration limited resources to participate in the NYISO markets. These rules allow output limited resources to participate in the markets consistent with those limitations and requires owners of those resources to inform the NYISO of their elected energy output duration limitations. Effective May 1, 2021, generation resources may participate in an ELR program administered by the NYISO. Under this program, participating generators are required to submit their elected limitations to the NYISO on a confidential basis by August 1st for the upcoming Capability Year (*i.e.*, August 1, 202~~5~~6 for the Capability Year beginning on May 1, 202~~6~~7).

(8) Distributed Energy Resources (DER)

On April 16, 2024, the NYISO implemented the DER participation model to facilitate participation of DER in the NYISO-administered markets. Aggregated resources with different fuel types and dispatchable demand side resources (DSR) have not been previously modeled in the IRM study as a supply-side resource. A DER may be one of the following categories of facilities electrically located in the NYCA: 1) a facility comprising two or more different technology types (e.g., wind, solar) located behind a single point of interconnection with a maximum Injection Limit of 20 MW, 2) a DSR, or 3) a Generator with a maximum Injection Limit of 20 MW. The NYISO developed a participation model

for DER to participate in the NYISO administered markets, including the ICAP market. Under this participation model, various resource types (including aggregations thereof) can participate in the NYISO-administered markets and will be required to follow the NYISO's dispatch instructions. With this participation model and expected enrollment of resources, two modeling principles have been established to support the modeling of different types of DER Aggregations in IRM studies:

Modeling Principle 1: Combines single resource type Aggregations that have energy duration limitations and DER Aggregations consisting of either DSR only or mixed generation resources into one unit by zone, technology type, and duration limitation.

Modeling Principle 2: Combines single resource type Aggregations without energy duration limitations by zone and technology type.

For the 202~~6~~7-202~~7~~8 IRM study DER totaled ~~480~~340.5 MW with ~~480.4~~340.0 MW located in Zones A through E with 0.~~1~~5 MW in Zones ~~G-I~~J.

(9) Behind-the-Meter (BTM) Solar

Starting with the 2026-2027 IRM study, BTM solar is being modeled as a supply-side resource instead of load modifier. It is modeled as a positive DSM resource which offsets the negative DSM modeled in developing the gross load. However, the calculation for the IRM will remain unchanged. The net load will continue to be used in the denominator while the BTM solar will not be counted in the total ICAP. The output of these facilities is based on production profiles derived from existing facilities operating within the NYCA over the same five-year period that is used for conventional units.

(10) Performance Data

The NYISO collects performance data from NYCA electric energy suppliers. This performance data is utilized to calculate various reliability metrics such as derating factor, overall generator availability, and capacity factor. Performance data is one of the more important IRM study inputs because it provides the basis for calculating the availability of electric energy resources in determining whether the available resources will satisfy the LOLE criterion of 0.1 event days per year.

One of the key reliability metrics is the derating factor. Derating factor is a percentage or availability metric representing a resource's expected unplanned unavailability or forced outage rate, used to translate Installed Capacity (ICAP) into Unforced Capacity (UCAP). Derating factors are derived differently depending on the resource type/facility. For instance, traditional thermal generators have a derating factor based on equivalent

forced outage rates on demand (EFORd) using Generating Availability Data System (GADS) data; while the derating factor for intermittent resources is based on historical production data and hourly LOLE hourly weights. In addition, other resource types such as demand response/SCRs, energy storage and DERs have their specific rules for determining their resource specific derating factors. Derating factors are calculated based on five year averages of historical resource performance data.

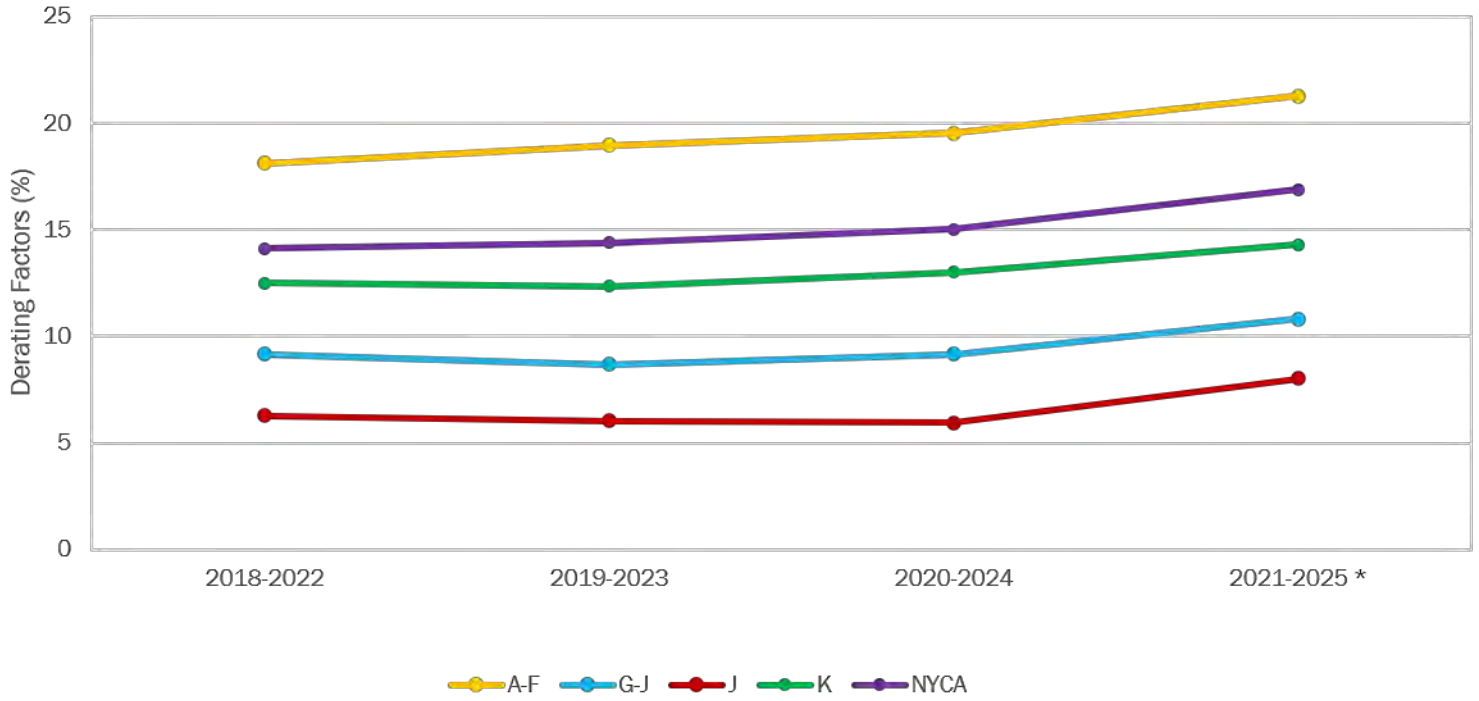
Figures A.5 through A.7 show historical trends in the weighted average derating factor and equivalent availability for NYCA electric supply resources, while Figures A.8 and A.9 show historical availability trends nationally for electric supply resources calculated by the North American Electric Reliability Corporation (NERC) performance data. Figure A.5 shows a rolling 5-year weighted average derating factor by zone for the NYCA.

Figures A.6 and A.7 show the availability trends for NYCA electric supply resources broken out by fuel type.

Figures A.8 and A.9 show the resource availabilities of the entire NERC fleet on an annual and 5-year historical basis.

The availability measures are derived from five years of historic performance data if it is available. For units with less than five years of historic performance data, the available years of performance data for the unit is used if it appears to be reasonable. For the remaining years, the unit NERC class-average data is used.

Figure A.5 Five-Year Weighted Annual Average of Zonal EFORds



The resources included in the calculation of these values include thermal, large hydro, wind, solar, landfill gas, and run-of-river resources with CRIS. These values are the average EFORd of NYCA resources except for external resources such as UDR backing generators, firm imports, firm exports, and SCRs.

Figure A.6 NYCA Annual Weighted Average Availability

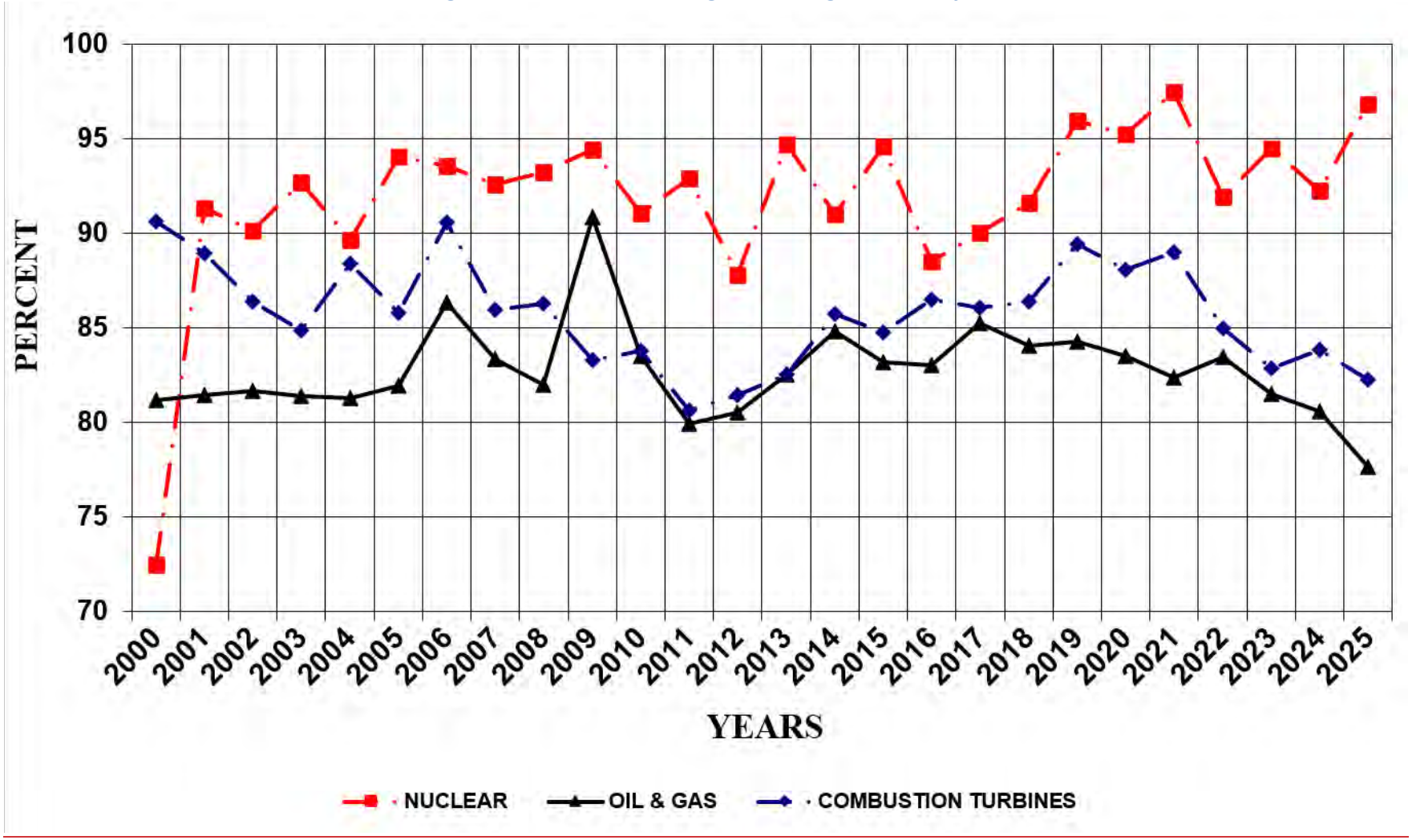


Figure A.7 NYCA Five-Year Weighted Average Availability

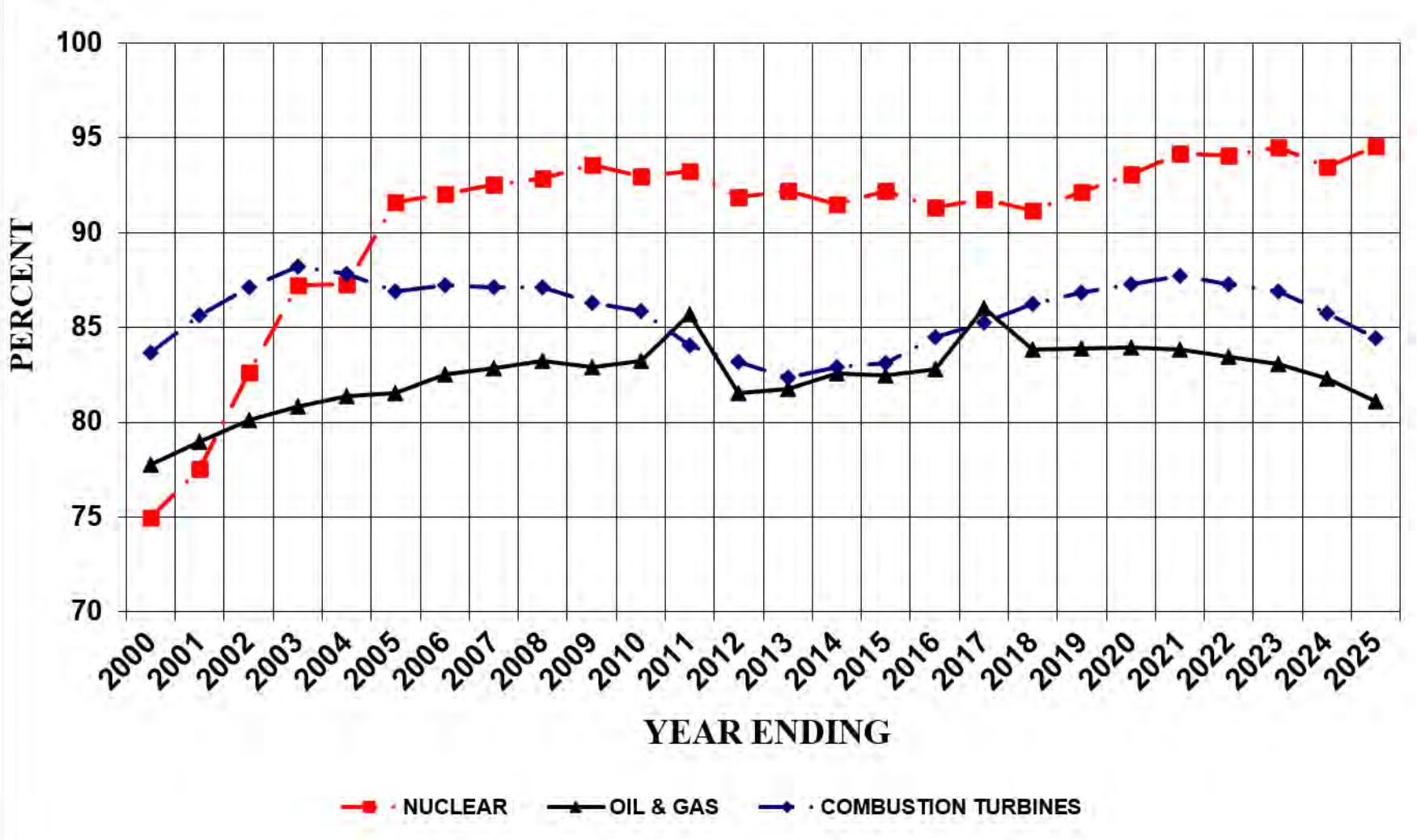
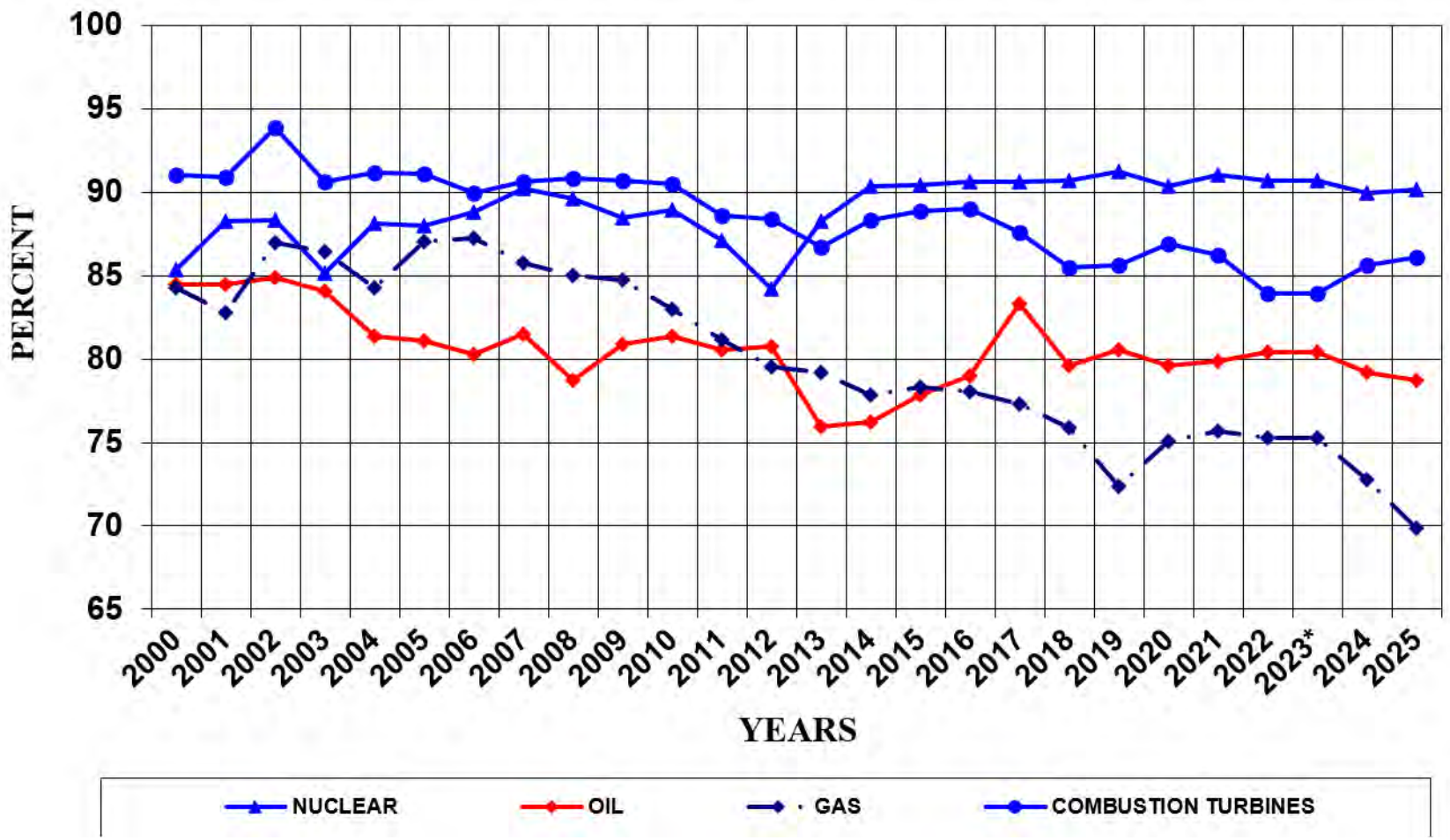
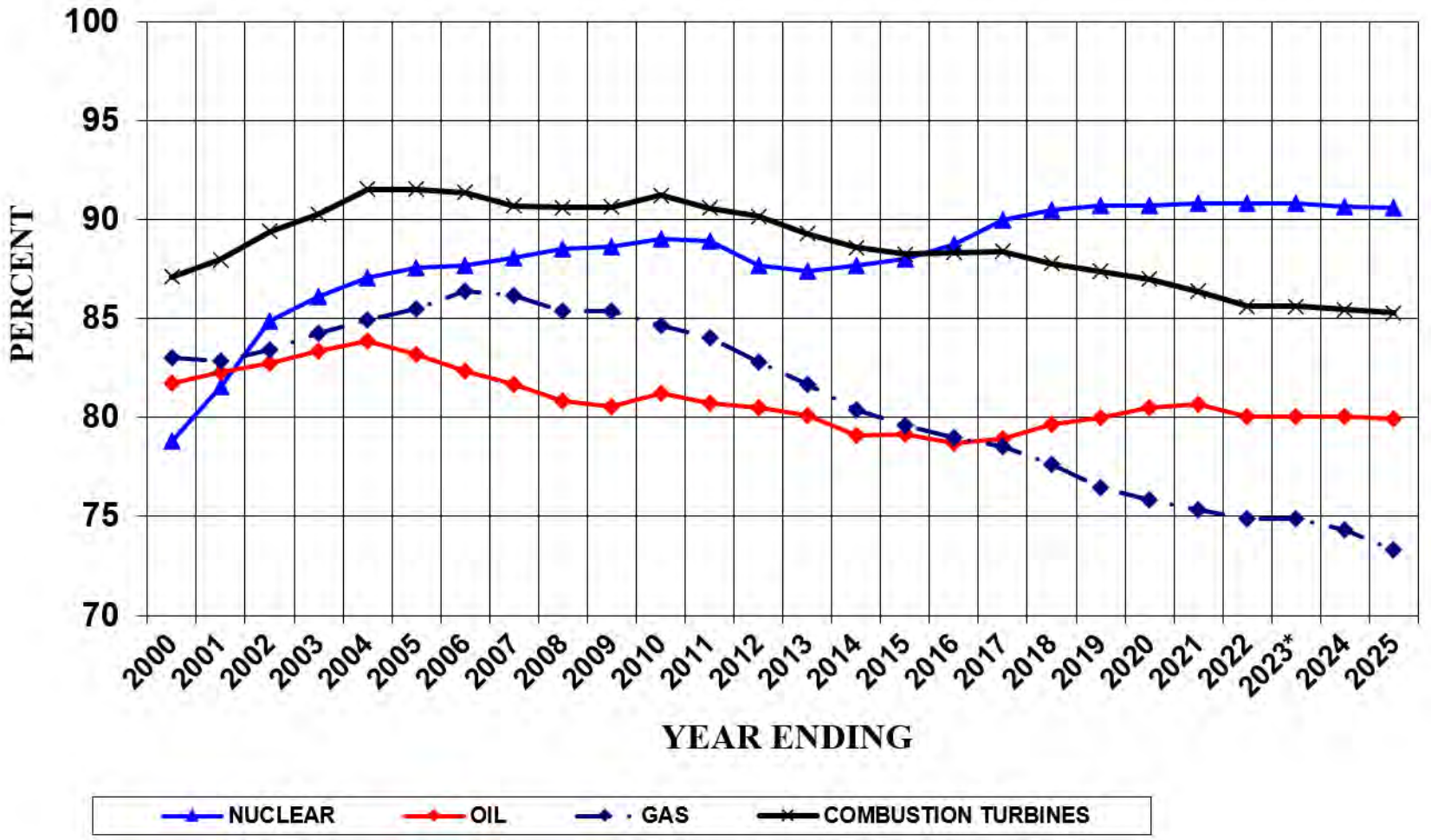


Figure A.8 NERC Weighted Annual Average Availability



*2023 NERC Class Averages were not posted by the time the FBC Assumptions were finalized. 2022 NERC Class Averages were used for 2023. Same applies to Figure A.9 2022 data was used for 2023.

Figure A.9 NERC Five-Year Weighted Average Availability



(11) Outages and Summer Maintenance

For the 2022-2023 IRM study, planned and scheduled maintenance was removed because it caused excess EOP usage. This had no impact on LOLE or IRM. Like the 2024-2025 IRM study, planned and scheduled maintenance was not modeled for the 2025-2026 IRM study and is being continued for the 2026-2027 as well as for the 2027-2028 IRM study. The nominal 50 MW of summer maintenance, however, ~~remained constant~~has been updated for the 2027-2028 study year. Summer maintenance is now determined based on a rolling five-year dataset and considering maintenance outages longer than seven days on days when the load is at or above 90% of coincidence peak load. This methodology resulted in a nominal 65 MW summer maintenance applied to the months of June-August and December-February. Divided as follows: 1) Load Zone A 10 MW; 2) Load Zone G: 15 MW; 3) Load Zone J: 15 MW; and 4) Load Zone K: 25 MW. ~~The amount is nominally divided equally between Load Zone J and Load Zone K.~~

(12) Gas Turbine Ambient De-rate

Operation of combustion turbine units at temperatures above DMNC test temperature results in reduction in output. These reductions in gas turbine and combined cycle capacity output are captured in the GE-MARS model using de-ratings based on ambient temperature correction curves. Based on the past reviews of historical data, no changes to the existing combined cycle temperature correction curves are proposed by the NYISO staff. These temperature corrections curves, provided by the Market Monitoring Unit of the NYISO, show unit output versus ambient temperature conditions over a range starting at 60 degrees F to over 100 degrees F. Because generating units are required to report their DMNC output at peak or "design" conditions (an average of temperatures obtained at the time of the transmission district previous four like capability period load peaks), the temperature correction for the combustion turbine units is derived for and applied to temperatures above transmission district peak loads.

(13) Large Hydro De-rates

Hydroelectric projects are modeled like thermal units, using a probability capacity model reflecting five years of performance data. Except in the case were an election such as ELR status would override the unit being modeled as a thermal unit. See Table A.8 above.

(14) Winter Fuel Availability Constraints

As reliability risks during the winter season become more prominent across the NYCA system, the availability of gas and oil during winter conditions will become a critical input in the IRM study. A six-tiered fuel constraint model grouped by different NYCA winter load conditions and associated constraints has been developed to capture this risk starting

with the 2026-2027 Capability Year IRM Study . The winter fuel availability constraints model is intended to reflect the available fuel, from both gas and oil generating capacity in Load Zones F through K, under different NYCA load levels. This model will be reviewed and updated as needed for each IRM study cycle. Table A.12 presents the six-tiered fuel constraint model updated for the 2027-2028 IRM study.

Table A.12 NYCA Winter Six-Tiered by Load Level Oil and Gas Fuel Availability Model

Tier	Percentage of NYCA Baseline Forecasted Winter Peak Load	Fuel Availability Data *			MARS Model Parameters				
		Available Gas (MW)	Available Oil (MW)	Total Available Fuel (MW) (Gas + Oil)	Modeled ICAP (MW)	Unavailable ICAP (MW) **			
						F	G	J	K
1	>106.25%	675	11,550	12,225	20,726	2,015	1,528	2,660	910
2	105% +/- 1.25%	1,350		12,900		1,321	1,528	2,660	910
3	102.5% +/- 1.25%	2,775		14,325		135	1,460	2,493	840
4	100% +/- 1.25%	4,000		15,550		48	1,172	1,791	546
5	97.5% +/- 1.25%	5,050		16,600		19	789	1,202	373
6	<96.25%	No Constraint				No Constraint			

* Note: Available fuel data is in terms of UCAP. Derates are applied to the generator ICAP.

** Note: Values represent aggregate level derate applied to generator ICAP. Actual derate % applied varies depending on the fuel type (i.e., gas-only vs. dual fuel) of each unit

A.3.3 Transmission System Model

A detailed transmission system model is represented in the GE-MARS topology. The transmission system topology, which includes eleven NYCA Load Zones and four External Control Areas, along with transfer limits, is shown in Figure A.10. The transfer limits employed for the 2027-2028 IRM study were developed from emergency transfer limit analyses included in various studies performed by the NYISO and based upon input from Transmission Owners and neighboring regions. The transfer limits are further refined by other assessments conducted by the NYISO. The assumptions for the transmission model included in the 2027-2028 IRM study are listed in Table A.13, which reflects changes from last year's model. The changes captured in this year's model include: 1) the Dysinger East forward limit was reduced due to changes in equipment ratings and flow patterns with equipment additions; 2) the West–Central summer reverse limit was reduced due to changes in post-contingency loading; 3) a winter transfer limit for West-Central reverse was established 4) the Moses South forward limit reduced after the identification of a post-contingency short-term limit violation; 5) winter transfer limits were established for Y49/Y50 forward, Y49/Y50 reverse, Jamaica Ties reverse, the import and export limits between Load Zone K and Load Zones I/J, and the LI West reverse interface group; 6) the UPNY-SENY group interface limit was reduced in alignment with study assumptions for the 2026 Reliability Needs Assessment; 7) the UPNY-ConEd forward limit was increased based on updated transfer limit criteria.

Forced transmission outages are included in the GE-MARS model for the underground cables that connect New York City and Long Island to surrounding zones. The GE-MARS model uses transition rates between operating states for each interface, which were calculated based on the probability of occurrence from the most recent ten years of historic failure rates and the time to repair. Transition rates into the different operating states for each interface were calculated based on the circuits comprising each interface, including failure rates and repair times for the individual cables, and for any transformer and/or phase angle regulator associated with that cable. The applicable Transmission Owners provided updated transition rates for their associated cable interfaces.

Table A.13 Transmission System Model

Parameter	2026-2027 Model	2027-2028 Model Assumptions Recommended	Basis for Recommendation
UPNY-ConEd Interface Limit	No modeling change from 2025-2026 IRM study assumptions	Forward limit increase to 7,100 MW	Updated methodology for establishing transfer limit from dynamic voltage limits
West-Central NY Limits	Reverse limit increased to 2,225 MW	Summer Reverse limit decreased to 2,125 MW; Winter transfer limit established at 2,750 MW	Seasonal Topology Methodology, 2026 Summer Operating Study, 2025-2026 Winter Operating Study
Cedars Import Limit	No modeling change from 2025-2026 IRM study assumptions	No modeling change from 2026-2027 IRM study assumptions	
IESO/NYISO PARS in Zone D	No modeling change from 2025-2026 IRM study assumptions	No modeling change from 2026-2027 IRM study assumptions	
Central East and Central East + Marcy Group Transfer Limit	Central East dynamic limit table ranging from 3,885 MW to 3,460 MW	No modeling change from 2026-2027 IRM study assumptions	
UPNY-SENY Transfer Limit	No modeling change from 2025-2026 IRM study assumptions	Forward limit decreased to 6,400 MW	Study assumptions for 2026 Reliability Needs Assessment
Moses South Transfer Limit	Forward limit increased to 3,500 MW	Forward limit decreased to 3,000 MW	Reduction in emergency transfer limit and identification of an additional short-term limit violation anticipated to reduce emergency thermal transfer limits

Table A.13 Transmission System Model (Continued)

Parameter	2025-2026 Model	2026-2027 Model Assumptions Recommended	Basis for Recommendation
Sprain Brook Dunwoodie South	Forward limit reduced to 4,225 MW	No modeling change from 2026-2027 IRM study assumptions	
Load Zone K - Load Zone I/J	Export limit reduced to 120 MW	No modeling change from 2026-2027 IRM study assumptions for the Summer import and export limits. Winter transfer limit established at 790 MW for Load Zone K export limit and 1,736 for Load Zone K import limit.	Winter transfer limits established by Transmission Owners for the seasonal topology update
Load Zone K – Load Zone I/J/PJM	Export limit reduced to 34 MW	No modeling change from 2026-2027 IRM study assumptions for the Summer transfer limit . Winter transfer limit established at 704 MW for Load Zone K – Load Zone I/J/PJM reverse.	Winter transfer limits established by Transmission Owners for the seasonal topology update
Load Zone K – Load Zone I	Export limit reduced to 385 MW	No modeling change from 2026-2027 IRM study assumptions for the Summer import and export limits. Winter transfer limit established at 1,434 MW for Load Zone K import limit and 1,060 MW for the export limit	Winter transfer limits established by Transmission Owners for the seasonal topology update

Load Zone K – Load Zone J	Export Limit reduced to 485 MW	No modeling change from 2026-2027 IRM study assumptions for the Summer export limit. Winter transfer export limit established at 584 MW	Winter transfer limits established by Transmission Owners for the seasonal topology update
Norwalk Harbor - Zone K Limit	The import and export limit was reduced to 395 MW	No modeling change from the 2026-2027 IRM study assumptions	

Table A.12 Transmission System Model (Continued)

Parameter	2025-2026 Model	2026-2027 Model Assumptions Recommended	Basis for Recommendation
Dysinger East Transfer Limit	Forward limit reduced to 1,925 MW	Forward limit reduced to 1,900 MW	Due to changes in equipment rating and flow changes in Load Zone A due to equipment additions
Cable Forced Outage Rates	All existing Cable forced outage rates updated for NYC and LI to reflect most recent ten-year history	All existing Cable forced outage rates updated for NYC and LI to reflect most recent ten-year history	
UDR line Unavailability	Ten-year history of forced outages CHPE interface utilizing class average outage rate for existing cables	Ten-year history of forced outages CHPE interface utilizing class average outage rate for existing cables	

Figure A.10 2026-2027 IRM Topology

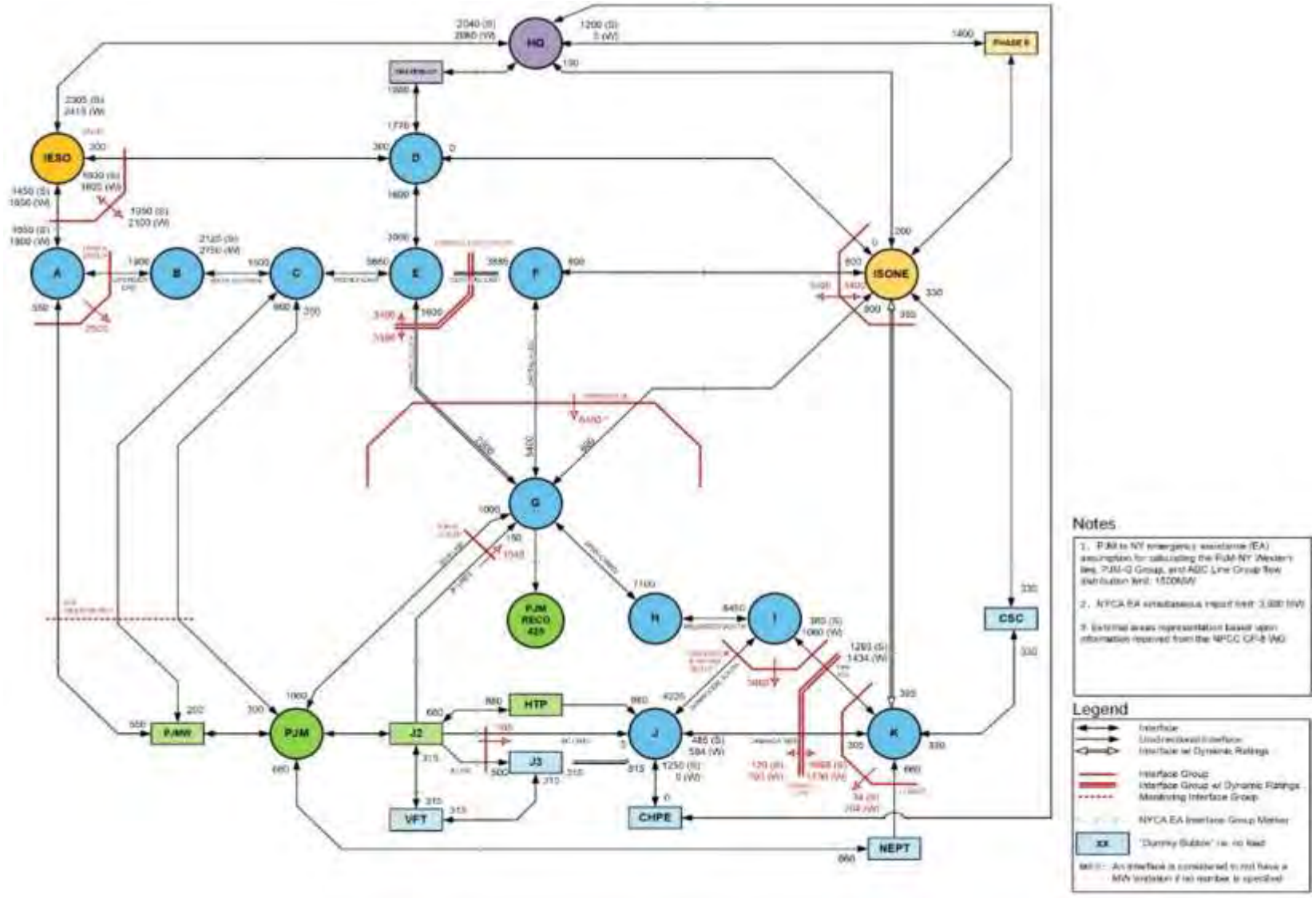


Table A.14 shows the interface limits including dynamic limits used in the 2026 IRM study topology VS. the 2025 IRM study.

Table A.14 2026-2027 IRM Transmission Topology Interface Limits

	2026-2027		2027-2028		Delta	
Interface	**Forward**	**Reverse**	**Forward**	**Reverse**	**Forward**	**Reverse**
Load Zone A to Load Zone B	1,925 MW	-	1,900 MW	-	-25 MW	-
Load Zone A Export Limit	2,500 MW	-	2,500 MW	-	0 MW	-
Load Zone B to Load Zone C	1,500 MW	2,225 MW	1,500 MW	2,125 MW (S) 2,750 MW (W)	0 MW	-100 MW (S) +525 MW (W)
Load Zone D to E	3,500 MW	1,600 MW	3,000 MW	1,600 MW	-500MW	0 MW
Chateauguay to Load Zone D	Summer: 1,770 MW Winter: varied by month	1,000 MW	Summer: 1,770 MW Winter: varied by month	1,000 MW	0 MW	0 MW
Central East	3,885/3,805/ 3,725/3,640/ 3,540/3,460 MW	-	3,885/3,805/ 3,725/3,640/ 3,540/3,460 MW	-	0 MW	-
Central East + Marcy Group	5,590/5,475/5 ,360/5,235/5, 080/4,945 MW	-	5,590/5,475/5,3 60/5,235/5,080 /4,945 MW	-	0 MW	-
UPNY-SENY	7,150 MW. No dynamic limits	-	6,400 MW. No dynamic limits	-	-750 MW	-
Load Zone K to Load Zones I and J Group	1,598 MW	120/110/0 MW	1,598 MW (S) 1736 MW (W)	120/110/0 MW (S) 790 (W)	0 MW (S) +138 MW (W)	0 MW (S)+670/+680 /+790 MW (W)
Sprain Brook Dunwoodie South	4,225 MW	-	4,225 MW	-	0 MW	-

Load Zone K to Load Zone J	485 MW	305 MW	485 MW (S) 584 MW (W)	305 MW	0MW (S) +99 MW (W)	0 MW

Table A.14 IRM Transmission Topology Interface Limits (continued)

	2026-2027		2027-2028		Delta	
Interface	**Forward**	**Reverse**	**Forward**	**Reverse**	**Forward**	**Reverse**
Load Zone K to Load Zone I/J/PJM Group	34 MW	-	34 MW (S) 704 MW (W)	-	0 MW (S) 670 MW (W)	0 MW
Norwalk Harbor to Load Zone K	395 MW	395 MW	395 MW	395 MW	0 MW	0 MW
Load Zone K to Load Zone I	385 MW	1,293 MW	385 MW (S) 1,060 (W)	1,293 MW (S) 1,434 (W)	0MW (S) +675 MW (W)	0 MW (S) +141 MW (W)
Load Zone J to J3	315 MW	425/700/ 750/ 815 MW	315 MW	425/700/ 750/815 MW	0 MW	0 MW

The Topology for the 202~~6~~7-202~~7~~8 IRM study features the following changes from the 202~~5~~6-202~~6~~7 IRM study:

1. ***Update to Dysinger East Forward Limit:*** The Dysinger East Forward Limit decreased from 1,925 MW to 1,900 MW. The decrease is driven by changes in equipment ratings and flow patterns with the addition of the Byron and Graham stations on the 345 kV system.
2. ***Update to the West Central Reverse Limit Summer and Winter Transfer Limits:*** The 2026 NYISO Summer Operating Study updated the West Central Reverse transfer limit to 2,125 from 2,225 MW in 2025 Summer. This is attributed to changes in post-contingency loading. As part of the seasonal topology update process, the NYISO identified the West Central Reverse limit as potentially impactful to winter risk and a distinct winter rating was introduced. The 2025-2026 NYISO Winter Operating Study established winter emergency thermal transfer limits for the West Central Reverse interface of 2,750 MW.

3. ***Update to Moses South Forward Limit:*** The Moses South Forward emergency thermal transfer limit was lowered to 3,000 MW after the identification of a new short-term violation. This resulted in a total decrease of 500 MW from the previous limit of 3,500 MW.

4. ***Update to the UPNY-ConEd forward limit:*** The UPNY-ConEd forward transfer limit, based on voltage collapse limits, was updated following an updated methodology for establishing a single transfer limit to approximate the dynamic limit conditions. The modeled limit considers the most conservative of single generator outage scenarios modeled in the UPNY-ConEd Voltage Collapse Transfer Limit study. For scenarios provided in the UPNY-ConEd Voltage Collapse Transfer Limit study, additional derates from assuming units out of service are applied to the modeled limit to account for generator deactivations modeled in the IRM study. This resulted in a net increase in transfer limit capability to 7,100 MW from 7,050 MW.

5. ***Update to the UPNY-SENY interface group forward limit (Load Zones E/F to Load Zone G):*** The 2026 Reliability Needs Assessment study assumptions showed a decrease to the UPNY-SENY interface limit applicable to the 2027-2028 Capability Year. The interface limit was reduced from 7,150 to 6,400 MW to align with the summer transfer limit reported in the 2026 Reliability Needs Assessment.

6. ***Winter transfer limit for Load Zone K to and from Load Zone I/J:*** Import and export limits between Load Zone K and Load Zones I/J for the winter operating period were provided by PSEG Long Island as part of the seasonal topology update process. The 2027-2028 IRM study establishes the winter interface limit from Load Zones I/J to Load Zone K at 1,736 MW, and from Load Zone K to Load Zones I/J at 790 MW.

7. ***Winter transfer limit for Load Zone K- Load Zone J Export Limit (Total Jamaica Export):*** The transfer limit from Load Zone K to Load Zone J for the winter operating period was provided by PSEG Long Island as part of the seasonal topology update process. The 2027-2028 IRM study establishes the winter interface limit for the Total Jamaica Export at 584 MW.

8. ***Winter limits for Load Zone I and Load K (Y49-Y50):*** The transfer limits between Load Zone I and Load Zone K for the winter operating period were provided by PSEG Long Island as part of the seasonal topology update process. The 2027-2028 IRM study establishes the winter transfer limit from Load Zone

I to Load Zone K at 1,434 MW, and the winter transfer limit from Load Zone K to Load Zone I at 1,060 MW.

~~1.~~9. ***Winter limit for Zone K- I/J/PJM Export Limit (Total Export towards West Ties):*** The transfer limit from Load Zone K to Load Zones I/J/K and PJM for the winter operating period was provided by PSEG Long Island as part of the seasonal topology update process. The 2027-2028 IRM study establishes a winter export limit of 704 MW.

A.3.4 External Area Representations

NYCA reliability depends in part on emergency assistance (EA) from its interconnected Control Area neighbors (New England, Ontario, Quebec and PJM) based on reserve sharing agreements with these external Control Areas. Load and capacity models of these areas are therefore represented in the GE-MARS analyses with data received directly from the areas and/or through NPCC sources.

The primary consideration for developing the final load and capacity models for the external Control Areas is to avoid over-dependence on the external Control Areas for emergency capacity support. For this reason, a limit is placed on the amount of emergency capacity support that the NYISO can receive from external Control Areas in the IRM study. Starting with the 2023-2024 IRM study, the limit was 3,500 MW for all LFU bins. For the 2024-2025 IRM study, the EA limit was updated to vary by LFU bin (i.e., varying load levels). Based on a study and recommendation from the NYISO[5] that considered the amount of extra reserves that are available in the external Control Areas above each area's required operating reserve by load level, the 3,500 MW limit was modified as follows: LFU Bin 1: 1,470 MW; LFU Bin 2: 2,600 MW; LFU Bins 3-7: 3,500 MW. Also, Interface limits between the NYISO and neighboring external Control Areas were adjusted such that the total EA from all external Control Areas does not exceed the EA limit by LFU Bin. During the 2025-2026 IRM study, the dynamic emergency assistance modeling was expanded to include the HVDC lines to reflect the proportional limits to emergency assistance from the external control areas. For the 2026-2027 IRM study, the emergency assistance limit from Quebec was decreased to 0 MW during the winter season (November – April). For the 2027-2028 IRM study, the emergency assistance limits for the PJM cables was reduced by 40% for LFU Bins 1 and 2 based on the anticipated EA availability, while the limits in Load Bins 3-7 remained unchanged from the 2026-2027 IRM study.

In addition, an external Control Area's LOLE assumed in the IRM study cannot be lower than its LOLE criteria, and its reserve margin can be no higher than its minimum requirement. If an external Control Area's reserve margin is lower than its requirement and/or its LOLE is higher

[5] See Installed Capacity Subcommittee Meeting No. 278 — June 28, 2023 – NYSRC **Agenda Item 9 "**EOP Review Whitepaper Update" and Installed Capacity Subcommittee Meeting No. 279 — August 2, 2023 **Agenda Item 13 "**EOP Whitepaper Preliminary Recommendations for study details".

than its criterion, pre-emergency demand response can be represented. In other words, for purpose of the IRM study, the neighboring external Control Areas are assumed to be equally or less reliable than NYCA.

Another consideration for developing models for the external Control Areas is to recognize internal transmission constraints within the external Control Areas that may limit emergency assistance to the NYCA. This recognition is considered implicitly for those external Control Areas that have not supplied internal transmission constraint data. Additionally, EOPs are removed from the external Control Area models.

Finally, the top three summer peak load days of an external Control Area should be specified in the load model for the IRM study to be coincident with the NYCA top three peak load days. The purpose of this modeling assumption is to capture the higher likelihood that there will be considerably less load diversity between the NYCA and external Control Areas on the hot summer days.

For this study, both New England and PJM continue to be represented as multi-area models, based on data provided by these Control Areas. Ontario and Quebec are represented as single area models. The load forecast uncertainty model for the outside world model was supplied from the external Control Areas. For the 2026-2027 IRM study, PJM did not update their MARS model for NPCC and it therefore remained unchanged from the 2025-2026 IRM study. For the 2027-2028 IRM study, the MARS model for PJM was updated with data supplied by NPCC based on PJM's existing resources and publicly available load data. .

Modeling of the neighboring Control Areas in the base case in accordance with Policy 5-20 is as follows:(see Table A.15 top of next page)

Table A.15 External Area Representations

Parameter	2026-2027 Study Assumption	2027-2028 Study Assumption	Explanation
Capacity Purchases	Grandfathered amounts: PJM – 1,080 MW HQ – 1,190 MW in Summer, Varied (0 – 914 MW) in Winter All contracts modeled as equivalent contracts	Grandfathered amounts: PJM – 1,080 MW HQ – 1,190 MW in Summer, Varied (0 – 914 MW) in Winter All contracts modeled as equivalent contracts	Grandfathered Rights, Existing Transmission Capacity for Native Load (ETCNL), and other long-term rights awarded.
Capacity Sales	Long term firm sales of 266.7 MW (Summer)	Long term firm sales of 267.4 MW (Summer)	Long-term contracts.
External Area Modeling	Single Area representations for Ontario and Quebec. Five areas modeled for PJM. Thirteen zones modeled for New England	Single Area representations for Ontario and Quebec. Five areas modeled for PJM. Thirteen zones modeled for New England	The load and capacity data are provided by the neighboring areas. This updated data may then be adjusted as described in Policy 5. PJM is no longer updating their MARS model for NPCC.
Reserve Sharing	All NPCC Control Areas have indicated that they will share reserves equally	All NPCC Control Areas have indicated that they will share reserves equally	Per NPCC CP-8 working group assumption.

Table A.16 shows the final reserve margins and LOLEs for the Control Areas external to NYCA. As per Table 7-1 of the IRM study report, the difference between the isolated case and the final base case was 4.5% in the 2027-2028 IRM study compared to 4.8% in the 2026-2027 IRM study.

Table A.16 Outside World Reserve Margins

Area	2026-2027 Study Reserve Margin	2027-2028 Study Reserve Margin	2026-2027 Study LOLE (Event-Days/Year)	2027-2028 Study LOLE (Event-Days/Year)
Quebec	10.5%	11.4%	0.136	0.323
Ontario	11.5%	26.3%	0.119	0.138
PJM	15.8%	11.8%	0.116	0.120
New England	12.7%	12.6%	0.163	0.122

A.3.5 Emergency Operating Procedures (EOPs)

There are many steps that the system operator can take in an emergency to avoid disconnecting load. EOP steps 2 through 10 listed in Table A.18 were provided by the NYISO based on operator experience. Table A.17 lists the assumptions modeled.

The values in Table A.16 are based on a NYISO forecast that incorporates 2024 (summer) operating results. This forecast is applied to a 2026 peak load forecast of 31,648.2MW. The table shows the most likely order that these steps will be initiated. The actual order will depend on the type of the emergency. The amount of assistance that is provided by EOPs related to load, such as voltage reduction, will vary with the load level.

Table A.17 Assumptions for Emergency Operating Procedures

Parameter	2025~~6~~-2026~~7~~ Study Assumption	2026~~7~~-2027~~8~~ Study Assumption	Explanation
Special Case Resources	July 2025 – 898.1 MW based on registrations and modeled with maximum capacity of 724.2 MW derated by hourly response rates. Output limitations will be lifted 3 hours prior to the preliminary base case weighted average summer peak net load hour for Load Zones A-F (HB16) and G-K (HB14).	July 2026 – 829.4 MW based on registrations and modeled with maximum capacity of 565.4 MW derated by hourly response rates. Output limitations will be lifted 3 hours prior to the preliminary base case weighted average summer peak net load hour for Load Zones A-F (HB16) and G-K (HB14).	Summer values calculated from July 2026 registrations accounting for updated historical performance. Also accounts for the transition of resources from the SCR program to the DER participation model.
Table A.17 Continued on Next Page			
Other EOPs	400 MW of 10-min reserves maintained at load shedding Voluntary Curtailment are limited to 3 calls per month. Public Appeals are limited to 3 calls per year. 866.8 MW of non-SCR/non-EDRP resources	450 MW of 10-min reserves maintained at load shedding. Voluntary Curtailment is limited to 3 calls per month. Public Appeals is limited to 3 calls per year. 817.5 MW value of non-SCR/non-EDRP resources	Based on Whitepaper and NYISO updated analysis recommendation Based on TO information, measured data, and NYISO forecasts

Parameter	20256-20267 Study Assumption	20267-20278 Study Assumption	Explanation
EOP Structure	10 EOP Steps Modeled	10 EOP Steps Modeled	Based on ICS recommendation

Table A.18 Emergency Operating Procedures Values

Step	Procedure	20256-20267 IRM MW Value	20267-20278 IRM MW Value
1	Special Case Resources – Load, Gen	Enrolled:898.1 MW Modeled:724.15 MW	Enrolled: 829.4 MW (S) 500.7 MW (W)** Modeled: 565.4 MW (S) / 341.3 MW (W)**
2	5% manual voltage Reduction	64.58 MW	75.59 MW
3	Thirty-minute reserve to zero	655 MW	655 MW
4	Voluntary industrial curtailment	267.12 MW (limited to 3 calls per month)	231.05 MW Limited to 3 calls per month
5	General Public Appeals	74 MW (limited to 3 calls per year)	74 MW Limited to 3 calls per year
6	5% remote voltage reduction	461.06 MW	436.90 MW
7	Emergency Purchases	Varies	Varies
8	Ten-minute reserves to zero	910 MW (400 MW maintained at load shedding)	860 MW (450 MW maintained at load shedding)
9	Customer disconnections	As needed	As needed
10	Adjustment used if IRM is lower than technical study margin	As needed	As needed

* Ordering of EOP steps reflect the sequence followed by NYISO Grid Operations
** While the model includes monthly values, the Summer MW values represent model values for July and the Winter MW values represent model values for January

A.3.6 Locational Capacity Requirements

The GE-MARS model used in the IRM study provides an assessment of the adequacy of the NYCA transmission system to deliver assistance from one Load Zone to another for meeting load requirements. Previous studies have identified transmission constraints into certain Load Zones that could impact the LOLE of these Zones, as well as the statewide LOLE. To minimize these potential LOLE impacts, these Load Zones require a minimum portion of their NYCA ICAP requirement, *i.e.,* locational ICAP, to be met by resources that are electrically located within the Load Zone to ensure that enough energy and capacity are available in that Zone and that NYSRC Reliability Rules are met. For the purposes of the IRM study, locational ICAP requirements are applicable to two transmission-constrained Load Zones, New York City and Long Island, and are normally expressed as a percentage of each Zone's annual peak load.

These locational ICAP requirements, recognized by NYSRC Reliability Rule A.2 and monitored by the NYISO, supplement the statewide IRM requirement. This report using the unified methodology determines the minimum locational requirements for different levels of installed reserve. The NYSRC chooses the IRM to be used for the coming year and the NYISO chooses the final value of the locational requirements to be met by the LSEs.

A.3.7 Special Case Resources (SCRs)

SCRs are loads capable of being interrupted (including potential use of distributed generators to achieve load reductions) rated at 100 kW or higher, that are not directly telemetered. SCRs are ICAP resources that only provide energy/load curtailment when activated in accordance with the NYISO Emergency Operating Manual. Performance factors for SCRs are shown in Table A.19.

Table A.19 SCR Performance

SCR Performance for 2027-2028 IRM Study									
Super Zones	SCR Enrollments (MW)	Response Rate (%) by Hour of SCR Activation							Superzonal ACL to CBL Translation Factor (%)
		Event Hour 1	Event Hour 2	Event Hour 3	Event Hour 4	Event Hour 5	Event Hour 6	Event Hour 7	
A - E	212.5	80.10%	81.88%	82.19%	79.84%	75.66%	75.39%	72.38%	88.33%
F	58.0	67.35%	72.68%	75.33%	76.08%	75.25%	67.77%	63.18%	80.35%
G - I	65.0	59.62%	68.22%	72.04%	73.67%	73.38%	70.14%	67.15%	72.78%
J	468.4	54.95%	59.70%	64.62%	69.61%	70.67%	67.83%	66.25%	58.78%
K	25.6	51.75%	58.25%	62.71%	65.18%	64.86%	64.31%	61.02%	70.45%
All Zones	829.4	65.70%	69.82%	72.67%	74.15%	72.89%	70.64%	68.37%	

Table A.18 note 1: The SCR performance for 2027-2028 IRM study reflects the "Enhanced SCR Modeling" construct.[6] The introduction of this modeling construct starting with the 2025-2026 IRM study increased the significance of the output window and highlighted the need for differentiated start times based on historical activation data and regional

[6] https://www.nysrc.org/wp-content/uploads/2024/01/SCR-Modeling-ICS-01302024-Market-Sensitive27154.pdf

peak load profiles. The Phase 1 ELR whitepaper efforts developed a new methodology to define SCR start times based on peak net load for the summer.[7]

Consistent with the final base case for the 2026-2027 IRM study, the start time for SCRs in Load Zones A-F is HB16 while the start time for SCRs located in Load Zones G-K is HB14.

The GE-MARS model accounts for SCRs as an EOP step and will activate this step before degrading 30-minute reserve capability consistent with the rules for when the program is activated. Both GE-MARS and NYISO operations only activate EOPs in zones where they are capable of being delivered.

SCRs are modeled with monthly values. The registered value is 829.4 MW. An effective value of 565.4 MW is used in the model. Summer values calculated from July 2026 registrations accounting for updated historical performance.

[7] https://www.nysrc.org/wp-content/uploads/2025/11/ELR-Phase-2-Whitepaper-ICS11112025-Draft-v2-clean.pdf

A.4 Data Scrub (Update Still In Progress)

A.4.1 GE Data Scrub

General Electric (GE) was asked to review the input data for errors. GE performs a "Data Scrub" which processes the input files and flags data that appears to be out of the ordinary. For example, it can identify a unit with a forced outage rate significantly higher than all the others in that size and type category. If something is found, the NYISO reviews the data and confirms that it is the right value as is or institutes an update. The results of this data scrub are shown in Table A.20 for the preliminary base case.

Table A.20 GE MARS Data Scrub

Item	Description	Disposition	Data Change	Parametric Effect
1	8 units had changes in capacity that exceeded 10 MW; 9 units were identified with greater than 5% EFORd changes	These changes were part of larger annual update, and confirmed to be correct	N	N/A
2	18 interface limits were found to be inconsistent between the model database and the Assumptions Matrix	Inconsistencies caused by modeling of contracts along the interfaces; Database and Assumptions Matrix confirmed to be correct	N	N/A
			Total	0.00

A.4.2 NYISO Data Scrub

The NYISO also performs a review of the MARS data independently from GE. The result of this review is listed below

Table A.21 NYISO Data Scrub

Item	Description	Disposition	Data Change	Parametric Effect
1	The NYISO found no MARS data issues to report	No issues to report	N	N/A
			Total	0.00

A.4.3 Transmission Owner Data Scrub

In addition to the above reviews, two transmission owners scrub the data and assumptions using a masked database provided by the NYISO. Their findings are listed below.

Table A.22 Transmission Owner Data Scrub

Item	Description	Disposition	Data Change	Parametric Effect
1	Transmission owners found no MARS data issues to report	no issues to report	N	N/A
			Total	0.00

Appendix B

Details of Study Results

B. Details for Study Results – Appendix B (Update Still In Progress)

B.1 Implementing Emergency Operating Procedures

In addition to SCRs, the NYISO will implement several other types of EOPs, such as voltage reductions, as required, to avoid or minimize customer disconnections. Projected 2025 EOP capacity values are based on recent actual data and NYISO forecasts. SCR calls were limited to 1 call per day; voluntary load curtailment are limited to 3 calls per month and public appeals are limited to 3 calls per year. Table B.1 below presents the expected EOP frequencies for the 2026-2027 Capability Year, assuming the 25.3% base case IRM with ELR modeling.

Table B.1 Implementation of EOP steps

Step	EOP	Expected Implementation (Days/Year)	
		IRM 2026-2027 PBC Tan45	IRM 2026-2027 FBC Tan45
1	Require SCRs (Load and Generator)	7.5	6.3
2	5% manual voltage reduction	6.3	5.3
3	30-minutes reserve to zero	6.1	5.1
4	Voluntary load curtailment	4.4	3.2
5	Public appeals	4.2	2.7
6	5% remote controlled voltage reduction	4.1	2.6
7	Emergency purchases	3.4	2.1
8	10-minutes reserve to 400 MW	0.2	0.2
9	Customer disconnections	0.1	0.1

Note: The expected implementation days per year reported in each Emergency Operating Procedure (EOP) step are the expected number of days that MARS calls for that EOP step. If an EOP step has a limitation on the number of days that it can provide relief, such as the 3 calls per year for Public Appeals or 3 calls per month for Voluntary Curtailment, it will provide no load relief after the 3rd call. Special Case Resources (SCRs) are modeled utilizing a duration limitation with hourly response rates and a 1 call per day limitation.

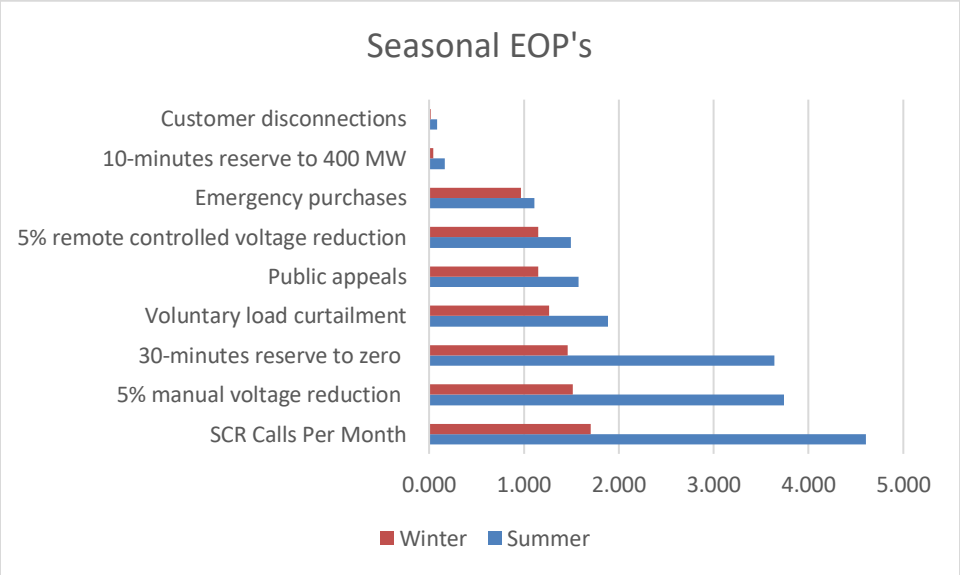
Table B.2 presents EOP calls by months and season and Figure B.3 shows the seasonal results graphically. While the final "Customer Disconnects" shows roughly 14% of the risk occurring in the winter, some of the other EOP's show almost 50% of the risk in the winter months. This represents a significant shift from previous years.

Table B.2 EOP Calls Per Month

	SCR Calls Per Month	5% manual voltage reduction	30-minutes reserve to zero	Voluntary load curtailment	Public appeals	5% remote controlled voltage reduction	Emergency purchases	10-minutes reserve to 400 MW	Customer disconnections
Month	Days/Month								
JAN	1.208	1.068	1.029	0.893	0.805	0.805	0.679	0.029	0.009
FEB	0.111	0.088	0.084	0.065	0.054	0.054	0.039	0.002	0.000
MAR	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
APR	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
MAY	0.001	0.001	0.001	0.000	0.000	0.000	0.000	0.000	0.000
JUN	0.087	0.072	0.070	0.018	0.012	0.011	0.007	0.001	0.001
JUL	1.648	1.389	1.348	0.806	0.719	0.695	0.561	0.130	0.065
AUG	1.940	1.588	1.555	0.754	0.611	0.565	0.399	0.025	0.015
SEP	0.926	0.690	0.669	0.311	0.236	0.224	0.142	0.010	0.005
OCT	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
NOV	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
DEC	0.387	0.356	0.347	0.310	0.291	0.291	0.250	0.015	0.005

Table B.2 Continued Seasonal Calls									
Season	SCR Calls Per Month	5% manual voltage reduction	30-minutes reserve to zero	Voluntary load curtailment	Public appeals	5% remote controlled voltage reduction	Emergency purchases	10-minutes reserve to 400 MW	Customer disconnections
Summer	4.602	3.740	3.643	1.889	1.578	1.496	1.108	0.166	0.085
Winter	1.706	1.512	1.460	1.268	1.151	1.151	0.969	0.046	0.014
Annual	6.309	5.252	5.104	3.158	2.729	2.646	2.077	0.212	0.099

Figure B.1 Seasonal EOP Calls

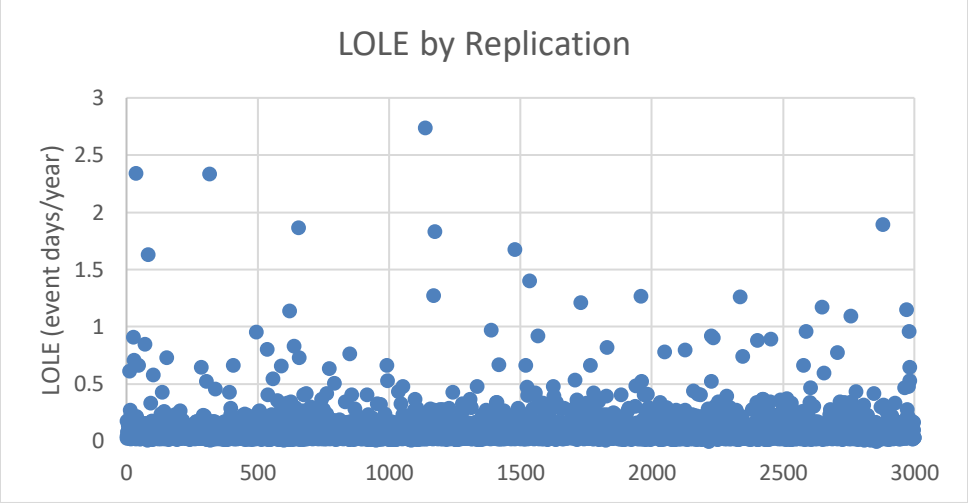


B.2 Review of LOLE Results and Additional Reliability Metrics

B.2.1 Review of LOLE Results

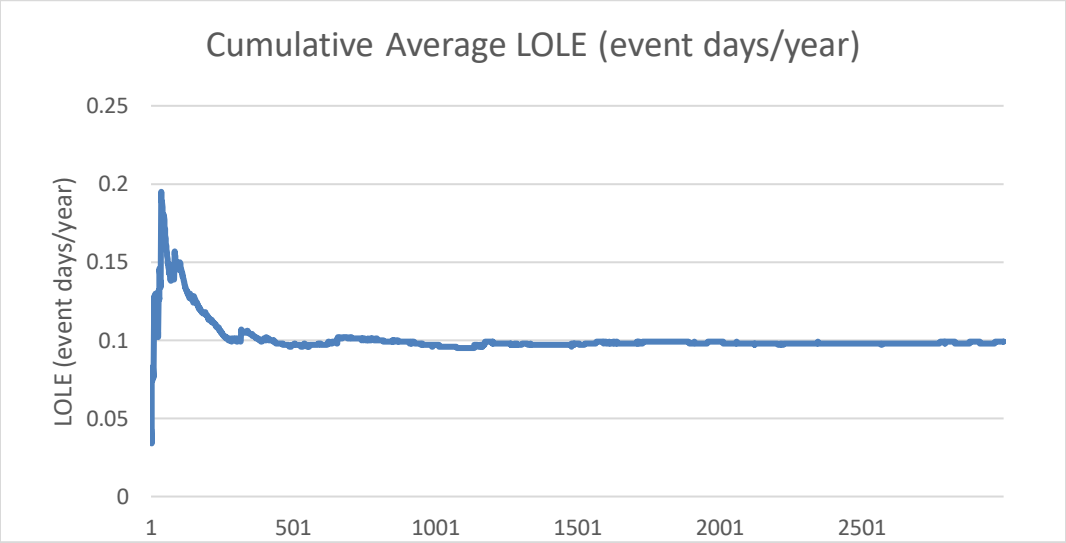
By design, the 2026-2027 IRM study final base case (FBC) had an average Loss of Load Expectation (LOLE) of 0.100 events/year. However, that doesn't tell the whole story. The Monte Carlo logic simulated the system for 3,000 replication years and the annual values ranged from a minimum of 0.006 events/year to a maximum of 3.242 events/year. The figure B.2 below shows the value of the LOLE for each of the replication years.

Figure B.2 Value of LOLE by Replication Year



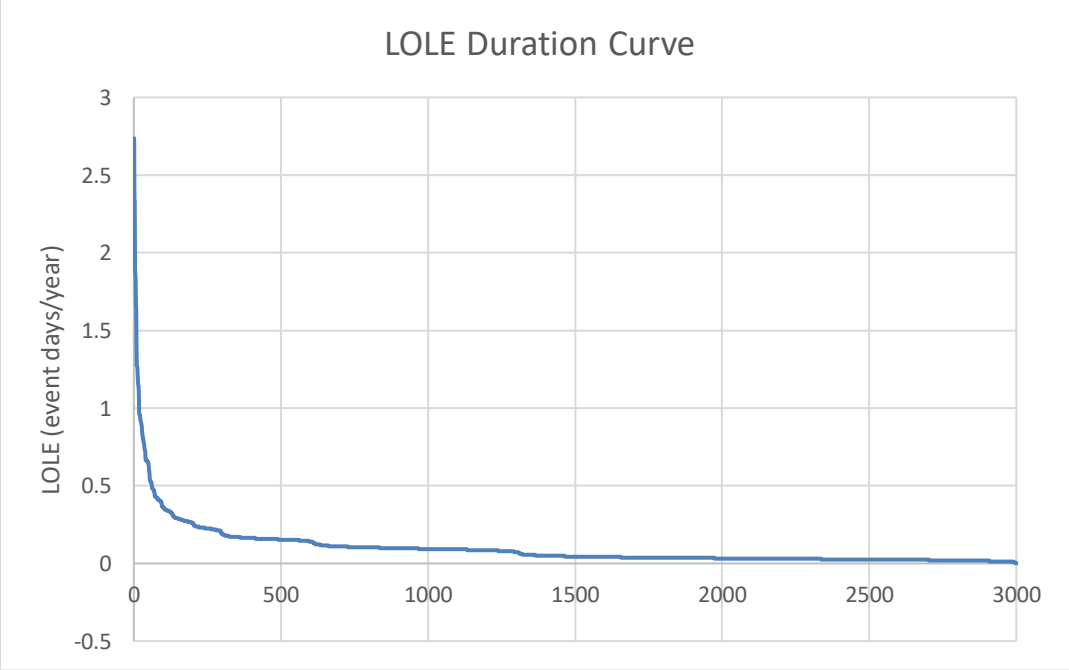
The next curve, figure B.3 top of next page shows the cumulative average over the course of the replications. After some initial fluctuations the value can be seen to settle out after about 500 replications and is fairly constant after 1,250 replications.

Figure B.3 LOLE Cumulative Average Over Replication Years



The Figure B.4 below shows a duration curve of the 3,000 values. While the average value is 0.100 there are hundreds of replications where the value was much higher.

Figure B.4 LOLE Duration Curve



B.2.2 Additional Metrics

In addition to calculating the LOLE in Event-days/year the model also calculated the Hourly Loss of Load Expectation (HLOLE) in Event-hours/year and the Expected Unserved Energy (EUE) in MWH/year. In addition, the expected Duration in hours/event can be

determined by dividing the HLOLE by the LOLE and the expected Magnitude in MW/event can be calculated as EUE/HLOLE. The table below shows the minimum, maximum and average values for these metrics. Although the average duration of outages was roughly 3.7 hours, events of over 11 hours occurred.

Table B.3 Additional Metrics

3000 Replications	Minimum	Maximum	Average
LOLE (event days/year)	0	2.74	0.10
HLOLE (event hours/year)	0	10.35	0.36
LOEE (MWh/year)	0	2849.94	169.51
Duration (Hours/event)	0	11.01	3.71
Magnitude (MW/event)	0	1292.14	509.44

The table B.4 below shows the results broken down by weather year. Although the average LOLE over all of the replications was 0.100 Event-Days/year there were variations by Weather Year. This database uses the last five years of operating history.

Table B.4 Results by Weather Year

Weather Year	Count	Minimum	Maximum	Average
2020	635	0.019	1.864	0.111
2021	607	0.006	2.341	0.106
2022	595	0.000	1.677	0.086
2023	594	0.006	2.737	0.100
2024	569	0.000	1.400	0.092

B.2.3 Conclusions

Although these supplemental metrics add important new insights into the reliability of the system, the range of the values across all of the replications adds an even greater dimension. It will be important to calculate not only these new metrics in future studies, but also the distribution of the values for base cases and sensitivities.

B.3 Review of EUE and NYCA Risk Profiles by Zone and Locality

B.3.1 Expected Unserved Energy (EUE) for the NYCA and Localities

The table B.5 shows the monthly Expected Unserved Energy (EUE) in megawatt-hours (MWh) for the 2026-2027 IRM Study final base case (FBC). The color shading indicates the EUE magnitude, where darker red represents higher values and white represents zero values (i.e., no EUE). The month of July exhibits the highest values, with significant contributions from Load Zones J and K (over 40 MWh each). However, the presence of notable EUE in January is evidence of emerging winter risks.

Table B.5 Monthly EUE

Monthly EUE (MWh/month)

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
NYCA	14.110	0.272	0.000	0.000	0.000	0.159	140.340	7.712	1.530	0.000	0.000	5.384
ROS	4.953	0.105	0.000	0.000	0.000	0.000	25.503	0.015	0.000	0.000	0.000	1.928
GHI	3.907	0.080	0.000	0.000	0.000	0.000	28.062	0.014	0.000	0.000	0.000	1.405
J	5.251	0.087	0.000	0.000	0.000	0.057	43.824	0.643	0.828	0.000	0.000	2.050
K	0.004	0.000	0.000	0.000	0.000	0.103	43.084	7.039	0.701	0.000	0.000	0.004

A breakdown of the NYCA monthly EUE values by hour is provided below. The table below demonstrates the large spread of risk hours during the peak summer and winter months.

Table B.6 Monthly EUE Values by Hour

NYCA EUE per month and hour (MWh/hr)

HB	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
JAN	0.000	0.000	0.000	0.000	0.000	0.000	0.213	1.494	0.537	0.131	0.063	0.045	0.041	0.030	0.001	0.222	1.520	7.299	0.823	0.372	1.246	0.075	0.000	0.000
FEB	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.003	0.017	0.017	0.030	0.002	0.004	0.001	0.000	0.000	0.000	0.073	0.064	0.005	0.055	0.000	0.000	0.000
MAR	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
APR	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
MAY	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
JUN	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.002	0.002	0.043	0.029	0.026	0.017	0.021	0.010	0.005	0.003	0.000	0.000	0.000
JUL	0.002	0.000	0.000	0.000	0.000	0.000	0.000	0.048	0.804	1.882	3.129	5.264	12.259	11.901	6.061	19.723	23.737	32.911	14.029	5.764	9.075	3.471	0.257	0.003
AUG	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.158	0.257	0.709	0.776	1.261	1.617	1.238	1.020	0.555	0.113	0.006	0.000	0.000
SEP	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.011	0.000	0.118	0.197	0.230	0.537	0.394	0.042	0.000	0.000	0.000	0.000	0.000
OCT	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
NOV	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
DEC	0.000	0.000	0.000	0.000	0.000	0.020	0.547	0.884	0.057	0.014	0.019	0.005	0.005	0.011	0.000	0.139	1.153	1.690	0.119	0.042	0.667	0.011	0.001	0.000

B.3.2 EUE Heatmaps for the NYCA

The Figures B.5 and B.6 depict the annual EUE across the NYCA zones measured in megawatt-hours per year (MWh/yr) for the 2026-2027 IRM Study FBC (see Figure B.5) and from the 2025-2026 IRM Study (see Figure B.6). The figures illustrate the spatial variation in reliability risk through the use of heatmaps which are a data visualization tool that uses color to represent values in a two-dimensional grid, making complex data easier to understand at a glance. The white areas indicate lower EUE values and red areas representing higher EUE values. Most zones exhibit relatively low EUE values, while the highest values occur in New York City (i.e., Load Zone J) and Long Island (i.e., Load Zone K). The comparison of both study years shows that the downstate zones have higher EUE values. Although the maximum annual EUE value occurred in Load Zone J for both study years, the value for the 2026-2027 IRM Study FBC is lower than the 2025-2026 IRM Study FBC. The 2026-2027 IRM Study FBC also demonstrates a higher annual value in Load Zone K compared to last year's study.

Figure B.5 NYCA Distribution of EUE 2026-2027 IRM Study

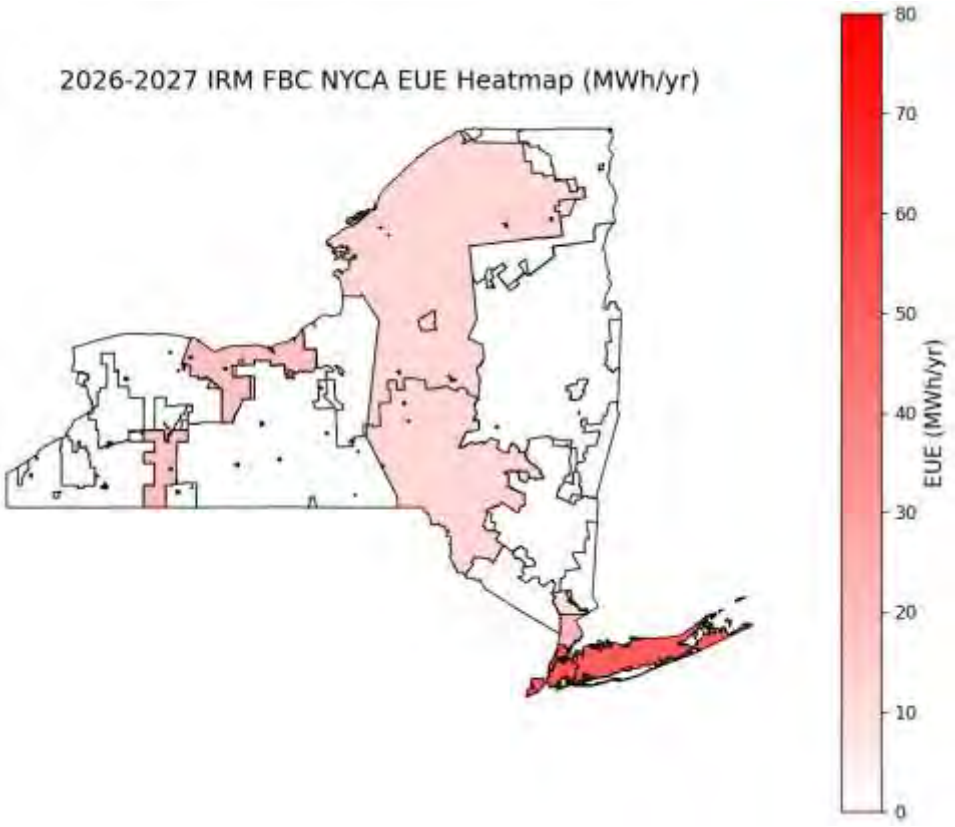
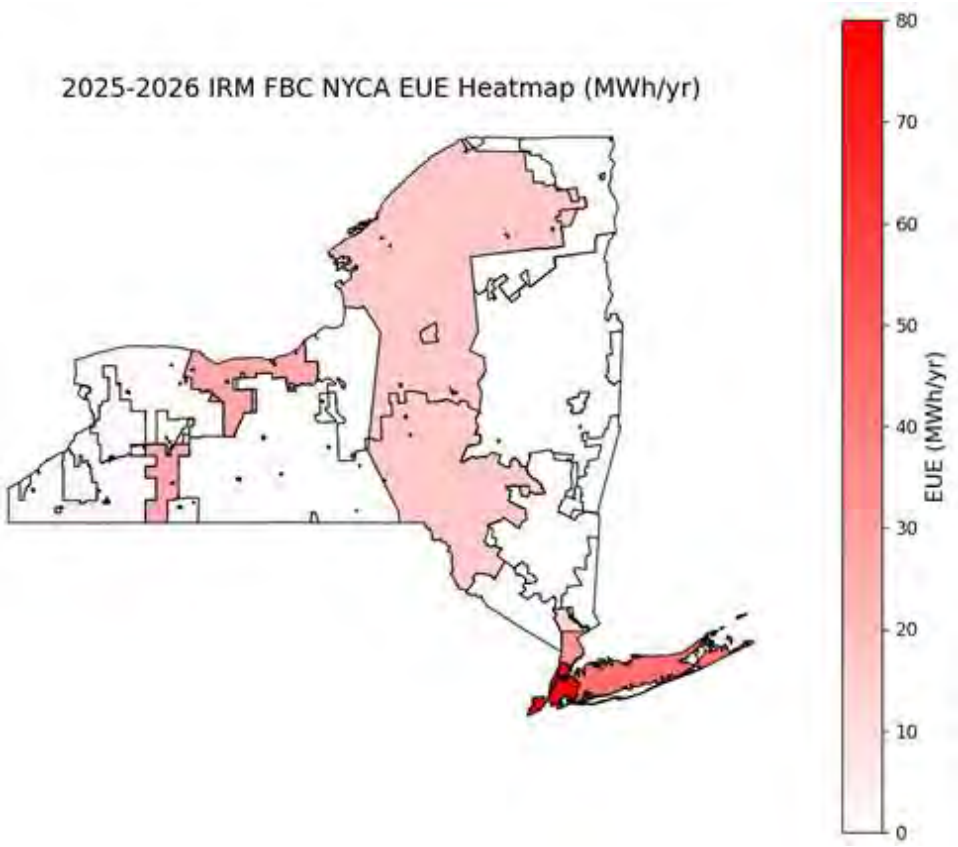


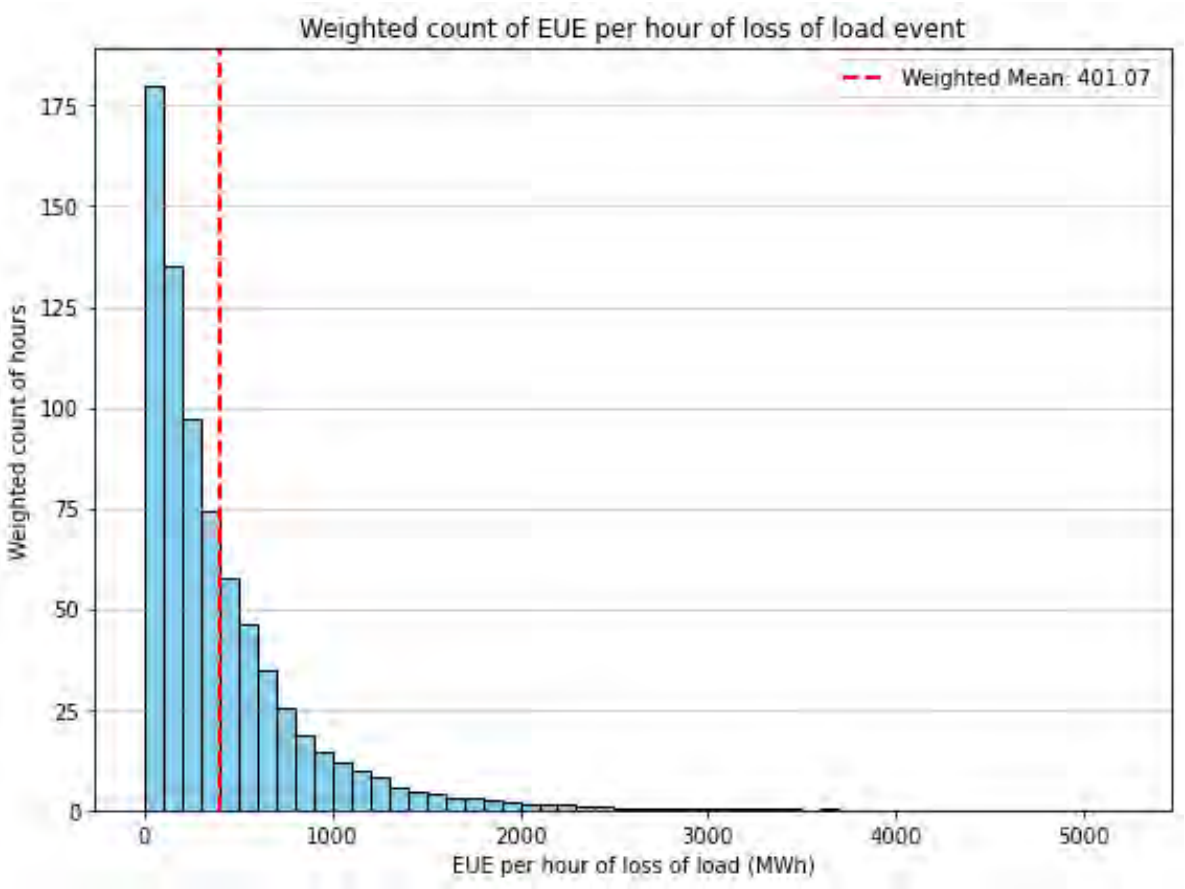
Figure B.6 NYCA EUE Distribution 2025-2026 IRM Study



B.3.3 EUE Hourly Distribution

The Figure B.7 provides the weighted distribution of EUE per hour during loss of load events, measured in MWh, for the 2026-2027 IRM Study FBC. Each histogram bar represents a 100 MWh interval, and the count of hours is weighted by the load level bin in which the event occurs. The distribution is strongly right-skewed, with the majority of events concentrated at lower EUE levels and a long tail representing infrequent but severe high-EUE occurrences. The red dashed line indicates the weighted mean of approximately 401 MWh, representing the average EUE during one hour of a loss of load event.

Figure B.7 NYCA EUE Distribution 2025-2026 IRM Study



Appendix C

Impact of Environmental Regulations

C. Impact of Environmental Regulations- Appendix C

Federal, state, and local government regulatory programs may impact the operation and reliability of New York's bulk power system. Of note, in 2019 New York enacted the Climate Leadership and Community Protection Act (CLCPA) and has promulgated various regulations collectively intended to limit greenhouse gas (GHG) emissions and support the development of new renewable energy, energy storage, and energy efficiency resources. Compliance with state and federal regulatory initiatives and permitting requirements may require investment by the owners of New York's existing thermal power plants to continue in operation. If the owners of those plants must make significant investments to comply, the cost of these investments could lead to retirements, and therefore new resources may be needed to maintain the reliability of the bulk power system. Other regulatory initiatives being undertaken by the State of New York may preclude certain units from continuing in operation in their current configuration.

Many of the fossil fuel-fired generators impacted by these emissions regulations have been in operation for more than 50 years, are located in downstate New York, and may be critical to reliable electric system operations under stressed system conditions until sufficient replacement resources become available. The NYISO executes reliability planning processes to evaluate the implications of resource deactivations or changes in commercial operation in both the short- and long-term. This section reviews the status of various regulatory programs, which may impact power system operations and reliability.

C.1 Combustion Turbine NOX Emission Limits

The New York State Department of Environmental Conservation (DEC) Part 227-3 significantly lowers NO_x emission limits for simple cycle gas turbines (the "Peaker Rule").[8] The rule is applicable during the ozone season (May 1- September 30) and establishes lower emission limits in two phases, effective May 1, 2023, and May 1, 2025. The rule required compliance actions for units with approximately 3,300 MW of capacity (nameplate) located predominantly in southeastern New York and required the owners of affected facilities to file compliance plans by March 2020. The NYISO used compliance plans submitted by generators under Part 227-3 to develop the assumed outage pattern of the impacted units in its Reliability Planning Process. Since May 2023, over 1,600 MW of peaker units have deactivated or limited their operations.[9]

The NYISO 2023 Quarter 2 Short Term Assessment of Reliability (2023 Q2 STAR) found a reliability need beginning in summer 2025 within New York City primarily driven by a combination of forecasted increases in peak demand and the assumed unavailability of certain generation in New York City affected by the Peaker Rule. The 2025 Quarter 3 Short Term Assessment of Reliability (2025 Q3 STAR) identified generator deactivation and near-term reliability needs in New York City, in part due to the proposed

[8] In 2019, the New York State Department of Environmental Conservation adopted a regulation to limit nitrogen oxides (NOx) emissions from simple-cycle combustion turbines, referred to as the "Peaker Rule" (https://dec.ny.gov/regulatory/regulations/chapter-iii)

[9] See the NYISO 2026 Q2 STAR Report. https://www.nyiso.com/quarterly-short-term-assessments-of-reliability-star-

retirement of Gowanus and Narrows. These deficiencies occur during the summer from 2026 through 2030. The reliability needs ranged from 410–650 MW for six to eight hours in 2026, increasing to 500–1,130 MW for eight to thirteen hours by 2030.

Consistent with provisions of the Peaker Rule that permit the NYISO to temporarily retain affected generation if no other solutions are viable or sufficient to address an identified reliability need, the NYISO identified the Gowanus 2 & 3 and Narrows 1 & 2 barges (672 MW total nameplate) as the temporary solution for the reliability need in New York City in both solution solicitations. In accordance with the DEC Peaker Rule's reliability provision, the Gowanus and Narrows generators may remain available to operate for up to two additional two-year periods (until May 1, 2029) if the NYISO or Con Edison determine that the reliability need still exists and a permanent solution has been identified and is in the process of construction. The DEC Peaker Rule, however, does not provide for peaker generators to continue operating after this date without meeting the emissions requirements. Needs identified on Long Island in the 2025 Q3 STAR are in part being addressed by water injection upgrades at existing units in order to attain compliance with the required emissions rate limits to provide for their continued availability. As part of this process, the NYISO evaluates proposed solutions and issues a Short-term Reliability Process Report to indicate the NYISO's selection of a solution or combination of solutions, along with a reasoned explanation regarding why particular generation and/or transmission solutions were selected. If needed, interim solutions must also be in-place to keep the grid reliable. This solution selection process is designed to ensure that executing a Reliability Must Run (RMR) Agreement with generators is a last resort to addressing a reliability need.

C.2 U.S. Clean Water Act: Best Technology Available for Plant Cooling Water Intake

The U.S. Environmental Protection Agency (EPA) Clean Water Act Section 316b rule provides standards for the design and operation of power plant cooling systems that withdraw more than 2 million gallons per day (mgd) of water from waters of the United States and use at least 25 percent of the water they withdraw exclusively for cooling purposes. This rule is being implemented by the DEC, which has finalized Commissioner Policy 52 for the implementation of the Best Technology Available (BTA) for plant cooling water intake structures. This policy is activated upon renewal of a plant's water withdrawal and discharge permit. Based upon a review of current information available from the DEC, the NYISO has estimated that 13,500 MW of nameplate capacity is affected by this rule, some of which could be required to undertake major system retrofits, including closed-cycle cooling systems. Several generators' permits include a 15% capacity factor limitation over their 5-year water permit term to limit the facilities' environmental impact. Conditions which limit capacity factors could potentially limit generator availability.

Plant	Status as of October 2026
Arthur Kill	BTA in place, verification under review
Astoria	BTA in place, verification under review
Barrett	Permit drafting underway with equipment enhancements, SAPA extended
Bowline	BTA in place, 15% Capacity Factor, BTA Decision made
Brooklyn Navy Yard	Permit drafting underway
Danskammer	BTA in place
East River	BTA in place
Fitzpatrick	BTA studies being evaluated
Ginna	BTA studies being evaluated
Greenidge	BTA in place, thermal studies underway
Nine Mile Pt 1	BTA studies being evaluated
Northport	BTA in place, verification under review
Oswego	BTA conditions under review
Port Jefferson	BTA in place, 15% Capacity Factor, verification
Ravenswood	BTA in place, additional studies under review
Roseton	BTA in place
Wheelabrator Hudson Falls	BTA in place, technical review
Wheelabrator Westchester	BTA in place

C.3 Regional Greenhouse Gas Initiative (RGGI)

RGGI is a multi-state power-sector carbon dioxide (CO_2) emissions cap-and-trade initiative that requires affected generators of 25 MW (nameplate) or larger to procure emissions allowances authorizing them to emit carbon dioxide. The DEC extended RGGI applicability in New York to certain generators of 15 MW (nameplate) or larger in 2021.

In their second program review, the RGGI states agreed to a 30% cap reduction between 2020 and 2030, essentially ratcheting down the availability of allowances to generators that emit CO_2. These emission allowance caps are not likely to trigger reliability concerns as the accompanying program design provides for mechanisms that consider reliability on various timescales, including multi-year compliance periods, allowance banking provisions, the Cost Containment Reserve, and periodic program reviews.

The RGGI States completed their third program review in July 2025. The RGGI States agreed to cap trajectories with increased stringency beginning in 2027 at 69.8 million tons and decreasing through 2037 to 9 million tons across the 10-state region that excludes Virgina. The RGGI states agreed to expand and extend the Cost Containment Reserve, eliminate the Emissions Containment Reserve and replace it with a higher auction reserve price, and to not perform an adjustment to address the bank of allowances. The DEC approved these changes into New York regulations in August 2026, which reduce the New York share of the cap from 21.9 to 2.3 million tons between 2027 and 2037. These features are seen as additive to the allowance supply which may be needed to offset the lower cap levels. Taken together, these proposals have the potential to constrain generator operations if sufficient allowances are not available to the regulated resources, which in certain instances could lead to reliability concerns. Reductions in operational and financial flexibility may need to be recognized by implementing complementary program

design elements that can address these concerns. The RGGI states have committed to beginning the next program review in 2028. Collectively, these program design changes and the reentry of Virginia into the RGGI program in July 2026 have resulted in increased allowance prices since the spring of 2026.

C.4 Cross-State Air Pollution Rule (CSAPR)

The US EPA CSAPR limits emission of SO_2 and NO_X from fossil fuel-fired electricity generating units (EGUs) greater than 25 MW in 27 states by establishing emissions caps and restricting allowance trading within various programs. The CSAPR ozone season encompasses May 1-September 30 each year.

The final Revised CSAPR Update became effective June 29, 2021. This rule reduced ozone season NO_X limits in 12 of 22 states within the existing Group 2 ozone season trading program by creating a new Group 3. The EPA issued the final Good Neighbor Plan (GNP) for the 2015 Ozone NAAQS on March 15, 2023, expanding the Group 3 region from 12 to 22 states. Under the GNP, NY's ozone season NO_X budget in 2023-2025 *increased* to 3,912 tons. Following legal challenges, on June 27, 2024, the Supreme Court of the United States issued a stay of the GNP. In response, on October 29, 2024, the EPA addressed the court's remand by reverting all GNP states back to their prior CSAPR compliance obligations beginning with the 2024 ozone season. New York is now a member of the Group 2 Expanded ozone season NO_X trading program. If NY generators emit significantly above their allowable levels the state may collectively exceed its trading limit, in which case higher emitting resources will need to surrender allowances at a rate of 3:1 for their excess emissions.

C.5 Federal Greenhouse Gas Standards

The US EPA issued final standards for CO_2 emissions from new combustion turbine and existing steam turbine electric generators which became effective on July 8, 2024. On September 17, 2026, EPA repealed requirements on deep emissions reduction requirements included in the 2024 rule for existing coal steam turbines and new combustion turbines and issued a supplemental proposal to repeal the remaining GHG emissions standards for power plants under Section 111 of the Clean Air Act[10] following the repeal of the 2009 Endangerment Finding which allowed for regulations of GHG under the Clean Air Act.[11]

C.6 New York Power Authority Small Gas Turbine Phase Out

Provisions included in New York State's *2023-24 Enacted State Budget* broadened NYPA's authority to develop renewable energy and required it to produce plans to allow for the phase-out their small natural gas power plants.[12] NYPA was required to publish a plan by May 2025 to phase out the production of electricity from its seven smaller natural gas plants (simple-cycle combustion turbines) in New York City and Long Island totaling 517 MW (nameplate) by December 31, 2030, unless those plants are determined to be necessary for electric system reliability, emergency power service, or energy from other sources

[10] https://www.epa.gov/stationary-sources-air-pollution/greenhouse-gas-standards-and-guidelines-fossil-fuel-fired-power
[11] https://www.epa.gov/regulations-emissions-vehicles-and-engines/final-rule-rescission-greenhouse-gas-endangerment
[12] See 2023 Laws of New York, Ch. 56, Part QQ, § 5.

that may replace energy from NYPA's affected plants would result in more than a de minimis net increase in emissions within a disadvantaged community. NYPA's plan is required to include recommendations and a proposed strategy to replace some or all of the affected plants with renewable energy systems, if appropriate. The basis for such determinations in NYPA's plan, which are required to be updated at least every two years, must be made publicly available along with the supporting documentation for the determination.

NYPA published the Small Natural Gas Power Plant Transition Plan on May 9, 2025, laying out a six-step process which would allow for the retirement of these assets should certain conditions be met at each successive step.[13] NYPA acknowledged that renewable replacement was not feasible at these sites and was actively examining battery storage resources at a number of the sites. Included in NYPA's Transition Plan process, additional studies must be conducted by the NYISO and other consultants to inform the evaluation on impacts of the retirement of these resources.

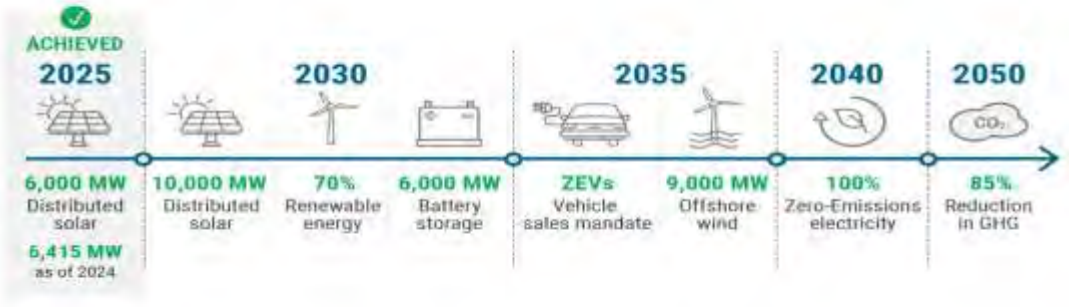
C.7 Climate Leadership and Community Protection Act (CLCPA)

The CLCPA requires, among other things, that 70% of electric energy be generated from renewable resources by 2030 and 100% of electric energy be provided by zero emission resources by 2040. The statute will require the displacement of New York's fossil fuel-fired generating fleet with renewable resources and other eligible clean energy resources. In addition, the economy-wide GHG emission reduction requirements necessitate significant electrification of the building and transportation sectors.

The CLCPA builds upon programs and targets already established under the Clean Energy Standard (CES) and by other state policies. The combined set of requirements for new resources are described in more detail in the sections below. The second CLCPA informational report indicates, while the distributed solar deployments have progressed on track, achievement of the remaining CLCPA policies have been delayed relative to their targets.[14] The following figure describes the timing and requirements of the major combined clean energy and efficiency policies in New York State.

[13] https://edge.sitecorecloud.io/newyorkpowe85b6-nypad19c-prod795c-a32e/media/Feature/nypa-sites/small-natural-gas-media/SNGPP-Transition-Plan.pdf

[14] https://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={D0147799-0000-CE6F-B0E3-C2569C01A378}



Source: NYISO

C.8 Offshore Wind Development

The CLCPA requires 9,000 MW of offshore wind (OSW) capacity to be developed by 2035. The New York State Public Service Commission (PSC) has issued several orders directing NYSERDA to procure OSW Renewable Energy Certificates (ORECs) from developers for up to the 9,000 MW offshore wind target. NYSERDA released the 2024 OSW solicitation in July 2024 which resulted in no contracts. Across the five OREC solicitations since 2018, NYSERDA has executed contracts with the Empire 1 (810 MW) and Sunrise (924 MW) offshore wind projects. In July 2025, the PSC cancelled the ongoing New York City Offshore Wind Public Policy Transmission Need (PPTN) citing a lack of projects and current lack of federal policy support for offshore wind. Previously, Long Island Power Authority contracted with South Fork Offshore Wind, a 132 MW project which entered service in July 2024.

C.9 Comprehensive Energy Efficiency Initiative

The PSC has supported energy efficiency programs directing utility actions by developing budgets and targets to accelerate energy efficiency savings in New York State. Since passage of the CLCPA, additional building electrification initiatives direct utility programs to support heat pump adoption, in addition to increased deployment of more conventional utility energy efficiency programs.

C.10 Storage Deployment Target

The CLCPA required 3,000 MW of energy storage capacity to be developed by 2030. On June 20, 2024, the PSC adopted and updated the statewide deployment goal to 6,000 MW of energy storage resources by 2030. Under the updated storage roadmap, NYSERDA and the New York State Department of Public Service (DPS) expect roughly half of this goal to be met by behind-the-meter resources while the other

half is expected to be grid-connected. DPS reported that 529 MW in energy storage capacity was deployed and an additional 1,424 MW were awarded/contracted as of March 31, 2026.[15] NYSERDA issued the first of three solicitations for Index Storage Credit contracts to ultimately support 3,000 MW of energy storage resources for 15 years. The initial solicitation resulted in contracts with 950 MW of principally 4-hour lithium ion battery storage resources and the second solicitation scheduled to be issued by the end of 2026. Since 2019, over 2,000 MW of energy storage resources have completed the NYISO interconnection process (*i.e.*, accepted the required facility studies and/or signed interconnection agreements).

C.11 Distributed Solar Program

The CLCPA requires 6,000 MW of installed distributed solar capacity by 2025. On April 14, 2022, the PSC extended NYSERDA's NY-Sun Program, raising the total distributed solar capacity goal to at least 10,000 MW by 2030 and in April 2025 increased this goal to 10,500 MW. Attainment of these targets has been bolstered by strong growth in BTM solar capacity over recent years, along with a robust pipeline of potential future projects. On October 17, 2024, the state announced early achievement of the 2025 installed capacity goal, surpassing 6,000 MW of installed distributed solar capacity.

C.12 Clean Energy Standard (CES)

The PSC initiated the Renewable Portfolio Standards in 2004 to help support the development of renewable energy resources for New York. In 2016 the PSC combined the Renewable Energy Standard (RES) and a Zero Emission Credit (ZEC) Requirements under a new CES policy. Through the CES, NYSERDA supports the development of new renewable energy resources, and the continued operation of the upstate nuclear generators through March 2029, by signing Renewable Energy Certificate (REC) and ZEC contracts with generators for the environmental attributes associated with their generation.

The PSC issued an Order Modifying the CES on October 15, 2020, to align the existing Clean Energy Standard with the requirements of the CLCPA. Specifically, the order increased the RES from 50% to 70% in 2030 and modified the definition of eligible renewable energy resources to align with the CLCPA. The Order authorized the procurement schedules for Tier 1 and Offshore Wind resources needed to achieve the 2030 mandates. The Order also included a new Tier 4 specifically to recognize incremental renewable energy delivered into Zone J. Tier 4 REC contracts with Champlain Hudson Power Express (CHPE) was approved on April 14, 2022. CHPE added 1,250 MW of controllable HVDC connection between Hydro-Quebec and New York City on June 13, 2026.

On July 1, 2024, the Draft Clean Energy Standard Biennial Review found a growing shortfall in expected attainment of the 2030 renewable energy target, primarily due to increased load forecasts and delays in renewable projects achieving commercial operation. NYSERDA and DPS Staff recommended delaying the RES 70% renewable energy target date to 2033 and expanding annual Tier 1 REC procurements. On May 15, 2025, the PSC approved an order adopting the CES Biennial Review report as final, expanded the

[15] https://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={F0A94A9D-0000-CD3F-AD54-1B3672D3EA91}&DocTitle=State%20of%20Storage

Tier 1 REC solicitation schedule from 2026 through 2029, and retained the 70% by 2030 target but committed to reexamine the target again in the 2026 Biennial Review. The PSC accepted comments on the 2026 Draft Biennial Review through October 19, 2026, which projects an even larger gap towards 70% renewable energy attainment due to many of the factors previously identified. The PSC has extended the ZEC program through 2049 to support the relicensing of the four existing upstate nuclear generators and also started a new initiative to foster additional generation under the Nuclear Reliability Backbone.

C.13 Economy-wide Greenhouse Gas Emissions Limits and New York Cap-and-Invest

The CLCPA Amendments in 2026 removed the upstream out-of-state emissions associated with the extraction and transmission of fossil fuels from the calculation of Statewide Greenhouse Gas Emissions and changed the integrated timeframe for measuring carbon dioxide equivalent from twenty years to one hundred years. The amendments also exclude biogenic emissions from counting towards Statewide Greenhouse Gas Emissions. The 1990 inventory, methodology, and limits were originally finalized by DEC as Part 496 in 2020.

The DEC is required under the CLCPA to complete additional regulations to enforce the economy wide GHG limits by 2028. Principal among these regulatory initiatives, the DEC and NYSERDA are developing regulations to implement an economy-wide cap-and-invest program. The cap-and-invest initiative would be implemented through a suite of three regulations: a Reporting Rule, a Cap-and-Invest Rule, and an Auction Rule. The DEC Part 253 Mandatory Greenhouse Gas Reporting Rule[16] requires reporting entities to submit initial reports December 31, 2027, for the 2026 emissions year. DEC is working to amend Part 253 so that reporting requirements align with the amended CLCPA. Electric generators and utilities, while proposed to be reporting entities under the reporting rule, may or may not ultimately be obligated sectors under potentially forthcoming cap-and-invest regulations.

C.14 CLCPA Impact on Air Emission Permits

Fossil fuel-fired generation projects face further scrutiny under the CLCPA, which requires state agencies to consider consistency with the statewide GHG emission limits and disproportionate impacts on Disadvantaged Communities (DACs) when issuing permits.

On October 27, 2021, the DEC denied air emission permit modification applications by two existing generators to repower their facilities with new natural gas generators. The Danskammer Energy Center sought authorization to construct a new natural gas-fired combined cycle power generation facility of 536 MW to replace its existing 532 MW steam turbine generating facility. Astoria Gas Turbine Power, LLC, a subsidiary of NRG Energy, sought to construct the Astoria Replacement Project, which would consist of a new simple cycle dual fuel (natural gas and distillate oil) peaking combustion turbine generator of 437 MW. On June 30, 2022, the DEC also denied the renewal application for Greenidge

[16] https://dec.ny.gov/environmental-protection/air-quality/mandatory-greenhouse-gas-reporting

Generation's air permits citing CLCPA compliance demonstration. The DEC determined that each of the projects would be inconsistent or interfere with the attainment of Statewide GHG emission limits established by the CLCPA. The DEC found that the applicants had not provided adequate justification, such as resolution of an electric system reliability need, to overcome the DEC's determination that the air emissions would be inconsistent or interfere with attainment of the CLCPA greenhouse gas emission requirements. The DEC noted at that time, the reliability needs the NYISO identified in its 2020 RNA had been resolved by post RNA updates, and that the announced Tier 4 projects would significantly increase transmission capacity into New York City.

In December 2022, the DEC finalized department program policy DAR-21 to implement the GHG permitting requirements in the CLCPA within state and federal air permits. Facilities are required to submit a GHG Mitigation Plan with their Title V applications addressing climate impacts associated with the facility. On May 8, 2024, the DEC released DEP 24-1 to implement the environmental justice and disproportionate burden aspects of the CLCPA within many environmental permits. For facilities "in or likely to affect" a DAC, a Disproportionate Burden Report and meaningful community engagement is required under the department policy.

C.15 Accelerated Renewable Energy Growth and Community Benefit Act

The Accelerated Renewable Energy Growth and Community Benefit Act was signed into law on April 3, 2020, to assist in the achievement of the clean energy and environmental targets outlined in the CLCPA. This Act requires the PSC to establish new planning processes to enable the transmission and distribution expansion to support the CLCPA targets. On May 14, 2020, the PSC commenced a proceeding to implement the Act with respect to utility-based plans for upgrades to local transmission and distribution needed to support the mandates of the CLCPA. Utilities submitted preliminary upgrade proposals by August 1, 2020. The Joint Utilities filed an Initial Power Grid Study report at the PSC on November 2, 2020. The report addresses local transmission system needs, existing system planning process and identified some potential modifications to those processes, accounting for CLCPA benefits in planning and investment criteria, and cost containment, cost allocation and cost recovery mechanisms for transmission projects. The PSC subsequently issued orders approving Phase 1 and Phase 2 projects as well as other recommendations stemming from the Power Grid Study, to meet CLCPA requirements.

In December 2022, the Joint Utilities filed the Coordinated Grid Planning Process (CGPP) proposal for Commission review. In August 2023, the PSC approved the CGPP with several modifications from what was proposed by the Joint Utilities. The CGPP was designed to assess the transmission needs of the system over a 20-year horizon. The study process is a three-year cycle and includes six stages moving from data collection and modeling and through various stages of capacity expansion and transmission security analysis. The process culminates in a report and recommendations for the Commission's consideration in the identification of transmission projects. The utilities filed the CGPP Cycle 1 Report on May 4, 2026, requesting approval of $8.7 billion for seven transmission projects.

The Act also created an Office of Renewable Energy Siting (ORES) within the New York State Department of State to reduce the permitting timeline of large-scale renewable energy facilities. Subsequently, the

ORES has moved to the DPS and incorporated transmission siting. Since 2021, ORES has approved 36 renewable energy projects representing over 5,000 MW.

C.16 Study Impacts and Insights

New York's evolving power grid will require substantial investment in generation and transmission across a wide range of possible outcomes, with the pace, scale, and composition of that investment shaped by how policy objectives are implemented. To inform policymakers, market participants, and the public, the NYISO continuously studies the impact of these various policies on the future supply mix.

The *2025-2044 System & Resource Outlook* (the Outlook) finds that futures requiring a zero-emissions grid drive materially higher levels of resource development, while futures that allow limited emissions enable a transition with a broader mix of resources, including repowered and new dispatchable fossil generation. Across all futures, growing demand and anticipated fossil generation retirements increase the need for new resources, placing greater importance on decisions that determine how much capacity is developed, where it is located, and how quickly it can be brought into service. Across all futures evaluated, new resource development spans a wide range, approaching ~105 GW of new resources as compared to ~30-60 GW with less stringent decarbonization policies. This observation reflects the strong influence of policy structure, demand growth, and resource availability on system outcomes. For comparison, less than 15 GW of new resources have been added to the New York system in the last 25 years.

As outlined in the NYISO's draft 2025–2034 Comprehensive Reliability Plan, New York's electric grid is at an inflection point, driven by the convergence of three structural trends: the aging of the existing generation fleet, the rapid growth of large loads, and the increasing difficulty of developing new dispatchable resources. Presently, 25% of the state's total generating capacity is fossil-fuel-based generation that has been in operation for more than 50 years. At the same time, new demand from data centers, industrial facilities, and electrification is accelerating, placing additional stress on the grid. The system needs resources that can perform reliably during periods of high net load, low intermittent output, and extreme weather. These resources must be dispatchable, flexible, and capable of operating during extended periods of extreme weather and high demand. While renewable generation and battery storage are essential components of the future grid, they are not sufficient on their own to meet all reliability needs.

Resource adequacy is the cornerstone of electric system reliability, ensuring sufficient capacity is available to meet demand under a wide range of conditions, including peak load, weather variability, and unexpected outages. The issue is not simply one of quantity of capacity, but also of quality, timing, and location of those resources' ability to serve load. As highlighted in recent NYISO planning studies and the NYISO's comments on the draft State Energy Plan[17], the system also requires clean firm capacity resources that can operate independently of weather conditions and provide sustained output when

[17] https://www.nyiso.com/documents/20142/1402310/20251006_NYISOCmmnts_DraftStateEnergyPlan.pdf/5675df00-b281-bc67-f4b3-26e2155f6826

needed to achieve the CLCPA targets. While a diverse mix of resources can collectively provide the reliability attributes needed to maintain system adequacy, persistent development challenges are raising serious concerns about whether the necessary supply will materialize in time to meet growing demand and offset aging generation concerns.

Appendix D

ICAP to UCAP Translations

D. ICAP to UCAP Translation – Appendix D

The NYISO administers the capacity requirements to all loads in the NYCA. In 2002, the NYISO adopted the Unforced Capacity (UCAP) methodology for determining system requirements, unit ratings and market settlements. The UCAP methodology uses individual generating unit data for output and availability to determine an expected level of resources that can be considered for system planning, operation and marketing purposes. EFORd is developed from this process for each generating unit and applied to the unit's DMNC test value to determine the resulting level of UCAP.

Individual unit ~~EFORd~~ derating factors are taken in aggregate on both a Statewide and Locational basis and used to effectively "translate" the IRM and LCRs previously determined in the GE-MARS Analysis in terms of ICAP, into an equivalent UCAP basis.

Table D.1 summarizes the NYCA historical capacity parameters for the last 2~~6~~7 years including Base Case IRMs, approved IRMs, UCAP requirements, and NYISO approved LCRs (for New York City, Long Island and the G-J Locality).

Table D.1 Historical NYCA Capacity Parameters

Capability Year (May - April)	Base Case IRM (%)	EC Approved IRM (%)	NYCA Equivalent UCAP Requirement (%)	NYISO Approved J LCR (%)	NYISO Approved K LCR (%)	NYISO Approved G-J LCR (%)
2000-2001	15.5	18.0		80.0	107.0	
2001-2002	17.1	18.0		80.0	98.0	
2002-2003	18.0	18.0		80.0	93.0	
2003-2004	17.5	18.0		80.0	95.0	
2004-2005	17.1	18.0	11.9	80.0	99.0	
2005-2006	17.6	18.0	12.0	80.0	99.0	
2006-2007	18.0	18.0	11.6	80.0	99.0	
2007-2008	16.0	16.5	11.3	80.0	99.0	
2008-2009	15.0	15.0	8.4	80.0	94.0	
2009-2010	16.2	16.5	7.2	80.0	97.5	
2010-2011	17.9	18.0	6.1	80.0	104.5	
2011-2012	15.5	15.5	6.0	81.0	101.5	
2012-2013	16.1	16.0	5.4	83.0	99.0	
2013-2014	17.1	17.0	6.6	86.0	105.0	
2014-2015	17.0	17.0	6.4	85.0	107.0	88.0
2015-2016	17.3	17.0	7.0	83.5	103.5	90.5
2016-2017	17.4	17.5	6.2	80.5	102.5	90.0
2017-2018	18.1	18.0	7.0	81.5	103.5	91.5
2018-2019	18.2	18.2	8.1	80.5	103.5	94.5
2019-2020	16.8	17.0	6.7	82.8	104.1	92.3
2020-2021	18.9	18.9	9.0	86.6	103.4	90.0
2021-2022	20.7	20.7	10.1	80.3	102.9	87.6
2022-2023	19.6	19.6	7.9	81.2	99.5	89.2
2023-2024	19.9	20.0	7.8	81.7	105.2	85.4
2024-2025	23.1	22.0	5.9	80.4	105.3	81.0
2025-2026	24.4	24.4	8.2	78.5	106.5	78.8
2026-2027	25.3	24.5	3.2	86.4	110.3	82.5

D.1 NYCA and NYC and LI Locational Translations

In the "Installed Capacity" section of the NYISO website, NYISO staff regularly post summer and winter Capability Period ICAP and UCAP calculations for the NYCA and the Localities. This information has been compiled and posted since 2006.

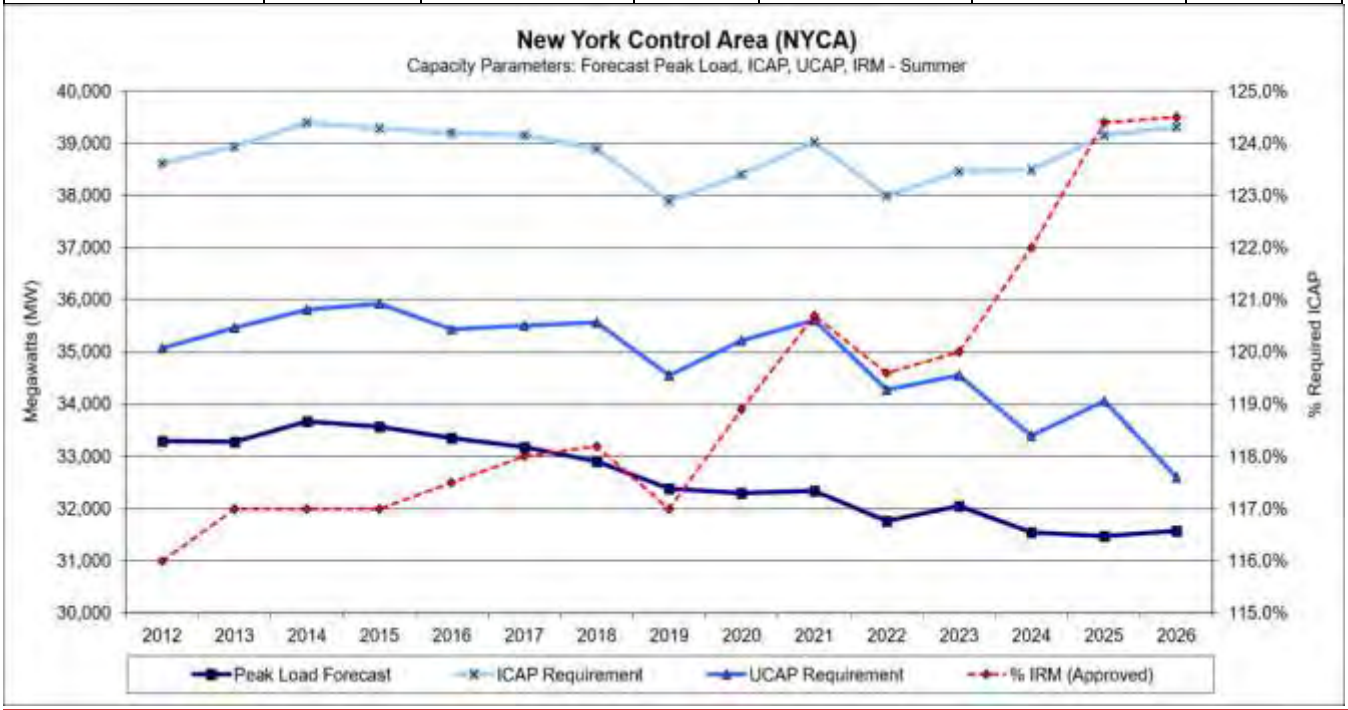
Locational ICAP/UCAP calculations are produced for New York City, Long Island, G-J Locality and the NYCA. Exhibits D.1.1 through D.1.4 summarizes the translation of ICAP requirements to UCAP requirements for these areas. The charts and tables included in these exhibits utilize data from the summer capability periods for the most recent 15 years beginning with the 201~~1~~2 summer capability period.

This data reflects the interaction and relationships between the capacity parameters used for this study, including forecast peak load, ICAP requirements, de-rating factors, UCAP requirements, IRMs, and LCRs. Since these parameters are so inextricably linked to each other, the graphical representation also helps one more easily visualize the annual changes in capacity requirements.

D.1.1 New York Control Area ICAP to UCAP Translation

Table D.2 New York Control Area ICAP to UCAP Translation

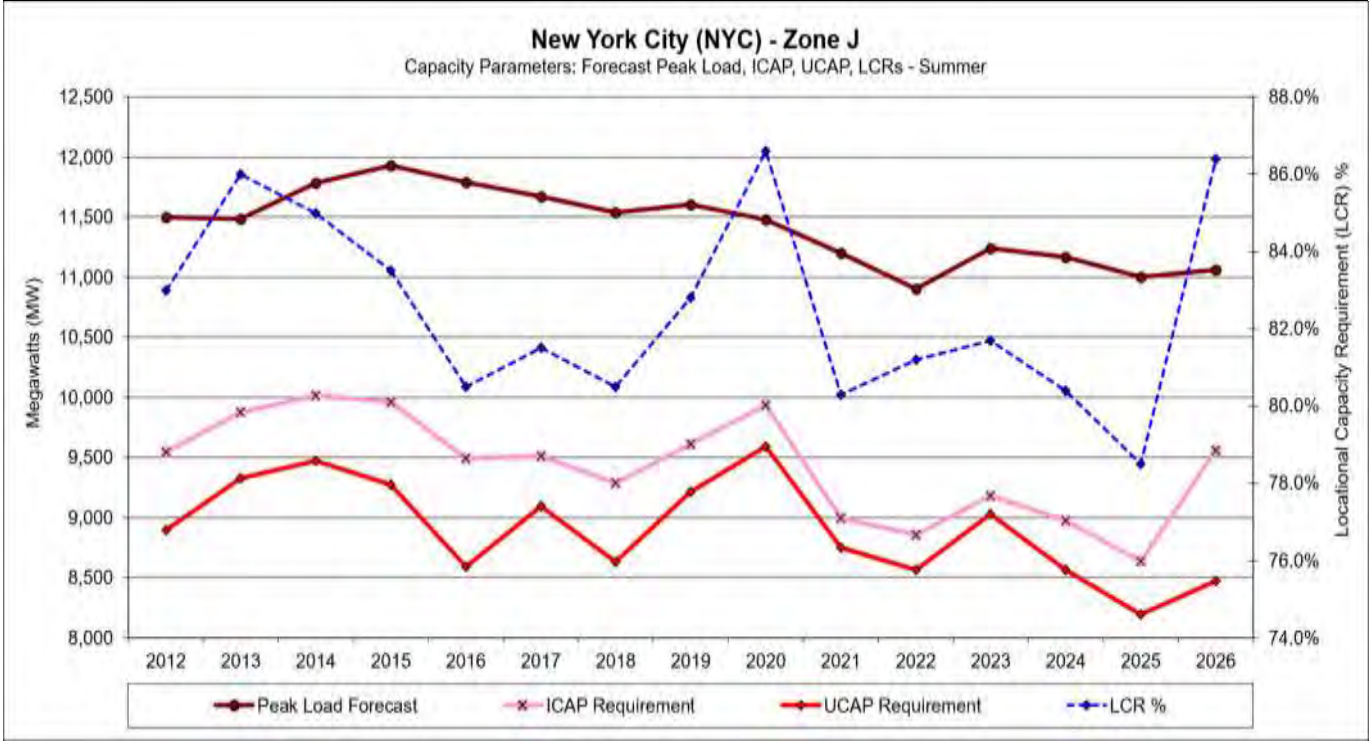
Table D.2 NYCA ICAP to UCAP Translation Year	Forecast Peak Load (MW)	Installed Capacity Requirement (%)	Derate Factor	ICAP Requirement (MW)	UCAP Requirement (MW)	Effective UCAP (%)
2012	33,295	116.0	0.0918	38,622	35,076	105.4
2013	33,279	117.0	0.0891	38,936	35,467	106.6
2014	33,666	117.0	0.0908	39,389	35,812	106.4
2015	33,567	117.0	0.0854	39,274	35,920	107.0
2016	33,359	117.5	0.0961	39,197	35,430	106.2
2017	33,178	118.0	0.0929	39,150	35,513	107.0
2018	32,903	118.2	0.0856	38,891	35,562	108.1
2019	32,383	117.0	0.0879	37,888	34,558	106.7
2020	32,296	118.9	0.0830	38,400	35,213	109.3
2021	32,333	120.7	0.0877	39,026	35,604	110.1
2022	31,767	119.6	0.0978	37,993	34,277	107.9
2023	32,049	120.0	0.1014	38,459	34,559	107.8
2024	31,542	122.0	0.1321	38,481	33,397	105.9
2025	31,469	124.4	0.1300	39,148	34,059	108.2
2026	31,579	124.5	0.1709	39,315	32,596	103.2



D.1.2 New York City ICAP to UCAP Translation

Table D.3 New York City ICAP to UCAP Translation

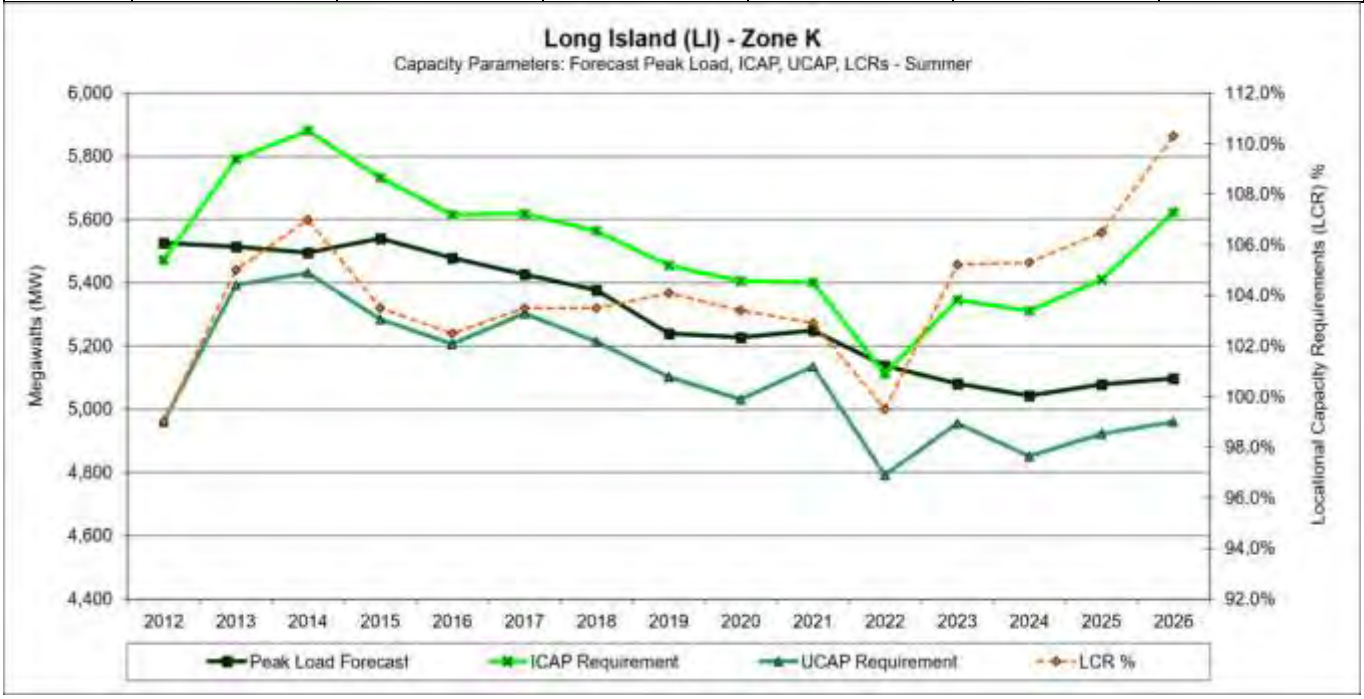
Year	Forecast Peak Load (MW)	Locational Capacity Requirement (%)	Derate Factor	ICAP Requirement (MW)	UCAP Requirement (MW)	Effective UCAP (%)
2012	11,500	83.0	0.0679	9,545	8,897	77.4
2013	11,485	86.0	0.0559	9,877	9,325	81.2
2014	11,783	85.0	0.0544	10,015	9,471	80.4
2015	11,929	83.5	0.0692	9,961	9,272	77.7
2016	11,794	80.5	0.0953	9,494	8,589	72.8
2017	11,670	81.5	0.0437	9,511	9,095	77.9
2018	11,539	80.5	0.0709	9,289	8,630	74.8
2019	11,607	82.8	0.0409	9,611	9,217	79.4
2020	11,477	86.6	0.0351	9,939	9,590	83.6
2021	11,199	80.3	0.0269	8,993	8,751	78.1
2022	10,906	81.2	0.0326	8,856	8,567	78.6
2023	11,239	81.7	0.0164	9,183	9,032	80.4
2024	11,168	80.4	0.0462	8,979	8,564	76.7
2025	11,005	78.5	0.0518	8,639	8,191	74.4
2026	11,062	86.4	0.1132	9,558	8,476	76.6



D.1.3 Long Island ICAP to UCAP Translation

Table D.4 Long Island ICAP to UCAP Translation

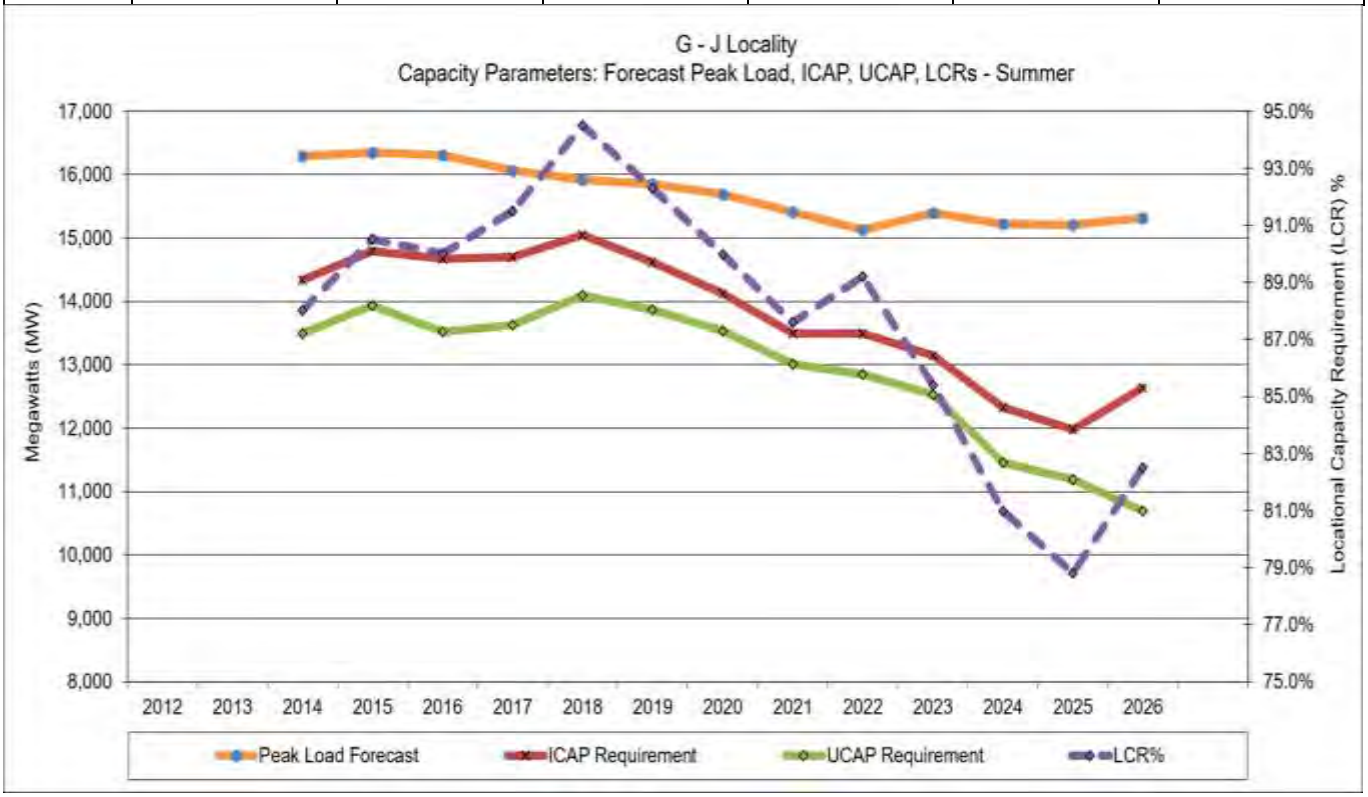
Year	Forecast Peak Load (MW)	Locational Capacity Requirement (%)	Derate Factor	ICAP Requirement (MW)	UCAP Requirement (MW)	Effective UCAP (%)
2012	5,526	99.0	0.0931	5,470	4,961	89.8
2013	5,515	105.0	0.0684	5,790	5,394	97.8
2014	5,496	107.0	0.0765	5,880	5,431	98.8
2015	5,539	103.5	0.0783	5,733	5,284	95.4
2016	5,479	102.5	0.0727	5,615	5,207	95.0
2017	5,427	103.5	0.0560	5,617	5,302	97.7
2018	5,376	103.5	0.0628	5,564	5,214	97.0
2019	5,240	104.1	0.0647	5,455	5,102	97.4
2020	5,228	103.4	0.0691	5,405	5,032	96.3
2021	5,249	102.9	0.0491	5,401	5,136	97.9
2022	5,138	99.5	0.0627	5,112	4,791	93.3
2023	5,082	105.2	0.0729	5,346	4,956	97.5
2024	5,043	105.3	0.0866	5,311	4,851	96.2
2025	5,079	106.5	0.0902	5,410	4,922	96.9
2026	5,097	110.3	0.1179	5,622	4,959	97.3



D.1.4 G-J Locality ICAP to UCAP Translation

Table D.5 G-J Locality ICAP to UCAP Translation

Year	Forecast Peak Load (MW)	Locational Capacity Requirement (%)	Derate Factor	ICAP Requirement (MW)	UCAP Requirement (MW)	Effective UCAP (%)
2014	16,291	88.0	0.0587	14,336	13,495	82.8
2015	16,340	90.5	0.0577	14,788	13,934	85.3
2016	16,309	90.0	0.0793	14,678	13,514	82.9
2017	16,061	91.5	0.0731	14,696	13,622	84.8
2018	15,918	94.5	0.0626	15,042	14,100	88.6
2019	15,846	92.3	0.0514	14,625	13,874	87.6
2020	15,695	90.0	0.0418	14,124	13,534	86.2
2021	15,411	87.6	0.0361	13,498	13,011	84.4
2022	15,125	89.2	0.0476	13,492	12,850	85.0
2023	15,393	85.4	0.0471	13,145	12,526	81.4
2024	15,220	81.0	0.0703	12,328	11,462	75.3
2025	15,206	78.8	0.0660	11,982	11,192	73.6
2026	15,312	82.5	0.1533	12,633	10,696	69.9



D.2 Renewable/Intermittent Resources Impact on the NYCA IRM and UCAP Markets

Renewable generation with a limited ability to be dispatched is generally classified as an "intermittent" or "variable generation" resource. Intermittent resources generally include wind generation, solar, land-fill gas and small run-of-river hydro. The effective capacity of intermittent resource can be quantified and modeled using the GE-MARS program like conventional fossil-fired power plants. There are various modeling techniques to model intermittent generation resources in GE-MARS; the method that ICS has adopted uses historical New York hourly generation outputs for the previous five calendar years. This data can be scaled to create profiles for new intermittent generation facilities.

For intermittent resources, the nameplate capacity is the ICAP while the effective capacity is equal to the UCAP value. Seasonal variability and geographic location are factors that also affect intermittent resource availability. For instance, offshore wind will generally have higher availability and be more coincidence with peak load hours than land-based wind. The effective capacity of intermittent generation can be calculated statistically directly from historical hourly generation outputs, and/or by using the following information:

- Production hourly data.
- Maintenance cycle and duration
- ~~EFOR (not related to fuel)~~Derating Factor

In general, the effective capacity of intermittent resources depends primarily on the availability of the resources "fuel source" – that is, wind, solar radiation, run-of-river water flow and availability of land-fill gas. Intermittent resources in New York on average have annual capacity factors that are based on their nameplate ratings. An intermittent resources output can range from close to nameplate under favorable "fuel" conditions to zero when the "fuel" is unavailable.

One measure of an intermittent resource's contribution to resource adequacy is its derating factor. A derating factor is a reduction applied to a resource's capacity rating to account for its expected unavailability during critical periods, such as peak demand. In the context of NYISO capacity markets, a derating factor is used to convert a resource's nominal capacity (Adjusted ICAP) into Unforced Capacity (UCAP), which is the value that is actually traded in the capacity market. The derating factor is a function of factors like forced outage rates, the resource's performance history, and its specific characteristics, ensuring that the capacity sold is more reliably available when the system needs it most.

In general, intermittent resources have higher ~~EFORds~~ derating factors than conventional power resources. Increasing penetration of intermittent resources will result in increased reserve requirements and ICAP requirements while the UCAP requirements as % can change very little or even decline. This is illustrated in Figure D1 which presents a plot of NYCA reserve margin as a % and NYCA UCAP requirement as a % vs. the NYCA derating factor. Figure D2 shows a rolling 5-year weighted average calculated NYCA derating factor for intermittent resources while Figure D3 is a timeseries plot of the summer weighted average derating factor for the NYCA and the localities for the past 15 years.

Figure D.1 Plot of % Reserve Margin & % UCAP Req. VS. Derating Factor

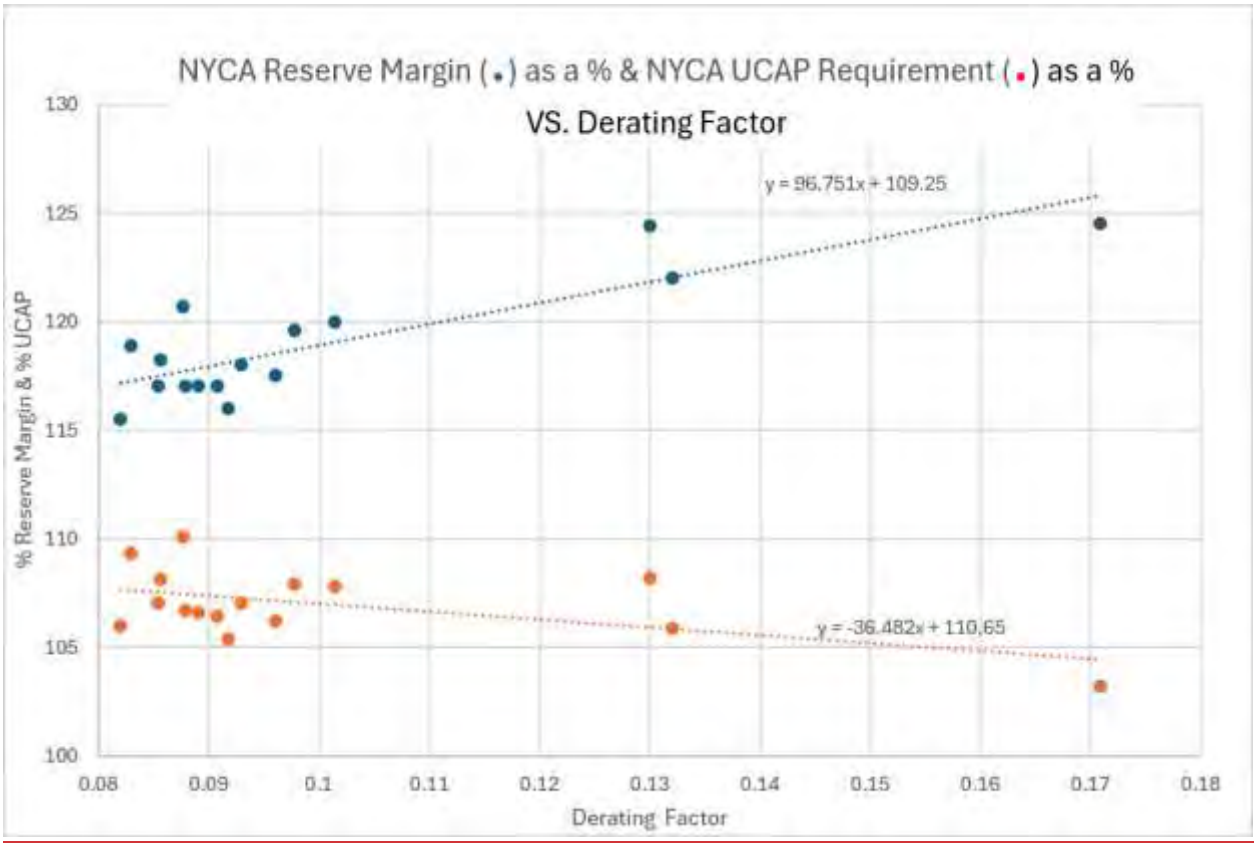
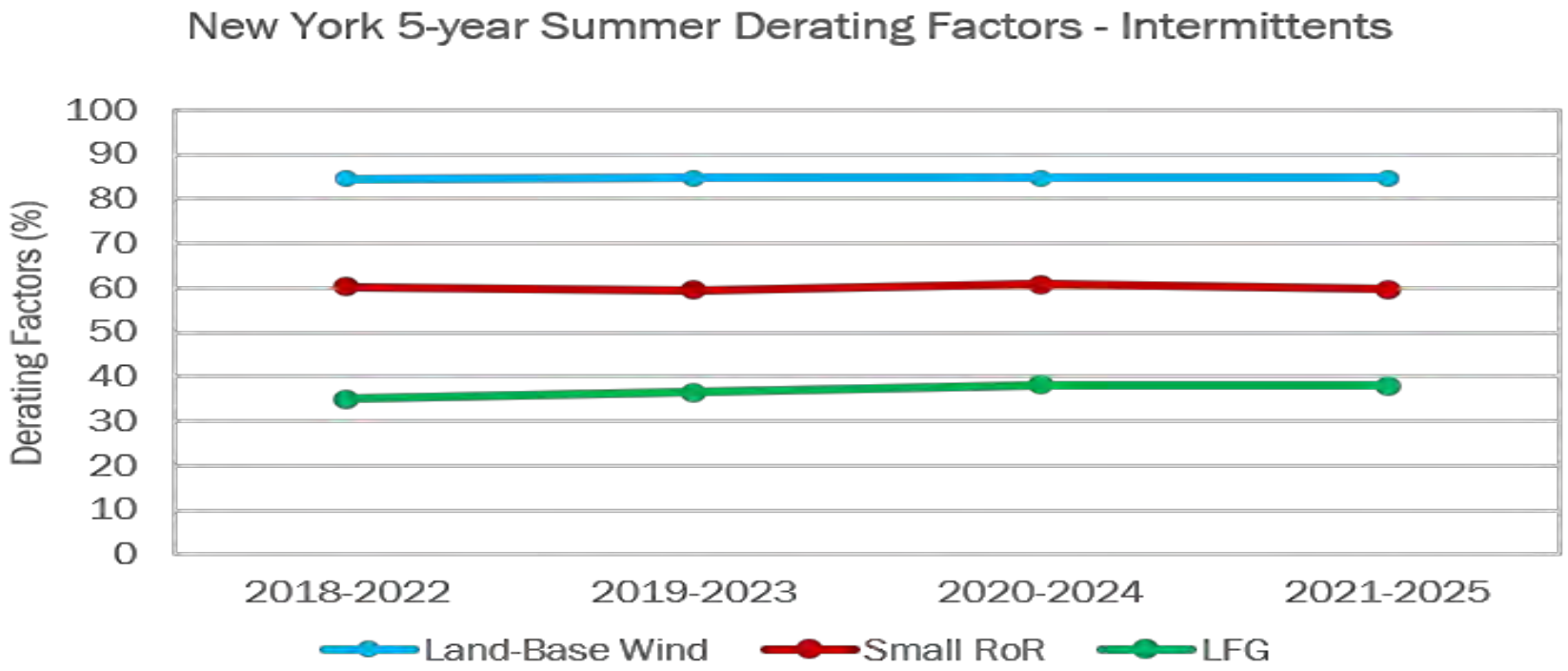
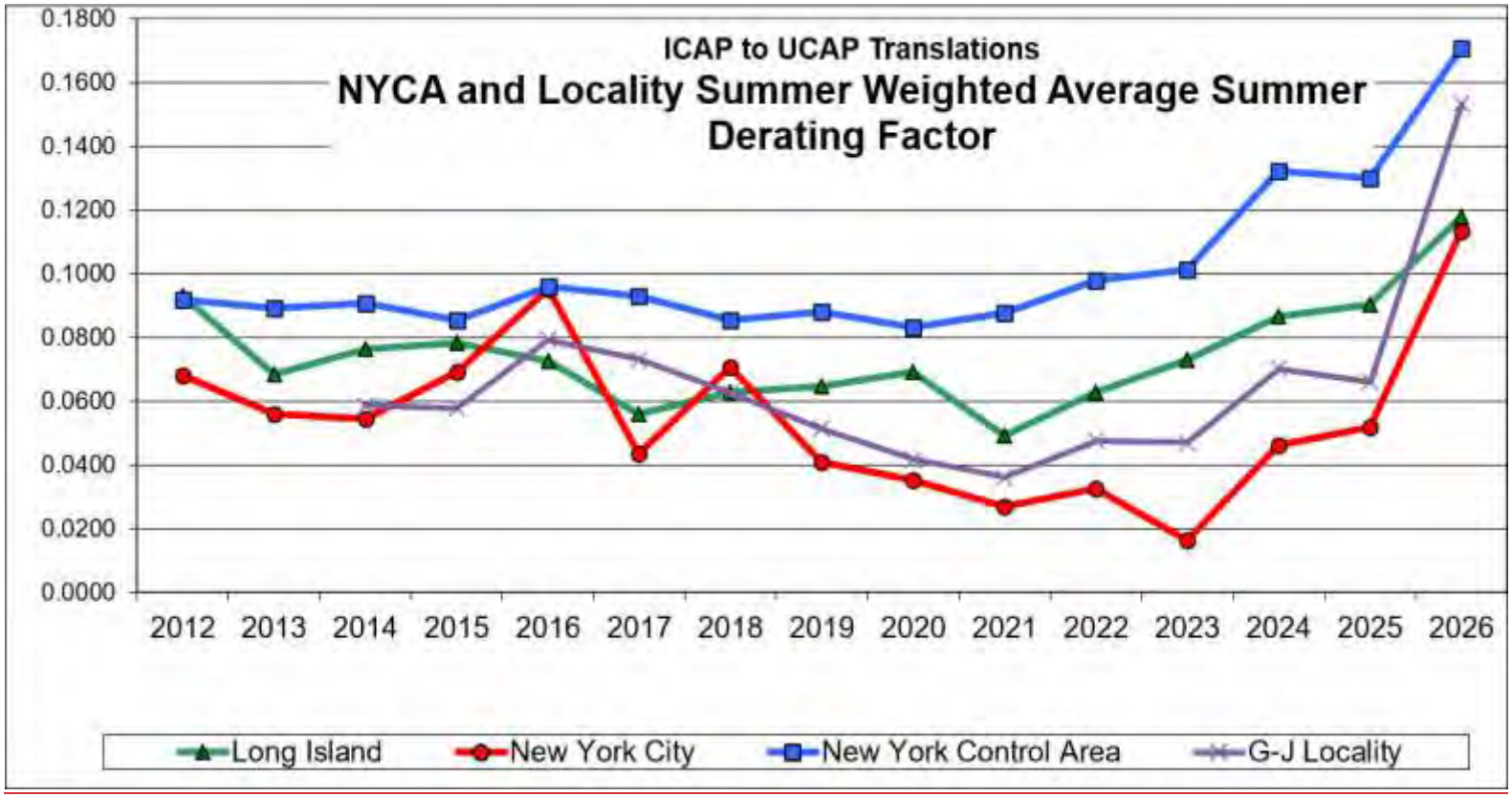


Figure D.2 Five-Year Weighted Annual Average ~~EFORds~~ Derating Factor - Intermittent Power Resources*



* Solar will be added when there are at least 3 units using production data for all 5 years of the average. Solar's annual capacity factor based on recently published data is on the order of 18% to 19% which implies a relatively high EFORd/derating factor.

Figure D.3 Time Series Plot of the Five-Year NYCA and Locality` Weighted Average Summer System Derating Factor



Appendix E

Glossary of Terms

E. Glossary – Appendix E.

Term	Definition
Availability	A measure of time a generating unit, transmission line, or other facility can provide service, whether or not it actually is in service. Typically, this measure is expressed as a percent available for the period under consideration.
BTM Solar	Behind-the-meter (BTM) solar systems generate and consume electricity on-site at a home or business, positioned "behind" the utility's electric meter, to reduce reliance on the grid and lower energy bills. These systems are typically smaller and tailored to the specific site, often paired with battery storage to provide power when the sun isn't shining.
Bubble	A symbolic representation introduced for certain purposes in the GE-MARS model as an area that may be an actual zone, multiple areas or a virtual area without actual load.
Capability Period	Six (6) month periods which are established as follows: (1) from May 1 through October 31 of each year ("Summer Capability Period"); and (2) from November 1 of each year through April 30 of the following year ("Winter Capability Period"); or such other periods as may be determined by the Operating Committee of the NYISO. A summer capability period followed by a winter capability period shall be referred to as a "Capability Year." Each capability period shall consist of on-peak and off-peak periods.
Capacity	The rated continuous load-carrying ability, expressed in megawatts ("MW") or megavolt-amperes ("MVA") of generation, transmission or other electrical equipment.
Contingency	An actual or potentially unexpected failure or outage of a system component, such as a generator, transmission line, circuit breaker, switch, or other electrical element. A contingency also may include multiple components, which are related by situations leading to simultaneous component outages.
Control Area (CA)	An electric system or systems, bounded by interconnection metering and telemetry, capable of controlling generation to maintain its interchange schedule with other control areas and contributing to frequency regulation of the interconnection.
Demand	The rate at which energy must be generated or otherwise provided to supply an electric power system.
Derating Factor	In the NYISO capacity market, a **derating factor** (often represented as an Availability Factor, Equivalent Forced Outage Rate on demand [EFORd], or Average Unavailability Factor [AUF]) is a metric that reflects a resource's historic unavailability or forced outage rate. The derating factor is used to convert a resource's gross Installed capacity (ICAP) or adjusted ICAP into net unforced capacity (UCAP)

Term	Definition
Distributed Energy Resource	Distributed Energy Resources (DERs) are diverse, small-scale technologies like solar panels, battery storage, and electric vehicles that generate, store, or manage electricity at or near the point of consumption. Unlike large, centralized power plants, DERs are located behind the electric meter, often on customer premises, to provide localized, flexible, and reliable power, improve energy efficiency, and increase grid resilience.
EFORd	The **Equivalent Forced Outage Rate on demand (EFORd)** is a standardized statistical metric used in power system reliability and capacity markets to measure the probability that a generating unit will fail to operate when there is a demand for its energy. It is generally used to determine the derating factor for conventional thermal units.
Emergency	Any abnormal system condition that requires automatic or immediate, manual action to prevent or limit loss of transmission facilities or generation resources that could adversely affect the reliability of an electric system.
Energy Limited Resource (ELR)	Capacity resources, not including BTM:NG Resources, that, due to environmental restrictions on operations, cyclical requirements, such as the need to recharge or refill, or other non-economic reasons, are unable to operate continuously on a daily basis but are able to operate for at least four consecutive hours each day.
Expected Unserved Energy (EUE)	The expected amount of energy (MWh) of unserved load in a given time period (often one year) when a system's resources are insufficient to meet demand.
External Installed Capacity (External ICAP)	Installed capacity from resources located in control areas outside the NYCA that must meet certain NYISO requirements and criteria in order to qualify to supply New York LSEs.
Event-Day	An event-period lasting one day during which at least one Event-Hour occurs.
Event-Hour:	An event-period lasting one hour during which, at some point, system resources are insufficient to meet demand.
Firm Load	The load of a Market Participant that is not contractually interruptible.
Generation	The process of producing electrical energy from other forms of energy; also, the amount of electric energy produced, usually expressed in kilowatt-hours (kWh) or megawatt-hours (MWh).
Installed Capacity (ICAP)	Capacity of a facility accessible to the NYS Bulk Power System, that is capable of supplying and/or reducing the demand for energy in the NYCA for the purpose of ensuring that sufficient energy and capacity is available to meet the reliability rules.
Installed Capacity Requirement (ICR)	The annual statewide requirement established by the NYSRC in order to ensure resource adequacy in the NYCA.

Term	Definition
Installed Reserve Margin (IRM)	The amount of installed generating capacity above the expected annual net peak load, expressed as a percentage to meet demand and maintain reliability, even under certain contingencies. It is a measure of resource adequacy that ensures the power grid has sufficient capacity to maintain the target threshold reliability criterion of a loss-of-load expectation (LOLE) of 0.1 event days per year set by the regional regulatory bodies which for the New York Control Area (NYCA) are the Northeast Power Coordinating Council Inc (NPCC) and the New York State Reliability Council (NYSRC).
Interface	The specific set of transmission elements between two areas or between two areas comprising one or more electrical systems.
Interruptible Load	The load of a Market Participant that is contractually interruptible.
Load	The electric power that is used by devices connected to an electrical generating system. (IEEE Power Engineering)
Load Relief	Load reduction accomplished by voltage reduction or load shedding or both Voltage reduction and load shedding, as defined in this document, are measures by order of the NYISO.
Load Shedding	The process of disconnecting (either manually or automatically) pre-selected customers' load from a power system in response to an abnormal condition to maintain the integrity of the system and minimize overall customer outages. Load shedding is a measure undertaken by order of the NYISO. If ordered to shed load, transmission owner system dispatchers shall immediately comply with that order. All the load shall be shed within 5 minutes of the order.
Load Serving Entity (LSE)	In a wholesale competitive market, Central Hudson Gas & Electric Corporation, Consolidated Edison Company of New York, Inc., Long Island Power Authority ("LIPA"), New York State Electric & Gas Corporation, Niagara Mohawk Power Corporation, Orange & Rockland Utilities, Inc., and Rochester Gas and Electric Corporation, the current forty-six (46) members of the Municipal Electric Utilities Association of New York State, the City of Jamestown, Rural Electric Cooperatives, the New York Power Authority ("NYPA"), any of their successors, or any entity through regulatory requirement, tariff, or contractual obligation that is responsible for supplying energy, capacity and/or ancillary services to retail customers within New York State.
Locality	A single electricity pricing Load Zone for capacity or a set of adjacent Load Zones within which a minimum level of Installed Capacity must be maintained, and as specifically identified in this document to mean (1) Load Zone J; (2) Load Zone K; and (3) Load Zones G, H, I, and J collectively (i.e., the G-J Locality).
Locational Capacity Requirement (LCR)	Due to transmission constraints, that portion of the NYCA ICAP requirement must be electrically located within a zone, in order to ensure that sufficient energy and capacity are available in that zone and that NYSRC Reliability Rules are met. Locational ICAP requirements are currently applicable to three transmission constrained zones, New York City, Long Island, and the Lower Hudson Valley, and are normally expressed as a percentage of each zone's annual peak load.

Term	Definition
Loss of Load Hours (LOLH)	The expected number of loss of load Event-Hours in a given time period (often one year) when a system's resources are insufficient to meet demand.
Loss of Load expectation (LOLE)	The expected number of loss of load Event Days in a given time period (often one year) when a system's resources are insufficient to meet demand.
New York Control Area (NYCA)	The control area that is located within New York State which is under the control of the NYISO. See Control Area.
New York Independent System Operator (NYISO)	The NYISO is a not-for-profit organization formed in 1998 as part of the restructuring of New York State's electric power industry. Its mission is to ensure the reliable, safe and efficient operation of the State's major transmission system and to administer an open, competitive and nondiscriminatory wholesale market for electricity in New York State.
New York State Bulk Power System (NYS Bulk Power System or BPS)	The portion of the bulk power system within the New York Control Area, generally comprising units 300 MW and larger, and generally comprising transmission facilities 230 kV and above. However, smaller generating units and lower voltage transmission facilities on which faults and disturbances can have a significant adverse impact outside of the local area are also part of the NYS Bulk Power System.
New York State Reliability Council, LLC (NYSRC)	An organization established by agreement (the "NYSRC Agreement") by and among Central Hudson Gas & Electric Corporation, Consolidated Edison Company of New York, Inc., LIPA, New York State Electric & Gas Corporation, Niagara Mohawk Power Corporation, Orange & Rockland Utilities, Inc., Rochester Gas and Electric Corporation, and the New York Power Authority, to promote and maintain the reliability of the Bulk Power System, and which provides for participation by Representatives of Transmission Owners, sellers in the wholesale electric market, large commercial and industrial consumers of electricity in the NYCA, and municipal systems or cooperatively-owned systems in the NYCA, and by unaffiliated individuals.
New York State (NYS) Transmission System	The entire New York State electric transmission system, which includes: (1) the transmission facilities under NYISO operational control; (2) the transmission facilities requiring NYISO notification, and; (3) all remaining facilities within the NYCA.
Net Peak Load	The highest point of gross peak electricity demand minus generation from non-dispatchable and unmetered variable renewable sources like solar and wind. It represents the electricity load that must be met by metered dispatchable resources such as natural gas, oil, nuclear or hydro power. Net peak load also includes metered variable renewable resources such as wind and solar with limited dispatchability.
Normalized Expected Unserved Energy	The Expected Unserved Energy (EUE) as a percent (%) of the total annual system net energy for load.

Term	Definition
Operating Limit	The maximum value of the most critical system operation parameter(s) which meet(s): (a) pre-contingency criteria as determined by equipment loading capability and acceptable voltage conditions; (b) stability criteria; (c) post-contingency loading and voltage criteria.
Operating Procedures	A set of policies, practices, or system adjustments that may be automatically or manually implemented by the system operator within a specified time frame to maintain the operational integrity of the interconnected electric systems.
Operating Reserves	Resource capacity that is available to supply energy, or curtailable load that is willing to stop using energy, in the event of emergency conditions or increased system load and can do so within a specified time period.
Reserves	In normal usage, reserve is the amount of capacity available in excess of the demand.
Resource	The total contributions provided by supply-side and demand-side facilities and/or actions.
Special Sensitivity (SS)	All substantive assumption changes following approval of the final base case assumptions in early October are combined into a single SS Case. The SS Case is conducted using a Tan 45 analysis. As described in Policy 5, SS Cases must meet a specified levels of materiality before being designated as an SS case.
Stability	The ability of an electric system to maintain a state of equilibrium during normal and abnormal system conditions or disturbances.
Thermal Limit	The maximum power flow through a particular transmission element or interface, considering the application of thermal assessment criteria.
Transfer Capability	The measure of the ability of interconnected electrical systems to reliably move or transfer power from one area to another over all transmission lines (or paths) between those areas under specified system conditions.
Transmission District	The geographic area served by the NYCA investor-owned transmission owners and LIPA, as well as customers directly interconnected with the transmission facilities of NYPA.
Transmission Owner (TO)	Those parties who own, control and operate facilities in New York State used for the transmission of electric energy in interstate commerce. Transmission owners are those who own, individually or jointly, at least 100 circuit miles of 115 kV or above in New York State and have become a signatory to the TO/NYISO Agreement.
Unforced Capacity (UCAP)	The measure by which Installed Capacity Suppliers will be rated, in accordance with formulae set forth in the ISO Procedures, to quantify the extent of their contribution to satisfy the NYCA Installed Capacity Requirement, and which will be used to measure the portion of that NYCA Installed Capacity Requirement for which each LSE is responsible.
Voltage Limit	The maximum power flow through some particular point in the system considering the application of voltage assessment criteria.
Voltage Reduction	A means of achieving load reduction by reducing customer supply voltage, usually by 3, 5, or 8 percent. If ordered by the NYISO to go into voltage reduction, Transmission Owner system dispatchers shall immediately comply with that order. Quick response voltage reduction shall normally be accomplished within ten (10) minutes of the order.

Term	Definition
Zone	A defined portion of the NYCA area that encompasses a set of load and generation buses. Each zone has an associated zonal energy price that is calculated as a weighted average price based on generators' marginal energy price and generator bus load distribution factors. A "zone" outside the NY control area is referred to as an external zone. Currently New York State is divided into eleven Load Zones, corresponding to ten major transmission interfaces that can become congested.